

19 March 2021 (London)

## **2021 Notice of Annual General Meeting**

Janus Henderson Group's 2021 Notice of Annual General Meeting has been made available to Janus Henderson Group shareholders today.

The Notice of Annual General Meeting (including samples of the Proxy Card for ordinary shareholders, Voting Instruction Form for CDI holders, and Form of Instruction and Form of Direction for UK Depositary Interests ('UK DIs') holders and holders of UK DIs via the Corporate Sponsored Nominee facility respectively) is included below and is also available on the Janus Henderson Group website at [www.janushenderson.com/AGM2021](http://www.janushenderson.com/AGM2021).

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### **Authorised by:**

Michelle Rosenberg, Company Secretary

### **About Janus Henderson**

Janus Henderson Group is a leading global active asset manager dedicated to helping investors achieve long-term financial goals through a broad range of investment solutions, including equities, fixed income, quantitative equities, multi-asset and alternative asset class strategies.

At 31 December 2020, Janus Henderson had approximately US\$402 billion in assets under management, more than 2,000 employees, and offices in 26 cities worldwide. Headquartered in London, the company is listed on the New York Stock Exchange (NYSE) and the Australian Securities Exchange (ASX).

### **Investor enquiries**

Melanie Horton Co-Head Investor Relations (Non-US)	+44 (0) 20 7818 2905 <a href="mailto:melanie.horton@janushenderson.com">melanie.horton@janushenderson.com</a>
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Jim Kurtz Co-Head Investor Relations (US)	+1 (303) 336 4529 <a href="mailto:jim.kurtz@janushenderson.com">jim.kurtz@janushenderson.com</a>
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Investor Relations	<a href="mailto:investor.relations@janushenderson.com">investor.relations@janushenderson.com</a>
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# ANNUAL GENERAL MEETING 2021

## This document is important and requires your immediate attention

If you are in any doubt as to the action you should take, you should consult your stockbroker, bank manager, solicitor, accountant or other independent financial adviser.

If you have sold or otherwise transferred all your shares in the Company, please send this document and the accompanying documents to the purchaser or transferee or to the stockbroker, bank or other agent through whom the sale or transfer was effected for transmission to the purchaser or transferee.

As used in this Notice of Annual General Meeting, the terms '**Company**', '**we**', '**us**' and '**our**' refer to Janus Henderson Group plc.

### AGM details: Thursday, 29 April 2021

#### Denver, Colorado, USA

Start Time: 3.00pm (Denver time)

151 Detroit Street, Denver, CO 80206, USA

#### Information for shareholders

This booklet contains:

- Information about who may vote at the meeting, and how they may vote (pages 2 to 6)
- The formal Notice of Annual General Meeting containing the resolutions to be proposed at the AGM (page 8)
- Explanatory notes which set out an explanation of the business to be conducted at the AGM (pages 10 to 13)
- AGM access details (page 14)

#### Need help?

If you have any questions, you can phone the Shareholder Information Line on:

##### United States

(Toll-Free) 866 638 5573

(Non Toll-Free) +1 781 575 2374

##### United Kingdom

0370 703 0109

##### Australia

1300 137 981

##### New Zealand

0800 888 017

Please note that there are different voting procedures depending on whether you hold your Janus Henderson shares as:

- ordinary shares listed on the New York Stock Exchange,
- CHESS Depositary Interests ('**CDIs**') quoted on the Australian Securities Exchange,
- Janus Henderson Depositary Interests ('**UK DIs**') through CREST or
- a holder of UK DIs via the Janus Henderson Corporate Sponsored Nominee Facility ('**CSN**').

Shareholders are advised to read this booklet carefully to ensure they understand the voting arrangements that apply to them.

#### 2021 Annual General Meeting details

##### Important information regarding COVID-19 and the Annual General Meeting

As a result of the ongoing COVID-19 pandemic, the State of Colorado has issued an order establishing six, color-coded categories of COVID-19 restrictions which vary by county in accordance with the prevalence of COVID-19 in those counties (the '**Dial Order**'). Details of the Dial Order, as updated on 6 February 2021, can be found at [covid19.colorado.gov/data/covid-19-dial-dashboard](https://covid19.colorado.gov/data/covid-19-dial-dashboard). Our annual general meeting ('**AGM**') will be held in Denver, Colorado, which is

currently in level Yellow (Concern) under the Dial Order. Current restrictions applicable in Denver strongly discourage in-person meetings and recommend the use of online conferencing, email or phone instead of in-person meetings. Therefore, in accordance with the Dial Order:

- shareholders will not be permitted to attend the AGM, and anyone seeking to attend the meeting in person will be refused entry; and
- shareholders are strongly encouraged to vote by proxy. When voting by proxy, shareholders should appoint the Chairman of the meeting as their proxy to ensure that their votes will still be counted despite being unable to attend the meeting in person. If a shareholder appoints someone else as their proxy, that proxy will not be able to attend the meeting in person or cast the shareholder's vote.

In order to keep in-person attendance at the AGM to the bare minimum and observe proper social distancing measures for the small number of people required to attend, our advisers and other guests have also been asked not to attend. The AGM will be limited to only the formal business of the meeting, with no traditional investor presentation or live question-and-answer session. We value the views of our shareholders, and any shareholder wishing to submit a question to the Board may do so by sending an email to [investor.relations@janushenderson.com](mailto:investor.relations@janushenderson.com) in advance of the meeting. We will respond to all questions on an individual basis.

