

# Appendix 3Y

## Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	LUCAPA DIAMOND COMPANY LIMITED
<b>ACN</b>	111 501 663

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	ROSS STANLEY
<b>Date of last notice</b>	5 June 2020

### Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Indirect
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	Ross Stanley is a director and beneficiary of Tazga Two Pty Ltd
<b>Date of change</b>	30 September 2020
<b>No. of securities held prior to change</b>	<b>Tazga Two Pty Ltd</b> 50,511,599 Fully paid ordinary shares 8,418,600 Listed \$0.10 options, expiring 5 June 2022
<b>Class</b>	Fully Paid Ordinary Shares
<b>Number acquired</b>	150,000 Fully Paid Ordinary Shares
<b>Number disposed</b>	Nil
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	\$9,600
<b>No. of securities held after change</b>	<b>Tazga Two Pty Ltd</b> 50,661,599 Fully paid ordinary shares 8,418,600 Listed \$0.10 options, expiring 5 June 2022
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Off-market trade.

+ See chapter 19 for defined terms.

## Part 2 – Change of director's interests in contracts N/A

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

<b>Detail of contract</b>	
<b>Nature of interest</b>	
<b>Name of registered holder (if issued securities)</b>	
<b>Date of change</b>	
<b>No. and class of securities to which interest related prior to change</b> <small>Note: Details are only required for a contract in relation to which the interest has changed</small>	
<b>Interest acquired</b>	
<b>Interest disposed</b>	
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and an estimated valuation</small>	
<b>Interest after change</b>	

## Part 3 – <sup>+</sup>Closed period

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	NO
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

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Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	LUCAPA DIAMOND COMPANY LIMITED
<b>ACN</b>	111 501 663

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	MILES KENNEDY
<b>Date of last notice</b>	25 September 2020

### Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Indirect
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	Miles Kennedy is a director and beneficiary of Kennedy Holdings (WA) Pty Ltd
<b>Date of change</b>	30 September 2020
<b>No. of securities held prior to change</b>	<b>Direct</b> 130,000 Unlisted \$0.4355 options, expiring 7 June 2021  <b>Indirect</b> <b>Kennedy Holdings (WA) Pty Ltd</b> 3,000,153 Fully paid ordinary shares 525,026 Listed \$0.10 options, expiring 5 June 2022
<b>Class</b>	150,000 Fully paid ordinary shares
<b>Number acquired</b>	Nil
<b>Number disposed</b>	150,000 Fully paid ordinary shares
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	\$9,600

+ See chapter 19 for defined terms.

<b>No. of securities held after change</b>	<b>Direct</b> 130,000 Unlisted \$0.4355 options, expiring 7 June 2021  <b>Indirect</b> <b>Kennedy Holdings (WA) Pty Ltd</b> 2,850,153 Fully paid ordinary shares 525,026 Listed \$0.10 options, expiring 5 June 2022
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Off-market trade to meet tax liability on LOM performance shares.

## Part 2 – Change of director's interests in contracts N/A

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	
<b>Nature of interest</b>	
<b>Name of registered holder (if issued securities)</b>	
<b>Date of change</b>	
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	
<b>Interest acquired</b>	
<b>Interest disposed</b>	
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	
<b>Interest after change</b>	

## Part 3 – <sup>+</sup>Closed period

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	NO
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

<sup>+</sup> See chapter 19 for defined terms.