

**Form 603**  
Corporations Act 2001  
Section 671B

**Notice of initial substantial holder**

To Company Name/Scheme NEW CENTURY RESOURCES LIMITED

ACN/ARSN 142 165 080

**1. Details of substantial holder (1)**

Name Mitsubishi UFJ Financial Group, Inc.

ACN/ARSN (if applicable) Not Applicable

The holder became a substantial holder on 31 August 2020

The holder became aware on 2 September 2020

**2. Details of voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
Ordinary Shares	66,646,525	66,646,525	6.81%

**3. Details of relevant interests**

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Mitsubishi UFJ Financial Group, Inc.	Holder of securities subject to an obligation to return under a prime brokerage agreement.	1,003,329 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	Shares held or in respect of which the holder may exercise control over disposal in the ordinary course of sales and trading businesses.	17,973,232 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	Shares held or in respect of which the holder may exercise control over disposal in the ordinary course of sales and trading businesses.	1,663,419 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	Holder of securities subject to an obligation to return under a securities lending agreement.	18,938,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	Shares held or in respect of which the holder may exercise control over disposal in the ordinary course of sales and trading businesses.	27,068,545 Ordinary Shares

**4. Details of present registered holders**

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Mitsubishi UFJ Financial Group, Inc.	HSBC Custody Nominees (Australia) Limited	Not Applicable	18,976,561 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	HSBC Custody Nominees (Australia) Limited	Not Applicable	1,663,419 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	Morgan Stanley Australia Securities (Nominee) Pty Limited	Not Applicable	46,006,545 Ordinary Shares
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## 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number interest of securities
		Cash	Non-cash	
Mitsubishi UFJ Financial Group, Inc.	1/05/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	66,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4/05/2020	4,680.67	N/A	29,284 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4/05/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	100,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/05/2020	343.36	N/A	2,146 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/05/2020	33,588.16	N/A	209,926 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/05/2020	80.00	N/A	500 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/05/2020	26,701.62	N/A	161,828 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/05/2020	1,931.57	N/A	11,713 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/05/2020	2,328.65	N/A	14,113 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/05/2020	303.04	N/A	1,894 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/05/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	66,744 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6/05/2020	0.18	N/A	1 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6/05/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	271,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7/05/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	37,364 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11/05/2020	159,037.69	N/A	798,390 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12/05/2020	105,100.00	N/A	500,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12/05/2020	1,005.48	N/A	4,788 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13/05/2020	20,898.05	N/A	90,664 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14/05/2020	197,920.41	N/A	832,739 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/05/2020	318,939.49	N/A	1,373,774 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/05/2020	84,475.78	N/A	340,635 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/05/2020	54.48	N/A	227 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/05/2020	3,629.68	N/A	14,815 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/05/2020	49,545.13	N/A	202,225 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/05/2020	2,476.16	N/A	10,063 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/05/2020	3,168.62	N/A	12,187 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/05/2020	11,942.75	N/A	47,771 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/05/2020	22,099.44	N/A	92,081 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/05/2020	6,430.34	N/A	25,217 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/05/2020	405,694.83	N/A	1,659,365 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/05/2020	2,146.69	N/A	8,762 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/05/2020	22,134.87	N/A	90,316 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/05/2020	63.86	N/A	258 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/05/2020	1,226.88	N/A	5,112 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/05/2020	1,518.25	N/A	6,073 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/05/2020	1,753.52	N/A	7,624 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/05/2020	3,012.94	N/A	12,821 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	26/05/2020	1,373.63	N/A	6,105 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/06/2020	2,555.10	N/A	14,195 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/06/2020	20,338.02	N/A	112,989 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/06/2020	47,350.01	N/A	255,946 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/06/2020	2,523.20	N/A	13,280 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/06/2020	4,691.97	N/A	25,362 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/06/2020	3,087.10	N/A	16,687 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/06/2020	422.18	N/A	2,165 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4/06/2020	73,359.07	N/A	387,778 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/06/2020	483.99	N/A	2,482 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/06/2020	18,040.88	N/A	94,952 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/06/2020	70,230.56	N/A	368,858 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/06/2020	64.03	N/A	337 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/06/2020	N/A	Collateral Received	248,540 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/06/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	5,064,982 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/06/2020	42,928.41	N/A	199,667 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/06/2020	10,564.47	N/A	51,534 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/06/2020	