Shareholders may listen to the AGM via a listen-only conference call and webcast. Prior to the meeting, a webcast link will be accessible from our investor relations website, [ir.janushenderson.com](https://ir.janushenderson.com), and the Company's 2021 AGM website, [janushenderson.com/AGM2021](https://janushenderson.com/AGM2021).

As the call and webcast will be listen-only, participation through these means will not constitute formal attendance at the AGM, and shareholders who dial in or view the webcast will not be able to vote or ask questions on the day of the AGM. Any shareholder wishing to listen to the meeting should call:

United Kingdom	0800 358 6377 (toll free)
US and Canada	800 458 4121 (toll free)
Australia	1 800 573 793 (toll free)
All other countries	+1 323 794 2093 (this is not toll free)
Conference ID	7496212

The Board's instructions are subject to change, depending on any new or revised guidelines and measures that may be implemented by governmental authorities prior to the AGM (including any changes to the Dial Order), and any changes to such instructions will be communicated to shareholders.

# VOTING INFORMATION FOR ALL HOLDERS

## How can you vote?

If you would like to vote on the resolutions set out in the Notice of Annual General Meeting, you may appoint either one or more persons as your proxy/representative (who need not be a shareholder of Janus Henderson Group) to vote on your behalf. It is strongly recommended that shareholders appoint the Chairman of the meeting as their proxy to ensure that their votes will still be counted despite being unable to attend the meeting in person. If you appoint someone else as your proxy, in accordance with the current restrictions applicable to Denver, Colorado, under the Dial Order, that proxy will not be able to attend the AGM in person or cast your vote. If you wish to appoint more than one proxy/representative, please copy the enclosed proxy/voting instruction form specific to your holding.

If you appoint more than one proxy, each proxy will be entitled to vote on a poll (when each proxy will have one vote for every share to which their appointment relates, except in the case of a proxy appointed on your behalf by the Depositary Nominee in the case of CDI holders or the DI Depositary in the case of UK DIs).

## What if proxies/voting instructions are to be given on your behalf under a power of attorney or other authority?

Proxies/voting instructions given under authority on behalf of a shareholder must be returned along with either the original power of attorney or other authority, or a certified copy, to the Company's Share Registry so as to arrive no later than the cut-off times set out in the table below.

Specific instructions for submitting proxies/ voting instructions via the following methods are on the following pages:

- For direct/ordinary shares, see page 3
- For CDIs, see page 4
- For UK DIs, see page 5
- For holders of UK DIs via CSN, see page 6

## How does a shareholder that is a company execute its proxy/voting instruction form(s)?

If the holder submitting voting instructions is a company, then it must execute the proxy/voting instruction form(s) applicable to it in one of the following ways:

- by having two directors or a director and a secretary of the company sign the form;
- by having a director of the company sign in the presence of a witness who attests the signature;
- by having a duly authorised officer or attorney sign the form (in which case the shareholder must send with the form the original, or a certified copy, of the document authorising the attorney or representative); or
- if the company has a common seal, by affixing the common seal in accordance with the company's constitution.

## Does a proxy/representative have to vote?

Your proxy/representative can decide whether or not to vote. Therefore, you should nominate someone you can trust. As noted above, it is strongly recommended that you appoint the Chairman of the Meeting as your proxy to ensure that your votes will still be counted despite being unable to attend the AGM in person. If you appoint someone else as your

proxy, in accordance with current Colorado Dial Order, that proxy will not be able to attend the meeting in person or cast your vote.

## Can a proxy/representative vote in favour or against, as he or she wishes?

If you have directed your proxy/representative how to vote on an item of business, then the proxy/representative can only vote on that item of business in accordance with your instructions. However, if you do not direct your proxy/representative how to vote on an item of business, the proxy/representative may vote in favour, against or abstain on that item in his or her discretion. In addition, your proxy/representative will also have discretion to vote as he or she thinks fit on any other business which may properly come before the meeting, including amendments to any resolution, and at any adjourned meeting.

## How will the Chairman vote as proxy/representative if he has not been directed how to vote?

If you appoint the Chairman of the meeting as your proxy/representative and do not direct the Chairman how to vote on an item of business, the Chairman intends to vote in favour of each of the proposed resolutions. The Chairman's intention is expressed as of the date the Notice of Annual General Meeting was printed and therefore, in exceptional circumstances, the Chairman's intention may change subsequently.

Holding type	Voting via internet	Voting via telephone	Voting via mail
Direct/ordinary shares	11.59pm (Denver time) on Wednesday, 28 April 2021	11.59pm (Denver time) on Wednesday, 28 April 2021	11.59pm (Denver time) on Wednesday, 28 April 2021
CDIs <sup>^</sup>	5.00pm (Sydney time) on Monday, 26 April 2021	N/A	5.00pm (Sydney time) on Monday, 26 April 2021
UK DIs <sup>*</sup>	9.00am (London time) on Monday, 26 April 2021	N/A	9.00am (London time) on Monday, 26 April 2021
CSN (UK DIs via CSN)	9.00am (London time) on Friday, 23 April 2021	N/A	9.00am (London time) on Friday, 23 April 2021

<sup>^</sup> CDI holders may also return their instructions via facsimile by this time.

