

# Form 605

Corporations Act 2001

Section 671B

## Notice of ceasing to be a substantial holder

To Company Name/Scheme BASE RESOURCES LIMITED

ACN/ARSN ACN 125 546 910

### 1. Details of substantial holder (1)

Name Credit Suisse Holdings (Australia) Limited (on behalf of Credit Suisse Group AG and its affiliates)

ACN/ARSN (if applicable) 008 496 713

The holder ceased to be a substantial holder on 06-Oct-2020

The previous notice was given to the company on 30-Sep-2020

The previous notice was dated 30-Sep-2020

### 2. Changes in relevant interests

Particulars of each change in , or change in the nature of, a relevant interest (2) of the substantial holder or an associate (3) in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration given in relation to change (5)	Class (6) and number of securities affected	Person's votes affected
29-Sep-20	Credit Suisse Equities (Australia) Limited	Disposed on market	11.46 AUD	-45 Common Stock	-45
29-Sep-20	Credit Suisse Equities (Australia) Limited	Acquired on market	2,500.0 AUD	10,000 Common Stock	10,000
29-Sep-20	Credit Suisse Equities (Australia) Limited	Acquired on market	2,855.44 AUD	11,601 Common Stock	11,601
30-Sep-20	Credit Suisse Equities (Australia) Limited	Disposed on market	9.77 AUD	-39 Common Stock	-39
30-Sep-20	Credit Suisse Equities (Australia) Limited	Acquired on market	9,454.80 AUD	38,591 Common Stock	38,591
30-Sep-20	Credit Suisse Equities (Australia) Limited	Acquired on market	7,500.0 AUD	30,000 Common Stock	30,000
30-Sep-20	Credit Suisse Equities (Australia) Limited	Acquired on market	9,921.23 AUD	40,140 Common Stock	40,140
1-Oct-20	Credit Suisse Equities (Australia) Limited	Disposed on market	1,218.25 AUD	-4,873 Common Stock	-4,873
1-Oct-20	Credit Suisse Equities (Australia) Limited	Acquired on market	5.50 AUD	22 Common Stock	22
2-Oct-20	Credit Suisse Equities (Australia) Limited	Disposed on market	2,450.0 AUD	-10,000 Common Stock	-10,000
2-Oct-20	Credit Suisse Equities (Australia) Limited	Disposed on market	2.98 AUD	-12 Common Stock	-12
5-Oct-20	Credit Suisse Equities (Australia) Limited	Disposed on market	5,422.25 AUD	-21,689 Common Stock	-21,689

5-Oct-20	Credit Suisse Equities (Australia) Limited	Disposed on market	1.96 AUD	-8 Common Stock	-8
5-Oct-20	Credit Suisse Equities (Australia) Limited	Acquired on market	1.25 AUD	5 Common Stock	5
6-Oct-20	Credit Suisse Equities (Australia) Limited	Disposed on market	167.98 AUD	-682 Common Stock	-682
6-Oct-20	Credit Suisse Equities (Australia) Limited	Disposed on market	2,036.20 AUD	-8,311 Common Stock	-8,311
6-Oct-20	Credit Suisse Equities (Australia) Limited	Acquired on market	7,494.71 AUD	29,391 Common Stock	29,391
6-Oct-20	Credit Suisse AG, Dublin branch	Stock returned under Master prime brokerage agreement	Refer to Annexure A of Notice	-3,219,997 Common Stock	-3,219,997
6-Oct-20	Credit Suisse AG, Dublin branch	Stock returned under Master prime brokerage agreement	Refer to Annexure A of Notice	-5,831,000 Common Stock	-5,831,000

### 3. Changes in association

The persons who have become associates (3) of, ceased to be associates of, or have changed the nature of their association (7) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	

### 4. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
CS Third Nominees Pty Limited (ACN 007 053 849)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Fourth Nominees Pty Limited (ACN 069 126 432)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse AG, Dublin Branch	Kilmore House, Park lane, Spencer Dock, Dublin 1, Ireland

**Signature**

print name Winston Loke

capacity Authorised Signatory

sign here

date 09-Oct-2020



Annexure "A"

This is Annexure "A" referred to in the Form 605 "Notice of ceasing to be a substantial holder"

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**Signature**

Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name	Winston Loke	capacity	Authorised Signatory
<b>sign here</b>		date	09-Oct-2020

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**Prescribed information**

**Schedule**

Type of agreement	Master Prime Brokerage Securities Lending Agreement
Parties to agreement	Credit Suisse AG, Dublin Branch and Regal Investment Fund
Transfer date	06-Oct-2020
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 days prior written notice.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.