



Thursday, 19 November 2020

Alex Sutton  
Compliance Adviser, Geology, Listings Compliance  
Australian Stock Exchange Limited  
ASX Customer Service Centre  
Sydney

By email: [listingscompliancesydney@asx.com.au](mailto:listingscompliancesydney@asx.com.au)

Dear Alex

**Centrex Metals Limited (ASX:CXM): Price - Query**

We refer to your letter dated 19 November 2020 regarding an ASX price query and respond to your questions as follows:

- 1. Is CXM aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?**

No - the Company is not aware of any information concerning it that has not been announced to the market which, if known, could explain the recent trading in the securities of the Company.

- 2. Not applicable**

- 3. If the answer to question 1 is "no", is there any other explanation that CXM may have for the recent trading in its securities?**

No - the Company has no explanation for the recent trading in its securities; the Company refers to recent ASX disclosure on 27 October 2020 (quarterly report) and 20 October 2020 (non-renounceable rights issue).

- 4. Please confirm that CXM is in compliance with the Listing Rules and, in particular, Listing Rule 3.1.**

The Company confirms that it is in compliance with the Listing Rules and, in particular, Listing Rule 3.1.

- 5. Please confirm that CXM's responses to the questions above have been authorised and approved in accordance with its published continuous disclosure policy or otherwise by its board or an officer of CXM with delegated authority from the board to respond to ASX on disclosure matters.**

The Company confirms that its responses to the questions above have been authorised and approved by an officer of the Company with delegated authority from the board to respond to the ASX on disclosure matters.

Yours sincerely

**John Santich**  
Company Secretary

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19 November 2020

Mr John Santich  
Company Secretary  
Centrex Metals Limited  
Level 6, 44 Waymouth St  
Adelaide, SA 5000

By email:

Dear Mr Santich

**Centrex Metals Limited ('CXM'): Price - Query**

ASX refers to the following:

- A. The change in the price of CXM's securities from a low of \$0.024 to a high of \$0.051 today.
- B. The significant increase in the volume of CXM's securities traded from 16 November 2020 to 19 November 2020.

**Request for information**

In light of this, ASX asks CXM to respond separately to each of the following questions and requests for information:

1. Is CXM aware of any information concerning it that has not been announced to the market which, if known
2. If the answer to question 1 is "yes".
  - (a) Is CXM relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in CXM's securities would suggest to ASX that such information may have ceased to be confidential and therefore CXM may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
  - (b) Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
  - (c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?
3. If the answer to question 1 is "no", is there any other explanation that CXM may have for the recent trading in its securities?
4. Please confirm that CXM is complying with the Listing Rules and, in particular, Listing Rule 3.1.
5. Please confirm that CXM's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of CXM with delegated authority from the board to respond to ASX on disclosure matters.

**When and where to send your response**

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **01:30 PM AEDT Thursday, 19 November 2020**. You should note that if the information requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it does not fall within the exceptions mentioned in Listing Rule 3.1A, CXM's obligation is to disclose the

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information 'immediately'. This may require the information to be disclosed before the deadline set out in the previous paragraph and may require CXM to request a trading halt immediately.

Your response should be sent to me by e-mail at [ListingsComplianceSydney@asx.com.au](mailto:ListingsComplianceSydney@asx.com.au). It should not be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

### Trading halt

If you are unable to respond to this letter by the time specified above, or if the answer to question 1 is "yes" and an announcement cannot be made immediately, you should discuss with us whether it is appropriate to request a trading halt in CXM's securities under Listing Rule 17.1. If you wish a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted. You can find further information about trading halts in Guidance Note 16 *Trading Halts & Voluntary Suspensions*.

### Suspension

If you are unable to respond to this letter by the time specified above, ASX will likely suspend trading in CXM's securities under Listing Rule 17.3.

### Listing Rules 3.1 and 3.1A

In responding to this letter, you should have regard to CXM's obligations under Listing Rules 3.1 and 3.1A and also to Guidance Note 8 *Continuous Disclosure*: Listing Rules 3.1 – 3.1B. It should be noted that CXM's obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

### Release of correspondence between ASX and entity

We reserve the right to release a copy of this letter, your reply and any other related correspondence between us to the market under Listing Rule 18.7A.

### Questions

If you have any questions in relation to the above, please do not hesitate to contact me.

Kind regards



**Alex Sutton**  
Compliance Adviser, Geology, Listings Compliance (Sydney)