<sup>\*</sup> UK DI holders may also return their instructions via CREST by this time.

# VOTING INFORMATION FOR DIRECT HOLDERS OF ORDINARY SHARES LISTED ON THE NEW YORK STOCK EXCHANGE

## Who can vote at the meeting?

Only those shareholders entered in the Company's Share Registry as at 5.00pm (New York time) on Thursday, 11 March 2021 or, if the AGM is adjourned, in the Share Registry at 5.00pm on the day which is 72 hours before the date of the adjourned meeting, shall be entitled to vote at the meeting in respect of the number of ordinary shares registered in their name at that time. Changes to entries in the Share Registry after that time shall be disregarded in determining the rights of any person to vote at the meeting.

## How do you submit your proxy instructions?

- **By internet** via our website at [janushenderson.com/AGM2021](http://janushenderson.com/AGM2021). To use this facility, you will need your Control Number, unique PIN and your Shareholder Reference Number ('SRN'). These numbers are shown on your Proxy Form, email bulletin or Notice of Annual General Meeting and Annual Report. We will assume you have signed the Proxy Form if you lodge it in accordance with the instructions on the website by 11.59pm (Denver time) on Wednesday, 28 April 2021.
- **By telephone** on 1-800-652-8683, by 11.59pm (Denver time) on Wednesday, 28 April 2021.
- **By mail** to the Company's Share Registry, using the enclosed reply-paid envelope or by posting it to: Janus Henderson Group Share Registry, Proxy Services, c/o Computershare Investor Services, PO Box 505008, Louisville, KY 40233-9814, provided your mail is received by 11.59pm (Denver time) on Wednesday, 28 April 2021.
- **At the meeting**  
As noted above:
  - due to COVID-19 restrictions currently in place in Denver, Colorado, shareholders will not be permitted to attend the AGM, and anyone seeking to attend the meeting in person will be refused entry; and
  - you are strongly encouraged to vote by proxy. When voting by proxy, you should appoint the Chairman of the meeting as your proxy to ensure that your votes will still be counted despite being unable to attend the meeting in person. If you appoint someone else as your proxy, that proxy will not be able to attend the meeting in person or cast your vote.

The Board's instructions are subject to change, depending on any new or revised guidelines and measures that may be implemented by governmental authorities prior to the Annual General Meeting (including under Colorado's Dial Order), and any changes to such instructions will be communicated to shareholders.

If your proxy instructions (and any supporting documents) are not received by the above cut-off times, your proxy appointment will not be effective.

# VOTING INFORMATION FOR HOLDERS OF CDIS QUOTED ON THE AUSTRALIAN SECURITIES EXCHANGE

## Who can vote at the meeting?

Only those CDI holders entered in the Company's register of CDI holders as at 5.00pm (Sydney time) on Thursday, 11 March 2021 or, if the AGM is adjourned, in the register of CDI holders 72 hours before the time of any adjourned meeting, shall be entitled to provide voting instructions to CHES Depositary Nominees Pty Limited ('CDN') in respect of the number of CDIs registered in their name at that time. Changes to entries in the register of CDI holders after that time shall be disregarded in determining the rights of any CDI holders to provide voting instructions to CDN in regard to this meeting.

## How do you submit your voting instructions?

- **By internet** via our website at [janushenderson.com/AGM2021](http://janushenderson.com/AGM2021). To use this facility, you will need your Shareholder Reference Number, which is shown on your Voting Instruction Form, and your registered postcode or country of residence if outside Australia. We will assume you have signed the Voting Instruction Form if you lodge it in accordance with the instructions on the website by 5.00pm (Sydney time) on Monday, 26 April 2021.
- **By mail** by sending the Voting Instruction Form enclosed to Computershare Investor Services Pty Limited, using the enclosed reply-paid envelope or by posting it to Computershare Investor Services Pty Limited, GPO Box 4578, Melbourne, VIC 3001, Australia; or Private Bag 92119, Auckland 1142, New Zealand, provided your mail is received by 5.00pm (Sydney time) on Monday, 26 April 2021.
- **By facsimile** by faxing the Voting Instruction Form enclosed to 03 9473 2555 in Australia or 09 488 8787 in New Zealand by 5.00pm (Sydney time) on Monday, 26 April 2021.
- **At the meeting**  
As noted above:
  - due to COVID-19 restrictions currently in place in Denver, Colorado, shareholders will not be permitted to attend the AGM, and anyone seeking to attend the meeting in person will be refused entry; and
  - you are strongly encouraged to vote by proxy. When voting by proxy, you should appoint the Chairman of the meeting as your proxy to ensure that your votes will still be counted despite being unable to attend the meeting in person. If you appoint someone else as your proxy, that proxy will not be able to attend the meeting in person or cast your vote.

The Board's instructions are subject to change, depending on any new or revised guidelines and measures that may be implemented by governmental authorities prior to the Annual General Meeting (including under Colorado's Dial Order), and any changes to such instructions will be communicated to shareholders.