36,286.36	N/A	164,938 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/06/2020	34,468.09	N/A	168,137 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/06/2020	105,761.00	N/A	500,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/06/2020	45,169.11	N/A	215,091 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/06/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	300,563 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10/06/2020	101,051.50	N/A	500,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11/06/2020	97,350.00	N/A	500,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/06/2020	213.86	N/A	1,258 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/06/2020	794.27	N/A	5,043 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/06/2020	1,429.47	N/A	9,076 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17/06/2020	7,750.00	N/A	50,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17/06/2020	1,567.44	N/A	9,952 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17/06/2020	2,180.48	N/A	13,628 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17/06/2020	1,143.61	N/A	7,261 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17/06/2020	421.60	N/A	2,720 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17/06/2020	23,625.00	N/A	150,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/06/2020	16,000.00	N/A	100,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/06/2020	15,750.00	N/A	100,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/06/2020	15,500.00	N/A	100,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/06/2020	1,499.47	N/A	9,585 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/06/2020	7,523.04	N/A	47,019 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/06/2020	2,573.79	N/A	16,573 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/06/2020	28,875.00	N/A	175,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/06/2020	4,337.06	N/A	27,981 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/06/2020	4,642.56	N/A	29,016 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/06/2020	256.80	N/A	1,605 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/06/2020	8,374.63	N/A	47,855 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/06/2020	4,066.48	N/A	23,237 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/06/2020	8,176.00	N/A	51,100 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/06/2020	967.89	N/A	5,866 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/06/2020	13,200.84	N/A	73,338 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	22/06/2020	967.89	N/A	5,866 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/06/2020	294.56	N/A	1,841 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/06/2020	1,442.34	N/A	8,013 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/06/2020	1,492.58	N/A	8,068 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/06/2020	2,914.68	N/A	15,755 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/06/2020	33,988.33	N/A	194,219 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/06/2020	12,188.34	N/A	67,713 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/06/2020	350.28	N/A	1,946 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/06/2020	2,562.30	N/A	14,235 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/06/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	26,300 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/06/2020	N/A	Collateral Received	188,659 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/06/2020	19,235.16	N/A	106,862 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/06/2020	7,549.15	N/A	43,138 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/06/2020	1,433.88	N/A	7,966 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/06/2020	906.87	N/A	4,902 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/06/2020	613.03	N/A	3,503 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/06/2020	6,027.35	N/A	34,442 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/06/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	35,041 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25/06/2020	438.48	N/A	2,436 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25/06/2020	602.18	N/A	3,441 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25/06/2020	19,333.83	N/A	110,479 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25/06/2020	6,718.57	N/A	39,521 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25/06/2020	493.68	N/A	2,904 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25/06/2020	537,993.49	N/A	3,073,546 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25/06/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	49,219 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/06/2020	832.32	N/A	4,624 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/06/2020	15,611.40	N/A	86,730 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/06/2020	9,320.50	N/A	53,260 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/06/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	31,814 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/06/2020	1,975.35	N/A	12,156 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/06/2020	784.82	N/A	4,694 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/06/2020	30,031.49	N/A	182,009 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/06/2020	1,873.58	N/A	11,355 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/06/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	27,669 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30/06/2020	4,165.76	N/A	26,036 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30/06/2020	252.65	N/A	1,630 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30/06/2020	3,761.01	N/A	22,794 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30/06/2020	727.73	N/A	4,695 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/07/2020	684.16	N/A	4,276 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/07/2020	740.96	N/A	4,631 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/07/2020	70,965.75	N/A	450,216 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/07/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	48,872 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/07/2020	239.42	N/A	1,451 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/07/2020	2,249.76	N/A	14,061 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/07/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	14,258 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	3/07/2020	16,500.00	N/A	100,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/07/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	16,827 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6/07/2020	5,577.59	N/A	28,603 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7/07/2020	616.74	N/A	3,246 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7/07/2020	