

Form 603Corporations Act 2001
Section 671B**Notice of initial substantial holder**To Company Name/Scheme **Swick Mining Services Limited**
ACN/ARSN (if applicable) **112 917 905****1. Details of substantial holder (1)**Name **Westoz Funds Management Pty Ltd**
ACN/ARSN (if applicable) **106 677 721**The holder became a substantial holder on **11 August 2020****2. Details of voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

| Class of securities (4) | Number of securities | Person's votes (5) | Voting power (6) |
|-------------------------|----------------------|--------------------|------------------|
| Ordinary | 15,000,000 Ord | 15,000,000 Ord | 5.02% |

3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

| Holder of relevant interest | Nature of relevant interest (7) | Class and number of securities |
|---------------------------------|--|--------------------------------|
| Westoz Funds Management Pty Ltd | Power to (or to control) exercise vote and/or dispose of the securities as discretionary manager under investment management agreement with Ozgrowth Limited | 15,000,000 Ord |

4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

| Holder of relevant interest | Registered holder of securities | Person entitled to be registered as holder | Class and number of securities |
|---------------------------------|---------------------------------|--|--------------------------------|
| Westoz Funds Management Pty Ltd | Zero Nominees Pty Ltd | Ozgrowth Limited | 15,000,000 Ord |

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5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

| Holder of relevant interest | Date of acquisition | Consideration (9) | | Class and number of securities |
|-----------------------------|---------------------|-------------------|-----------|--------------------------------|
| | | Cash | Non -cash | |
| Refer Attachment 1 | | | | |

6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

| Name and ACN/ARSN (if applicable) | Nature of association |
|-----------------------------------|-----------------------|
| N.A. | |

7. Addresses

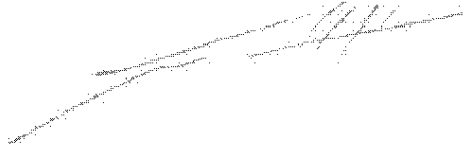
The addresses of persons named in this form are as follows:

| Name | Address |
|---------------------------------|----------------------------------|
| Westoz Funds Management Pty Ltd | Level 18, 58 Mounts Bay Rd Perth |
| Ozgrowth Limited | Level 18, 58 Mounts Bay Rd Perth |
| Zero Nominees Pty Ltd | Level 18, 58 Mounts Bay Rd Perth |

Signature

print name **Anthony Hewett**

capacity **Company Secretary**



sign here

date **18 August 2020**

Attachment 1

| Holder of relevant interest | Date of acquisition | Consideration (9) | | Class and number of securities | |
|---------------------------------|---------------------|-------------------|------------|--------------------------------|-----------|
| | | Cash | Non - cash | | |
| Westoz Funds Management Pty Ltd | 27/09/2019 | \$692,038.26 | - | Ord | 3,008,862 |
| As above | 12/11/2019 | \$607,961.76 | - | Ord | 2,643,312 |
| As above | 13/11/2019 | \$15,937.80 | - | Ord | 83,949 |
| As above | 14/11/2019 | \$50,136.63 | - | Ord | 263,877 |
| As above | 15/11/2019 | \$10,981.98 | - | Ord | 61,011 |
| As above | 19/11/2019 | \$52,271.46 | - | Ord | 288,989 |
| As above | 12/12/2019 | \$27,000.00 | - | Ord | 150,000 |
| As above | 11/06/2020 | \$67,500.00 | - | Ord | 500,000 |
| As above | 12/06/2020 | \$25,500.00 | - | Ord | 200,000 |
| As above | 15/06/2020 | \$1,220.18 | - | Ord | 9,570 |
| As above | 16/06/2020 | \$50,717.25 | - | Ord | 390,430 |
| As above | 19/06/2020 | \$962,000.00 | - | Ord | 7,400,000 |

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