# VOTING INFORMATION FOR HOLDERS OF JANUS HENDERSON UK DEPOSITARY INTERESTS

## Who can vote at the meeting?

Only those UK DI holders entered in the register of UK DI holders of Janus Henderson Group as at 5.00pm (London time) on Thursday, 11 March 2021 or, if the AGM is adjourned, in the register of UK DI holders 72 hours before the time of any adjourned meeting, shall be entitled to provide voting instructions to Computershare Investor Services plc ('Computershare') in respect of the number of UK DIs registered in their name at that time. Changes to entries in the register of UK DI holders after that time shall be disregarded in determining the rights of any UK DI holders to provide voting instructions to Computershare in regard to this meeting.

## How do you submit your voting instructions?

### • By internet

You may direct Computershare to vote the ordinary shares underlying your UK DIs via a Form of Instruction accessible via the internet on Computershare's website by visiting [eproxyappointment.com](http://eproxyappointment.com). You will be asked to enter the Control Number, your Shareholder Reference Number and your unique PIN, which are detailed on the accompanying Form of Instruction. Alternatively, you can return your instruction to Computershare by post to the address provided on the Form of Instruction. Instructions must be validly returned and received by 9.00am (London time) on Monday, 26 April 2021.

Alternatively, UK DI holders who wish to issue an instruction through the CREST electronic voting appointment service may do so by using the procedures described in the CREST manual (available from [euroclear.com](http://euroclear.com)). CREST personal members or other CREST sponsored members, and those CREST members who have appointed (a) voting service provider(s), should refer to their CREST sponsor or voting services provider(s), who will be able to take the appropriate action on their behalf.

In order for instructions made using the CREST service to be valid, the appropriate CREST message (a CREST Voting Instruction) must be properly authenticated in accordance with the specifications of Euroclear UK & Ireland Limited (EUI) and must contain the information required for such instructions, as described in the CREST manual.

The message, regardless of whether it relates to the voting instruction or to an amendment to the instruction given to the Depositary, must, in order to be valid, be transmitted so as to be received by the issuer's agent (ID 3RA50) no later than 9.00am (London time) on Monday, 26 April 2021. For this purpose, the time of receipt will be taken to be the time (as determined by the timestamp applied to the CREST Voting Instruction by the CREST applications host) from which the issuer's agent is able to retrieve the CREST Voting Instruction by enquiry to CREST in the manner prescribed by CREST. CREST members and, where applicable, their CREST sponsors or voting service providers should note that EUI does not make available special procedures in CREST for any particular messages.

Normal system timings and limitations will therefore apply in relation to the transmission of CREST Voting Instructions. It is the responsibility of the CREST member concerned to take (or, if the CREST member is a CREST personal member or sponsored member or has appointed a voting service provider(s), to procure that the CREST sponsor or voting service provider(s) take(s)) such action as shall be necessary to ensure that a CREST Voting Instruction is transmitted by means of the CREST service by any particular time. In this connection, CREST members and, where applicable, their CREST sponsors or voting service providers are referred, in particular, to those sections of the CREST Manual concerning practical limitations of the CREST system and timings.

The Company may treat as invalid a CREST Voting Instruction in the circumstances set out in Article 34 of the Companies (Uncertificated Securities) (Jersey) Order 1999.

### • By mail

To the Company's Share Registry, using the enclosed reply-paid envelope or by posting it to: Janus Henderson Group Share Registry, Computershare, The Pavilions, Bridgwater Road, Bristol BS99 6ZY.

To be effective, all Forms of Instruction must be lodged with Computershare by 9.00am (London time) on Monday, 26 April 2021. Computershare, as your proxy, will then make arrangements to vote your underlying shares according to your instructions.

### • At the meeting

As noted above:

- due to COVID-19 restrictions currently in place in Denver, Colorado, shareholders will not be permitted to attend the AGM, and anyone seeking to attend the meeting in person will be refused entry; and
- you are strongly encouraged to vote by proxy. When voting by proxy, you should appoint the Chairman of the meeting as your proxy to ensure that your votes will still be counted despite being unable to attend the meeting in person. If you appoint someone else as your proxy, that proxy will not be able to attend the meeting in person or cast your vote.

The Board's instructions are subject to change, depending on any new or revised guidelines and measures that may be implemented by governmental authorities prior to the Annual General Meeting (including under Colorado's Dial Order), and any changes to such instructions will be communicated to shareholders.



# VOTING INFORMATION FOR HOLDERS OF UK DIS VIA THE CORPORATE SPONSORED NOMINEE FACILITY

## Who can vote at the meeting?

Only those holders of UK DIs via CSN entered in the register of CSN holders of Janus Henderson Group as at 5.00pm (London time) on Thursday, 11 March 2021 or, if the AGM is adjourned, in the register of CSN holders 96 business hours before the time of any adjourned meeting, shall be entitled to provide voting instructions to Computershare in respect of the number of depositary interests holders are beneficially entitled to at the cut-off time above. Changes to entries in the register of CSN holders after that time shall be disregarded in determining the rights of any CSN holders to provide voting instructions to Computershare in regard to this meeting.

## How do you submit your voting instructions?

### • By internet

You may direct Computershare, as provider of the Corporate Sponsored Nominee Service in which your UK DIs are held, how to vote the ordinary shares underlying your DIs via the internet on Computershare's website by visiting [investorcentre.co.uk/eproxy](http://investorcentre.co.uk/eproxy). You will be asked to enter the Control Number, your Shareholder Reference Number and your unique PIN, which are detailed on the accompanying Form of Direction. Alternatively, you can return your instruction to Computershare by post to the address provided on the Form of Direction.

