

# Form 605

Corporations Act 2001

Section 671B

## Notice of ceasing to be a substantial holder

To Company Name/Scheme BASE RESOURCES LIMITED

ACN/ARSN ACN 125 546 910

### 1. Details of substantial holder (1)

Name Credit Suisse Holdings (Australia) Limited (on behalf of Credit Suisse Group AG and its affiliates)

ACN/ARSN (if applicable) 008 496 713

The holder ceased to be a substantial holder on 05-Nov-2020

The previous notice was given to the company on 26-Oct-2020

The previous notice was dated 26-Oct-2020

### 2. Changes in relevant interests

Particulars of each change in , or change in the nature of, a relevant interest (2) of the substantial holder or an associate (3) in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration given in relation to change (5)	Class (6) and number of securities affected	Person's votes affected
22-Oct-20	Credit Suisse Equities (Australia) Limited	Disposed on market	194.62 AUD	-806 Common Stock	-806
23-Oct-20	Credit Suisse Equities (Australia) Limited	Disposed on market	123.38 AUD	-513 Common Stock	-513
23-Oct-20	Credit Suisse Equities (Australia) Limited	Disposed on market	485.0 AUD	-2,000 Common Stock	-2,000
26-Oct-20	Credit Suisse Equities (Australia) Limited	Disposed on market	267.44 AUD	-1,093 Common Stock	-1,093
26-Oct-20	Credit Suisse Equities (Australia) Limited	Acquired on market	1,410.0 AUD	6,000 Common Stock	6,000
27-Oct-20	Credit Suisse Equities (Australia) Limited	Disposed on market	6.13 AUD	-25 Common Stock	-25
28-Oct-20	Credit Suisse Equities (Australia) Limited	Disposed on market	3,558.23 AUD	-14,943 Common Stock	-14,943
28-Oct-20	Credit Suisse Equities (Australia) Limited	Disposed on market	358.85 AUD	-1,527 Common Stock	-1,527
29-Oct-20	Credit Suisse Equities (Australia) Limited	Disposed on market	1,410.0 AUD	-6,000 Common Stock	-6,000
29-Oct-20	Credit Suisse Equities (Australia) Limited	Disposed on market	3,998.17 AUD	-17,010 Common Stock	-17,010
30-Oct-20	Credit Suisse Equities (Australia) Limited	Disposed on market	2,529.06 AUD	-10,512 Common Stock	-10,512
30-Oct-20	Credit Suisse Equities (Australia) Limited	Disposed on market	5,456.52 AUD	-23,120 Common Stock	-23,120

2-Nov-20	Credit Suisse Equities (Australia) Limited	Disposed on market	7,968.63 AUD	-33,722 Common Stock	-33,722
2-Nov-20	Credit Suisse Equities (Australia) Limited	Disposed on market	754.12 AUD	-3,209 Common Stock	-3,209
3-Nov-20	Credit Suisse Equities (Australia) Limited	Disposed on market	9,281.76 AUD	-39,308 Common Stock	-39,308
3-Nov-20	Credit Suisse Equities (Australia) Limited	Disposed on market	4,029.76 AUD	-16,967 Common Stock	-16,967
4-Nov-20	Credit Suisse Equities (Australia) Limited	Disposed on market	1,449.25 AUD	-6,167 Common Stock	-6,167
4-Nov-20	Credit Suisse Equities (Australia) Limited	Disposed on market	10,445.0 AUD	-44,301 Common Stock	-44,301
4-Nov-20	Credit Suisse Equities (Australia) Limited	Acquired on market	3,465.77 AUD	14,146 Common Stock	14,146
4-Nov-20	Credit Suisse Equities (Australia) Limited	Acquired on market	1,225.0 AUD	5,000 Common Stock	5,000
5-Nov-20	Credit Suisse Equities (Australia) Limited	Disposed on market	3,326.12 AUD	-13,576 Common Stock	-13,576
5-Nov-20	Credit Suisse Equities (Australia) Limited	Disposed on market	735,000.0 AUD	-3,000,000 Common Stock	-3,000,000
5-Nov-20	Credit Suisse Equities (Australia) Limited	Acquired on market	2,160.0 AUD	9,000 Common Stock	9,000
5-Nov-20	Credit Suisse Equities (Australia) Limited	Acquired on market	1,797.60 AUD	7,490 Common Stock	7,490

### 3. Changes in association

The persons who have become associates (3) of, ceased to be associates of, or have changed the nature of their association (7) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	

### 4. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
CS Third Nominees Pty Limited (ACN 007 053 849)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Fourth Nominees Pty Limited (ACN 069 126 432)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse AG, Dublin Branch	Kilmore House, Park lane, Spencer Dock, Dublin 1, Ireland

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## Signature

print name Winston Loke

capacity Authorised Signatory

sign here

date 10-Nov-2020



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