1,875.53	N/A	10,138 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/07/2020	2,263.29	N/A	12,234 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/07/2020	N/A	Collateral Received	600,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10/07/2020	18,454.28	N/A	105,453 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10/07/2020	11,138.04	N/A	61,878 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13/07/2020	2,372.07	N/A	12,822 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13/07/2020	1,921.86	N/A	10,677 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13/07/2020	2,206.40	N/A	12,608 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13/07/2020	14,236.74	N/A	79,093 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14/07/2020	3,600.84	N/A	19,464 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14/07/2020	171.47	N/A	966 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14/07/2020	19.08	N/A	106 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14/07/2020	13,339.44	N/A	74,108 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/07/2020	17,112.96	N/A	95,072 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/07/2020	1,008.18	N/A	5,761 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/07/2020	259.92	N/A	1,444 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/07/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	7,460 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2020	6,618.24	N/A	36,768 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2020	3,423.99	N/A	18,021 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2020	8,364.04	N/A	45,211 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/07/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	16,411 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17/07/2020	400,320.00	N/A	2,000,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17/07/2020	569.40	N/A	2,847 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17/07/2020	923.02	N/A	4,858 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17/07/2020	601,200.00	N/A	3,000,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17/07/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	6,556 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20/07/2020	3,998.32	N/A	19,504 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20/07/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	36,012 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21/07/2020	1,629.67	N/A	8,809 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21/07/2020	10,831.14	N/A	57,006 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21/07/2020	9,768.92	N/A	50,097 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21/07/2020	76.19	N/A	401 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21/07/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	5,213 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/07/2020	937.80	N/A	4,689 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/07/2020	71.57	N/A	367 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/07/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	22,228 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/07/2020	660.47	N/A	3,431 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/07/2020	4,197.77	N/A	21,527 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/07/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	57,720 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	27/07/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	5,638 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28/07/2020	38,440.71	N/A	178,794 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28/07/2020	7,094.64	N/A	34,608 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28/07/2020	4,007.64	N/A	19,084 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28/07/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	3,982 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30/07/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	35,172 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31/07/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	9,094 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/08/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	7,146 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6/08/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	128,464 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7/08/2020	5,580.54	N/A	26,574 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7/08/2020	10,841.81	N/A	50,427 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7/08/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	2,346 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10/08/2020	3,177.06	N/A	14,777 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10/08/2020	1,468.95	N/A	6,995 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10/08/2020	12.75	N/A	60 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10/08/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	4,132 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12/08/2020	414.60	N/A	2,073 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12/08/2020	2,577.18	N/A	13,049 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12/08/2020	22,764.50	N/A	116,741 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12/08/2020	1,690.73	N/A	8,783 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12/08/2020	7,331.40	N/A	36,657 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12/08/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	1,970 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14/08/2020	235.51	N/A	1,273 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17/08/2020	373.89	N/A	2,021 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/08/2020	N/A	Collateral Received	1,103,264 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/08/2020	214.13	N/A	1,127 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/08/2020	19.57	N/A	103 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/08/2020	42.19	N/A	225 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/08/2020	3,484.22	N/A	18,338 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20/08/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	758,009 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25/08/2020	304.60	N/A	1,523 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25/08/2020	USD 2,585,121.00	N/A	19,000,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28/08/2020	4,978,107.84	N/A	26,908,691 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31/08/2020	3,098.57	N/A	16,749 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31/08/2020	15,292.34	N/A	80,486 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31/08/2020	N/A	Borrow by an entity controlled by Morgan Stanley - see Annexure B	18,938,000 Ordinary Shares