### • By mail

To the Company's Share Registry, using the enclosed reply-paid envelope or by posting it to: Janus Henderson Group Share Registry, Computershare, The Pavilions, Bridgewater Road, Bristol BS99 6ZY.

To be effective, all Forms of Direction must be lodged with Computershare by 9.00am (London time) on Friday, 23 April 2021. Computershare, as your Custodian, will then make arrangements to vote the shares underlying your interests according to your instructions.

### • At the meeting

As noted above:

- due to COVID-19 restrictions currently in place in Denver, Colorado, shareholders will not be permitted to attend the AGM, and anyone seeking to attend the meeting in person will be refused entry; and
- you are strongly encouraged to vote by proxy. When voting by proxy, you should appoint the Chairman of the meeting as your proxy to ensure that your votes will still be counted despite being unable to attend the meeting in person. If you appoint someone else as your proxy, that proxy will not be able to attend the meeting in person or cast your vote.

The Board's instructions are subject to change, depending on any new or revised guidelines and measures that may be implemented by governmental authorities prior to the Annual General Meeting (including under Colorado's Dial Order), and any changes to such instructions will be communicated to shareholders.

# LETTER FROM THE CHAIRMAN

Dear Shareholder

I would like to give you notice of the 2021 Annual General Meeting ('AGM') of Janus Henderson Group plc ('Janus Henderson Group').

The AGM will take place on Thursday, 29 April 2021 at 151 Detroit Street, Denver, CO 80206, USA, starting at 3.00pm (Denver time).

This document contains resolutions that are typically dealt with at our AGM and accompanying notes that provide an explanation of the business to be conducted at the AGM. In accordance with the Company's Articles of Association, all Directors will be seeking reappointment at the AGM.

You should read the entirety of this document before deciding how to vote.

## Important information regarding COVID-19 and the Annual General Meeting

As a result of the ongoing COVID-19 pandemic, the State of Colorado has issued an order establishing six, color-coded categories of COVID-19 restrictions which vary by county in accordance with the prevalence of COVID-19 in those counties (the '**Dial Order**'). Details of the Dial Order, as updated on 6 February 2021, can be found at [covid19.colorado.gov/data/covid-19-dial-dash-board](https://covid19.colorado.gov/data/covid-19-dial-dash-board). Our AGM will be held in Denver, Colorado, which is currently in level Yellow (Concern) under the Dial Order. Current restrictions applicable in Denver strongly discourage in-person meetings and recommend the use of online conferencing, email or phone instead of in-person meetings. Therefore, in accordance with the Dial Order:

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In order to keep in-person attendance at the AGM to the bare minimum and observe proper social distancing measures for the small number of people required to attend, our advisers and other guests have also been asked not to attend. The AGM will be limited to only the formal business of the meeting, with no traditional investor presentation or live question-and-answer session. We value the views of our shareholders, and any shareholder wishing to submit a question to the Board may do so by sending an email to [investor.relations@janushenderson.com](mailto:investor.relations@janushenderson.com) in advance of the meeting. We will respond to all questions on an individual basis.

Shareholders may listen to the meeting via a listen-only conference call and webcast. Prior to the meeting, a webcast link will be accessible from our investor relations website, [ir.janushenderson.com](http://ir.janushenderson.com), and the Company's 2021 AGM website, [janushenderson.com/AGM2021](http://janushenderson.com/AGM2021).

As the call and webcast will be listen-only, participation through these means will not constitute formal attendance at the AGM, and shareholders who dial in or view the webcast will not be able to vote or ask questions on the day of the AGM.

Any shareholder wishing to listen to the meeting should call:

United Kingdom	0800 358 6377 (toll free)
US and Canada	800 458 4121 (toll free)
Australia	1 800 573 793 (toll free)
All other countries	+1 323 794 2093 (this is not toll free)
Conference ID	7496212

The Board's instructions are subject to change, depending on any new or revised guidelines and measures that may be implemented by governmental authorities prior to the AGM (including any changes to the Dial Order), and any changes to such instructions will be communicated to shareholders.

## Voting procedures

If you would like to vote on the resolutions set out in the Notice of Annual General Meeting, you may do so by appointing someone as your proxy/representative to attend and vote for you at the AGM. It is strongly recommended that you appoint the Chairman of the meeting as your proxy to ensure that your votes will still be counted despite being unable to attend the meeting in person. If you appoint someone else as your proxy, that proxy will not be able to attend the meeting in person or cast your vote.

To appoint someone as your proxy/representative, use either the enclosed Proxy/Voting Instruction Form or go to our AGM website at [janushenderson.com/AGM2021](http://janushenderson.com/AGM2021) to appoint someone online. Instructions about how to complete the form are included with the proxy/voting instruction forms relevant to your holding.

Please note that there are different voting procedures depending on whether you hold your Janus Henderson Group shares as:

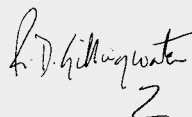
- ordinary shares listed on the New York Stock Exchange,
- CHESS Depository Interests quoted on the Australian Securities Exchange,
- Janus Henderson UK Depository Interests through CREST or
- a holder of Janus Henderson UK Depository Interests via the Janus Henderson Corporate Sponsored Nominee Facility.

Shareholders are advised to read the voting instructions on pages 2 to 6 of this booklet carefully to ensure they understand the voting arrangements that apply to them.

Your proxy/voting instruction form(s) (either online or in paper form) need to be lodged so that they reach the Company's Share Registry by the time and date specified on your form.

The Board considers all of the proposed resolutions to be in the best interests of the Company and shareholders as a whole and recommends that you vote FOR all the resolutions at the AGM.

Yours sincerely



**Richard Gillingwater**  
Chairman  
19 March 2021



# NOTICE OF ANNUAL GENERAL MEETING

The Annual General Meeting ('AGM') of shareholders of Janus Henderson Group plc (the 'Company') will be held on Thursday, 29 April 2021 at 151 Detroit Street, Denver, CO 80206, USA, at 3.00pm (Denver time).

## Items of business

Resolutions 1 to 12 (inclusive) set out below will be proposed as ordinary resolutions. An ordinary resolution will be passed if more than 50% of the votes cast are in favour. Resolutions 13 and 14 will be proposed as special resolutions and will be passed if two-thirds or more of the votes are cast in favour.

### Resolution 1: Annual Report and Accounts

To receive the Annual Report and Accounts of the Company for the financial year ended 31 December 2020 and the reports of the Directors and Auditors thereon.

### Resolution 2: Reappointment of existing Director

To reappoint Ms A Davis as a Director of the Company.

### Resolution 3: Reappointment of existing Director

To reappoint Ms K Desai as a Director of the Company.

### Resolution 4: Reappointment of existing Director

To reappoint Mr J Diermeier as a Director of the Company.

### Resolution 5: Reappointment of existing Director

To reappoint Mr K Dolan as a Director of the Company.

### Resolution 6: Reappointment of existing Director

To reappoint Mr E Flood Jr as a Director of the Company.

### Resolution 7: Reappointment of existing Director

To reappoint Mr R Gillingwater as a Director of the Company.

### Resolution 8: Reappointment of existing Director

To reappoint Mr L Kochard as a Director of the Company.

### Resolution 9: Reappointment of existing Director

To reappoint Mr G Schafer as a Director of the Company.

### Resolution 10: Reappointment of existing Director

To reappoint Ms A Seymour-Jackson as a Director of the Company.

### Resolution 11: Reappointment of existing Director

To reappoint Mr R Weil as a Director of the Company.

### Resolution 12: Reappointment and remuneration of the Auditors

To reappoint PricewaterhouseCoopers LLP as Auditors to the Company and to authorise the Audit Committee to determine the remuneration of the Auditors.

### Resolution 13: Authority to purchase own shares

That, pursuant to Article 57 of the Companies (Jersey) Law 1991, the Company be and is hereby generally and unconditionally authorised to make purchases on a stock exchange of its ordinary shares, subject to the following conditions:

- the maximum number of ordinary shares authorised to be purchased is 17,234,998, minus the number of underlying shares represented by CDIs that are purchased pursuant to Resolution 14;
- the minimum price (exclusive of expenses) which may be paid for an ordinary share is US\$1.50 (being the nominal value of an ordinary share);
- the maximum price (exclusive of expenses) which may be paid for each ordinary share is an amount which is equal to 105% of the average of closing prices for ordinary shares over the previous five days on which sales of ordinary shares were recorded on the New York Stock Exchange;
- this authority shall expire at the close of the AGM of the Company held in 2022 or 1 November 2022, whichever is earlier;
- a contract to purchase shares under this authority may be made before this authority expires, and concluded in whole or in part after this authority expires; and
- pursuant to Article 58A of the Companies (Jersey) Law 1991, the Company may hold as treasury shares any ordinary shares of the Company purchased pursuant to the authority conferred in this Resolution.

### Resolution 14: Authority to purchase own CDIs

The Company be and is hereby generally and unconditionally authorised (pursuant to Article 57 of the Companies (Jersey) Law 1991) to make purchases on a stock exchange of its CDIs, subject to the following conditions:

- the maximum number of CDIs authorised to be purchased is 17,234,998, minus the number of shares purchased pursuant to Resolution 13;
- the minimum price (exclusive of expenses) which may be paid for each CDI is the Australian dollar equivalent of US\$1.50;
- the maximum price (exclusive of expenses) which may be paid for each CDI is an amount which is equal to 105% of the average of closing prices for CDIs over the previous five days on which sales of CDIs were recorded on the Australian Securities Exchange;
- this authority shall expire at the close of the AGM of the Company held in 2022 or 1 November 2022, whichever is earlier;
- a contract to purchase CDIs under this authority may be made before this authority expires, and concluded in whole or in part after this authority expires; and
- pursuant to Article 58A of the Companies (Jersey) Law 1991, the Company may hold as treasury shares any ordinary shares of the Company purchased by the purchase of CDIs pursuant to the authority conferred in this Resolution.