## 6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:


Name and ACN/ARSN (if applicable)	Nature of association
See Annexure A	Each company referred to is an associate of Mitsubishi UFJ Financial Group, Inc. under section 12 of the Corporations Act

## 7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Mitsubishi UFJ Financial Group, Inc.	2-7-1, Marunouchi, Chiyoda-ku, Tokyo 100-8330, Japan

Signature

print name	Kenji Takase	capacity	Authorised signatory
sign here		date	3 September 2020

## Annexure A

This is Annexure A of 4 pages referred to in the Form 603 (Notice of Initial Substantial Holder) dated 3 September 2020.



Kenji Takase

Authorised signatory

Dated 3 September 2020

MUFG Bank, Ltd.  
Mitsubishi UFJ Trust and Banking Corporation  
Mitsubishi UFJ Securities Holdings Co., Ltd.  
MU Business Engineering, Ltd.  
The Mitsubishi UFJ Factors Limited  
Mitsubishi UFJ Jinji Service Co., Ltd.  
MU Techno-service Co., Ltd.  
Tokyo Credit Service, Ltd.  
Tokyo Associates Finance Corp.  
MU Business Service Co., Ltd.  
Mitsubishi UFJ Loan Business Co., Ltd.  
Mitsubishi UFJ Information Technology, Ltd.  
MU Center Service Tokyo Co., Ltd.  
MU Center Service Nagoya Co., Ltd.  
MU Center Service Osaka Co., Ltd.  
MU Business Aid Co., Ltd.  
MU Property Research Company Limited  
Mitsubishi UFJ Home Loan CREDIT CO., LTD.  
The Diamond Home Credit Company Limited  
Mitsubishi UFJ Research & Consulting Co., Ltd.  
MU Frontier Services Co., Ltd.  
Otemachi Guarantee Co., Ltd.  
GD Holdings Company Limited  
MU Loan Administration Support Co., Ltd.  
MU Communications Co., Ltd.  
Japan Electronic Monetary Claim Organization  
MU Business Partner Co., Ltd.  
MUT Business Outsourcing Co., Ltd.  
Mezzanine Solution II Limited Partnership  
Mezzanine Solution III Limited Partnership  
Mitsubishi UFJ Capital Co., Ltd.  
Mitsubishi UFJ Capital III, Limited Partnership  
Mitsubishi UFJ Capital IV, Limited Partnership  
Mitsubishi UFJ Capital V, Limited Partnership  
Mitsubishi UFJ Capital VI, Limited Partnership  
Mitsubishi UFJ Capital VII, Limited Partnership  
Mitsubishi UFJ Life Science 1, Limited Partnership  
Mitsubishi UFJ Life Science 2, Limited Partnership  
Tohoku Senary Industry Support, Limited Partnership  
OIDE Fund Investment Limited Partnership  
Mitsubishi UFJ Personal Financial Advisers Co., Ltd.  
Mitsubishi UFJ Financial Partners Co., Ltd.  
The Mitsubishi Asset Brains Company, Limited  
BOT Lease Co., Ltd.  
The Chukyo Bank, Ltd.  
Nippon Mutual Housing Loan Co., Ltd.  
JM Real Estate Co., Ltd.  
Jibun Bank Corporation  
JACCS CO., LTD.  
JALCARD Inc.  
Yume Shokei Fund No.2  
Yume Shokei Fund No.3  
Marunouchi Capital Fund II Limited Partnership  
M·U·Trust Sougou Kanri Co., Ltd.  
Mitsubishi UFJ Trust Business Co., Ltd.  
Ryoshin Data Co., Ltd.  
Mitsubishi UFJ Trust Systems Co., Ltd.  
Mitsubishi UFJ Trust Investment Technology Institute Co., Ltd.  
Mitsubishi UFJ Trust Hosyo Co., Ltd.  
M·U·Trust·Apple Planning Company, Ltd.  
Mitsubishi UFJ Real Estate Services Co., Ltd.  
Mitsubishi UFJ Daiko Business Co., Ltd.  
The Master Trust Bank of Japan, Ltd.  
MU Investments Co., Ltd.  
Japan Shareholder Services Ltd.  
Mitsubishi UFJ Kokusai Asset Management Co., Ltd.  
Tokumei-Kumiai (CPI)  
Tokumei-Kumiai (GII)  
Tokumei-Kumiai (Gate Bridge 1)  
Tokumei-Kumiai (CENTOMILIARDO)  
Tokumei-Kumiai (MEET)  
Tokumei-Kumiai (FIELD)  
Ippan Shadan Houjin Leone  
Jointly Managed Monetary Trust Fund (Fund Number:550001)