By Order of the Board



**Michelle Rosenberg**  
Company Secretary

19 March 2021

Janus Henderson Group plc  
Registered office: 13 Castle Street,  
St Helier, Jersey JE1 1ES  
Registered in Jersey no. 101484  
ABN: 67 133 992 766

## Notes

### **Determination of entitlement to attend and vote at the meeting**

For holders of ordinary shares, the Company, pursuant to the Companies (Uncertificated Securities) (Jersey) Order 1999, specifies that only those shareholders entered in the Share Registry of Janus Henderson Group plc as at 5.00pm (New York time) on Thursday, 11 March 2021 or, if the AGM is adjourned, at 5.00pm on the day which is 72 hours before the date of the adjourned meeting, shall be entitled to vote at the AGM in respect of the number of ordinary shares registered in their name at that time. Changes to entries in the Share Registry after that time shall be disregarded in determining the rights of any person to vote at the AGM.

For holders of CDIs, only those CDI holders entered in the register of CDI holders of Janus Henderson Group plc as at 5.00pm (Sydney time) on Thursday, 11 March 2021 or, if this meeting is adjourned, in the register of CDI holders 72 hours before the time of any adjourned meeting, shall be entitled to provide voting instructions to CHESS Depositary Nominees Pty Limited ('CDN') in respect of the number of CDIs registered in their name at that time. Changes to entries in the register of CDI holders after that time shall be disregarded in determining the rights of any CDI holders to provide voting instructions to CDN in regard to the AGM.

For UK DI holders, only those UK DI holders entered in the register of UK DI holders of Janus Henderson Group as at 5.00pm (London time) on Thursday, 11 March 2021 or, if the AGM is adjourned, in the register of UK DI holders 72 hours before the time of any adjourned meeting, shall be entitled to provide voting instructions to Computershare Services plc ('Computershare') in respect of the number of UK DIs registered in their name at that time. Changes to entries in the register of UK DI holders after that time shall be disregarded in determining the rights of any UK DI holders to provide voting instructions to Computershare in regard to this meeting.

For holders via CSN, only those holders via CSN entered in the register of CSN holders of Janus Henderson Group as at 5.00pm (London time) on Thursday, 11 March 2021 or, if the AGM is adjourned, in the register of CSN holders 96 business hours before the time of any adjourned meeting, shall be entitled to provide voting instructions to Computershare in respect of the number of depositary interests holders are beneficially entitled to at the cut-off time above. Changes to entries in the register of CSN holders after that time shall be disregarded in determining the rights of any CSN holders to provide voting instructions to Computershare in regard to this meeting.

### **Proxies/representatives**

Each eligible holder as of the record date is entitled to appoint a proxy/representative to vote in such eligible holder's place. An eligible holder may appoint more than one proxy/representative provided that each proxy/representative is appointed to exercise the rights attached to a different share or interest in shares. A proxy/representative need not be a shareholder of the Company. Please see pages 2 to 6 for further details.

### **Sending documents relating to the meeting to the Company**

Any documents or information relating to the proceedings at the meeting may only be sent to the Company in one of the ways set out on pages 2 to 6.

### **CHESS Depositary Nominee's Financial Services Guide**

To obtain a copy of the CHESS Depositary Nominee's Financial Services Guide, go to:

[www.asx.com.au/documents/settlement/CHESS\\_Depositary\\_Interests.pdf](http://www.asx.com.au/documents/settlement/CHESS_Depositary_Interests.pdf)

or phone 131 279 (from Australia) if you would like one sent to you by mail.

### **Important information**

This document is important. If you are in any doubt as to the action you should take, please contact your stockbroker, bank manager, solicitor, accountant or other independent financial adviser.

# EXPLANATORY NOTES

The information below is an explanation of the business to be considered at the 2021 AGM.

## Resolution 1: Annual Report and Accounts

The Directors are required to present the following reports in respect of the financial year ended 31 December 2020 to the meeting:

- The Annual Report and Accounts (which includes the financial statements); and
- The Directors' Report and the Auditors' Report.

Shareholders will be given an opportunity prior to the meeting to ask questions and make comments on these reports and accounts and on the business, operations and management. A copy of these reports and accounts was included in our Annual Report on Form 10-K for the year ended 31 December 2020, which was filed with the US Securities and Exchange Commission on 24 February 2021 and is publicly available on our Investor Relations website ([ir.janushenderson.com](http://ir.janushenderson.com)).

## Resolutions 2 to 11: Reappointment of existing Directors

In accordance with the Company's Articles of Association, all Directors are offering themselves for reappointment.

### Alison Davis | Age 59

Independent Non-Executive Director since February 2021. Ms Davis is currently a member of the Audit Committee, the Nominating and Corporate Governance Committee and the Risk Committee.

### Experience and qualifications

Ms Davis is co-founder and Managing Partner of Fifth Era Financial LLC, which invests in and incubates early stage technology enabled companies. From 2004 to 2010, she was the Managing Partner of Belvedere Capital, a regulated bank holding company and private equity firm focused on investing in US banks and financial services firms. From 2000 to 2003, Ms Davis was the Chief Financial Officer of Barclays Global Investors (now BlackRock), the world's largest institutional investment firm with more than \$1.5 trillion of assets under management. Earlier in her career, Alison spent 14 years as a strategy consultant and adviser to Fortune 500 CEOs, boards and executive teams with McKinsey & Company, and as a practice leader with A.T. Kearney where she built and led the global Financial Services Practice. She is currently a Non-Executive Director on two public company boards: SVB Financial Group, Inc., the parent company of Silicon Valley Bank, and Fiserv, Inc., a payments and financial technology company. She also serves on the board of privately held data intelligence company Colibra, Inc., as

Chair of its Audit Committee. In addition, Ms Davis also serves as Chair of the Advisory Board for Blockchain Capital, a venture firm in the blockchain industry, and is an adviser to Bitwise, a cryptocurrency asset manager. Ms Davis received a BA Honours and a Master's in Economics from Cambridge University and an MBA from the Stanford Graduate School of Business.