MU Trust Property Management Co., Ltd.  
 Tokumei-Kumiai (RICE)  
 TOKKINGAI (Fund Number.900338)  
 TOKKINGAI (Fund Number.900327)  
 TOKKINGAI (Fund Number.900344)  
 AMP Capital Investors KK  
 MM Partnership  
 MUS Information Systems Co., Ltd.  
 MUS Business Service Co., Ltd.  
 Mitsubishi UFJ Morgan Stanley Securities Co., Ltd.  
 Mitsubishi UFJ Morgan Stanley PB Securities Co., Ltd.  
 kabu.com Securities Co., Ltd.  
 Morgan Stanley MUFG Securities Co., Ltd.  
 Mitsubishi UFJ NICOS Co., Ltd.  
 Card Business Service Co.,Ltd.  
 MU NICOS Business Service Co., Ltd.  
 MU NICOS Credit Co.,Ltd.  
 Ryoshin DC Card Company Ltd.  
 JMS Co., Ltd.  
 Paygent Co., Ltd.  
 JA Card Co., Ltd  
 ACOM CO., LTD.  
 IR Loan Servicing, Inc.  
 MU Credit Guarantee Co., Ltd.  
 Japan Digital Design, Inc.  
 Crowd Money Inc.  
 MUMEC Visionary Design, Ltd.  
 Global Open Network, Inc.  
 Global Open Network Japan, Inc.  
 MUFG Innovation Partners Co., Ltd.  
 MUFG Innovation Partners No.1 Investment Partnership  
 Mitsubishi Research Institute DCS Co.,Ltd.  
 HR Solution DCS Co.,Ltd.  
 Mitsubishi UFJ Lease & Finance Company Limited  
 Hitachi Capital Corporation  
 Nihombashi TG Jigyo Kyodo Kumiai  
 NIHOMBASHI TG SERVICE CO., LTD.  
 SHIN-NIOMBASHI TSUSHO CO., LTD.  
 Solution Design Co.,Ltd.  
 Nippon Record Keeping Network Co., Ltd.  
 Banco MUFG Brasil S.A.  
 9808680 Canada Inc.  
 BTMU (Curacao) Holdings N.V.  
 MUFG Bank (Europe) N.V.  
 MUFG Business Services (Holland) B.V.  
 MUFG Funding (UK) Limited  
 MUFG Europe Lease (Deutschland) GmbH  
 MUFG Bank (Malaysia) Berhad  
 MUFG North America International, Inc.  
 MUFG Bank Mexico, S.A.  
 MUFG Nominees (HK) Limited  
 MUFG Nominees (UK) Limited  
 MUFG Americas Holdings Corporation  
 MUFG Union Bank, N.A.  
 Union Bank of California Leasing, Inc.  
 UBOC Community Development Corporation  
 UnionBanc Investment Services, LLC  
 Bankers Commercial Corporation  
 UnionBanCal Equities, Inc.  
 UnionBanCal Leasing Corporation  
 UnionBanCal Mortgage Corporation  
 Mills-Ralston, Inc.  
 HighMark Capital Management, Inc.  
 BCC OX I, Inc.  
 BCC OX II, Inc.  
 SEMA OP9 LLC  
 SEMA OP8 LLC  
 MORGANTOWN OL6 LLC  
 MORGANTOWN OL7 LLC  
 MORGANTOWN OL5 LLC  
 DICKERSON OL4 LLC  
 TRL One A, LLC  
 TRL One B, LLC  
 TRL One, LP  
 BM1, LLC  
 UB Leasing Corporation  
 Pacific Capital Statutory Trust I  
 Shiloh IV Wind Project, LLC  
 Shiloh IV Holdings Lessor Trust  
 Green Union I Trust  
 Green Union II Trust  
 Green Union III Trust  
 Tohlease Corporation  
 MUFG Americas Funding Corporation  
 MUFG Americas Financial & Leasing Corporation B-4  
 U.B. Vehicle Leasing, Inc.  
 MUFG Americas Leasing Corporation  
 MUFG Americas Financial Services, Inc.  
 MUFG Americas Leasing (Canada) Corporation

MUFG Americas Leasing & Finance, Inc.  
 MUFG Americas Capital Leasing & Finance, LLC  
 MUFG Americas Corporate Advisory, Inc.  
 MUFG Americas LF Capital LLC  
 MUFG Americas Capital Company  
 MUFG Fund Services (USA) LLC  
 MUFG Securities Americas Inc.  
 MUFG Capital Analytics LLC  
 MUFG Investor Services (US), LLC  
 Intrepid Investment Bankers LLC  
 Southern California Business Development Corporation  
 Catalina Solar Holdings Lessor Trust  
 Catalina Solar, LLC  
 Morgan Stanley MUFG Loan Partners, LLC  
 PT U Finance Indonesia  
 PT. MU Research and Consulting Indonesia  
 MU Research and Consulting (Thailand) Co., Ltd.  
 MUFG Participation (Thailand) Co., Ltd.  
 AO MUFG Bank (Eurasia)  
 MUFG Bank (China), Ltd.  
 BTMU Preferred Capital 8 Limited  
 BTMU Preferred Capital 9 Limited  
 BTMU Liquidity Reserve Investment Limited  
 MUFG Bank Turkey Anonim Sirketi  
 Bank of Ayudhya Public Company Limited  
 Krungsri Ayudhya AMC Limited  
 Krungsri Factoring Company Limited  
 Ayudhya Development Leasing Company Limited  
 Ayudhya Capital Auto Lease Public Company Limited  
 Krungsriayudhya Card Company Limited  
 General Card Services Limited  
 Ayudhya Capital Services Company Limited  
 Krungsri General Insurance Broker Limited  
 Krungsri Life Assurance Broker Limited  
 Krungsri Asset Management Company Limited  
 Total Services Solutions Public Company Limited  
 Ngern Tid Lor Company Limited  
 Krungsri Securities Public Company Limited  
 Siam Realty and Services Security Co.,Ltd.  
 Krungsri Leasing Services Co., Ltd.  
 Hattha Kaksekar Limited  
 Krungsri Finnovate Co., Ltd.  
 Tesco Card Services Limited  
 BTMU Liquidity Reserve Investment 2 Limited  
 BTMU Liquidity Reserve Investment 3 Limited  
 PT Guna Dharma  
 PT Bank Danamon Indonesia, Tbk.  
 PT Adira Quantum Multifinance  
 PT Adira Dinamika Multi Finance Tbk  
 PT Asuransi Adira Dinamika  
 BOT Lease (HK) Co., Ltd.  
 PT Bumiputera - BOT Finance  
 BOT Lease Holding Philippines, Inc.  
 BOT Lease and Finance, Philippines, Inc.  
 BOT Lease (Thailand) Co., Ltd.  
 MUFG Holding (Thailand) Co., Ltd.  
 Bangkok MUFG Limited  
 BOT Lease(Eurasia)LLC  
 BOT Lease (Tianjin) Co., Ltd.  
 BOTL Factoring (Shanghai) Co., Ltd.  
 BOT LEASE MEXICO S.A. DE C.V.  
 BOT FINANCE MEXICO, S.A. DE C.V., SOFOM, E.N.R.  
 GOLDEN ASIA FUND VENTURES LTD.  
 Lakefield Wind Project OP Trust  
 Lakefield Wind Project, LLC  
 Pacwind Holdings Lessor Trust  
 Pacific Wind, LLC  
 Vietnam Joint Stock Commercial Bank for Industry and Trade  
 GOLDEN ASIA FUND II, L.P.  
 Security Bank Corporation  
 Mitsubishi UFJ Trust International Limited  
 Mitsubishi UFJ Baillie Gifford Asset Management Limited  
 Mitsubishi UFJ Investor Services & Banking (Luxembourg) S.A.  
 MUFG Lux Management Company S.A.  
 Mitsubishi UFJ Investment Services (HK) Limited  
 Mitsubishi UFJ Asset Management (UK) Ltd.  
 LUX J1 FUND  
 MUFG Investor Services Holdings Limited  
 MUFG Fund Services (Bermuda) Limited  
 MUFG Fund Services (Cayman) Limited  
 MUFG Fund Services (Cayman) Group Limited  
 MUFG Fund Services (Ireland) Limited  
 Fund Secretaries Limited  
 MUFG Fund Services Limited  
 MUFG Fund Services (Canada) Limited  
 MUFG Fund Services (UK) Limited  
 MUFG Fund Services (Halifax) Limited  
 MUFG Fund Services (Singapore) Pte. Ltd.  
 MUFG Fund Services (Hong Kong) Limited  
 MUFG Alternative Fund Services (Cayman) Limited

Firtown International Holdings Ltd.  
 General Secretaries Ltd.  
 AFS Controlled Subsidiary 1 Ltd.  
 AFS Controlled Subsidiary 2 Ltd.  
 AFS Controlled Subsidiary 3 Ltd.  
 MUFG Alternative Fund Services (Ireland) Limited  
 MUFG Alternative Fund Services (Jersey) Limited  
 MUFG Jersey Management Company Limited  
 MUFG Controlled Subsidiary 1 (Cayman) Limited  
 SWS MU FUND MANAGEMENT CO.,LTD.  
 SWSMU (SHANGHAI) ASSETS MANAGEMENT COMPANY LIMITED  
 AMP Capital Holdings Limited  
 MUFG Global Fund SICAV  
 MUFG Securities EMEA plc  
 MUFG Securities (Europe) N.V.  
 MUFG Securities Asia (Singapore) Limited  
 MUFG Securities Asia Limited  
 Mitsubishi UFJ Wealth Management Bank (Switzerland), Ltd.  
 MUFG Securities (Canada), Ltd.  
 EASY BUY Public Company Limited  
 ACOM CONSUMER FINANCE CORPORATION  
 MUFG Capital Finance 8 Limited  
 MUFG Capital Finance 9 Limited  
 GOLDEN ASIA FUND, L.P.  
 DCS Information Technology (Shanghai) Co., Ltd  
 MRIDCS Americas, Inc.  
 Morgan Stanley  
 Purple Finance (Cayman) International Ltd.  
 Bangkok Mitsubishi UFJ Lease Co., Ltd  
 The California-Sansome Corporation  
 First Sentier Investors Holdings Pty Limited  
 First Sentier Investors (Australia) IP Holdings Pty Limited  
 First Sentier Investors (Australia) Services Pty Limited  
 CFSPAI EUROPE CO LIMITED (COMPANY CODE C41267)  
 CFSPAI EUROPE HOLDCO LIMITED (COMPANY CODE C41260)  
 First Sentier Investors (Australia) IM Ltd  
 First Sentier Investors (Australia) Infrastructure Holdings Ltd  
 First Sentier Investors (Australia) Infrastructure Managers Pty Ltd  
 COLONIAL FIRST STATE INVESTMENT MANAGERS (UK) LIMITED (COMPANY NO. 00153197)  
 First Sentier Investors (Australia) RE Ltd  
 EDIF II GP S.A.R.L. (COMPANY NO. B204413)  
 FIRST STATE EUROPEAN DIVERSIFIED INFRASTRUCTURE S.A.R.L. (COMPANY NO. B134314)  
 FIRST STATE INFRASTRUCTURE MANAGERS (INTERNATIONAL) LIMITED (COMPANY NO. 298444)  
 FIRST STATE INVESTMENT MANAGEMENT (UK) LIMITED (COMPANY NO. SC047708)  
 First Sentier Investors Asia Holdings Limited  
 FIRST STATE INVESTMENT SERVICES (UK) LIMITED (COMPANY NO. 03904320)  
 FIRST STATE INVESTMENTS (HONG KONG) LIMITED (CR NO. 0206616)  
 FIRST STATE INVESTMENTS (IRELAND) LIMITED (COMPANY NO. 629188)  
 FIRST STATE INVESTMENTS (JAPAN) LIMITED (COMPANY NUMBER 0104-01-093090)  
 FIRST STATE INVESTMENTS (SINGAPORE) (COMPANY NO. 02294743)  
 FIRST STATE INVESTMENTS (UK HOLDINGS) LIMITED (COMPANY NO. 03904310)  
 FIRST STATE INVESTMENTS (UK) LIMITED (COMPANY NO. 02294743)  
 FIRST STATE INVESTMENTS (US) LLC (FILE NUMBER 546 9442)  
 FIRST STATE INVESTMENTS FUND MANAGEMENT S.A.R.L (COMPANY NO. B128117)  
 FIRST STATE INVESTMENTS HOLDINGS (SINGAPORE) LIMITED (REGISTRATION NO. 199901706Z)  
 FIRST STATE INVESTMENTS INTERNATIONAL LIMITED (COMPANY NO. SC079063)  
 FIRST STATE NOMINEES (HONG KONG) LIMITED (CR NO. 0206615)  
 FSIB LTD  
 First Sentier Investors Realindex Pty Ltd  
 SI HOLDINGS LIMITED (COMPANY NO. SC109439)  
 TOTAL KEEN INVESTMENT LIMITED (CR NO. 0580652)

## Annexure B

This is Annexure B of 1 page referred to in the Form 603 (Notice of Initial Substantial Holder) dated 3 September 2020.



Kenji Takase

Authorised signatory

Dated 3 September 2020

The below schedules are based on the relevant standard agreements. The entity filing the report will, if requested by the company or responsible entity to whom the prescribed form must be given or ASIC, give a copy of the agreement to the company, responsible entity or ASIC.

<b>Schedule</b>	
<b>Type of Agreement</b>	Australian Master Securities Lending Agreement
<b>Parties to agreement</b>	Morgan Stanley Australia Securities Limited and MACQUARIE BANK LIMITED
<b>Transfer Date</b>	20200831;
<b>Holder of Voting Rights</b>	Borrower
<b>Are there any restrictions on voting rights?</b>	<del>Yes</del> /No
<b>If yes, detail</b>	Not applicable
<b>Scheduled Return Date (if any)</b>	Open
<b>Does the borrower have the right to return early?</b>	Yes/ <del>No</del>
<b>If yes, detail</b>	The Borrower shall be entitled at any time to terminate a particular loan of Securities and to redeliver all and any Equivalent Securities due and outstanding to the Lender in accordance with the Lender's instructions.
<b>Does the lender have the right to recall early?</b>	Yes/ <del>No</del>
<b>If yes, detail</b>	The Lender may call for the redelivery of all or any Equivalent Securities at any time by giving notice on any Business Day of not less than the Standard Settlement Time for such Equivalent Securities or the equivalent time on the exchange or in the clearing organisation through which the relevant borrowed Securities were originally delivered.
<b>Will the securities be returned on settlement?</b>	Yes/ <del>No</del>
<b>If yes, detail any exceptions</b>	If an Event of Default occurs in relation to either Party, the Parties' delivery and payment obligations shall be accelerated so as to require performance thereof at the time such Event of Default occurs. In such event the Relevant Value of the Securities to be delivered by each Party shall be established and on the basis of the Relevant Values so established, an account shall be taken of what is due from each Party to the other and the sums due from one Party shall be set-off against the sums due from the other and only the balance of the account shall be payable.

<b>Schedule</b>	
<b>Type of Agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and AUSBIL INVESTMENT MANAGEMENT LIMITED AS RESPONSIBLE ENTITY FOR AUSBIL GLOBAL RESOURCES FUND
<b>Transfer Date</b>	20200831;
<b>Holder of Voting Rights</b>	Prime broker has the right to vote securities rehypothecated from the Client.
<b>Are there any restrictions on voting rights?</b>	<del>Yes</del> /No
<b>If yes, detail</b>	Not applicable
<b>Scheduled Return Date (if any)</b>	Open
<b>Does the borrower have the right to return early?</b>	Yes/ <del>No</del>
<b>If yes, detail</b>	Prime broker may return shares which were rehypothecated from the client at any time.
<b>Does the lender have the right to recall early?</b>	Yes/ <del>No</del>
<b>If yes, detail</b>	Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.
<b>Will the securities be returned on settlement?</b>	Yes/ <del>No</del>
<b>If yes, detail any exceptions</b>	Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.