Ms Davis brings to the Board extensive experience in investment and capital management, accounting and financial matters, corporate governance and oversight, business management, strategy and operations gained through her many years as a corporate executive, public company board director, an active investor in growth companies and a best-selling author on the topics of technology and innovation.

### Kalpna Desai | Age 53

Independent Non-Executive Director since May 2017. Ms Desai was a Non-Executive Director of Henderson Group from 2015 to May 2017 and is currently a member of the Audit Committee, the Nominating and Corporate Governance Committee and the Risk Committee.

### Experience and qualifications

Ms Desai was Head of Macquarie Capital Asia, the investment banking division of Macquarie Group Limited, headquartered in Australia from 2009 to 2013. Before joining Macquarie, she was Head of the Asia Pacific Mergers & Acquisitions Group and a Managing Director in the investment banking division of Bank of America Merrill Lynch in Hong Kong from 2001 to 2009. Earlier in her career, Ms Desai worked in the corporate finance divisions of Barclays de Zoete Wedd in London and Hong Kong and at J. Henry Schroder Wagg in London and in the financial services division of Coopers & Lybrand Consulting in London. She was a member of the Takeovers and Mergers Panel of the Securities and Futures Commission in Hong Kong from 2007 to 2014. She also served as a Non-Executive Director of Canaccord Genuity Group Inc., headquartered in Canada, from 2015 to 2019. Ms Desai has a BSc in Economics from the London School of Economics and Political Science and qualified as a Chartered Accountant (ACA) at PricewaterhouseCoopers in London in 1991.

Ms Desai brings to the Board over 31 years of international advisory and investment banking experience, including extensive experience in

mergers and acquisitions and broad exposure to global business markets. In deciding to nominate Ms Desai, the Board also considered her experience and knowledge of risk management, compliance, accounting standards and financial reporting rules and regulations, as well as her qualifications as a Chartered Accountant (ACA) and an Audit Committee Financial Expert.

### Jeffrey Diermeier | Age 68

Independent Non-Executive Director since May 2017. Mr Diermeier was an Independent Director of Janus Capital Group from 2008 to May 2017 and is currently the Chair of the Audit Committee and a member of the Nominating and Corporate Governance Committee and the Risk Committee.

### Experience and qualifications

Mr Diermeier, CFA, has served as a Director of the University of Wisconsin Foundation, a non-profit fundraising and endowment management organisation, since 1998 and is a former Chairman of its Investment Committee. He has been a Director of Adams Street Partners, a private equity firm located in Chicago, since 2011 and is also a minority owner of Stairway Partners, LLC, a Chicago-based registered investment adviser, where he served as an advisory board member from 2005 to 2012. From 2010 to September 2017, Mr Diermeier was a co-owner and Chairman of L.B. White Company, a heating equipment manufacturer. He was a Trustee of the Board of the Financial Accounting Foundation, which oversees the Financial Accounting Standards Board and the Government Accounting Standards Board, from 2009 to December 2015 and Chairman of the Trustees from 2012 to December 2015. From 2005 until 2009, he served as President and Chief Executive Officer of the CFA Institute, a non-profit educational organisation for investment professionals in Charlottesville, Virginia. Earlier in his career, Mr Diermeier served in a number of increasingly responsible positions in the global asset management division of UBS and its predecessor organisations, primarily Brinson Partners, Inc., beginning as an Equity Analyst and culminating as its Global Chief Investment Officer from 2000 to 2004.

Mr Diermeier holds the Chartered Financial Analyst designation. He received his BBA in Finance and Investments and his MBA in Finance and Investments from the University of Wisconsin-Madison.

Mr Diermeier brings to the Board a wealth of expertise related to accounting standards, financial analysis, financial reporting and corporate governance standards, and business management, as well as a deep understanding of the investment management business gained through his many years of experience in the mutual fund and asset management industry. In deciding to nominate Mr Diermeier, the Board also considered his qualification as an Audit Committee Financial Expert.

**Kevin Dolan | Age 67**

Independent Non-Executive Director since May 2017. Mr Dolan was a Non-Executive Director of Henderson Group from 2011 to May 2017 and is currently a member of the Audit Committee, the Nominating and Corporate Governance Committee and the Risk Committee.

**Experience and qualifications**

Mr Dolan has been in the financial services industry for 37 years and has held a number of senior executive positions, including as Chief Executive of La Fayette Investment Management in London from 2007 to 2009, Chief Executive of the Asset Management Division of Bank of Ireland Group from 2004 to 2007, and Chief Executive of Edmond de Rothschild Asset Management from 2001 to 2004. Earlier in his career, he spent nine years with the AXA Group where he was Chief Executive Officer of AXA Investment Managers Paris, and Global Deputy Chief Executive Officer of AXA Investment Management. Mr Dolan was a Director of Meeschaert Gestion Privée until 2015, is the founding partner of Anafin LLC, and is a senior adviser to One Peak Partners. Mr Dolan received his BS in Business Administration from Georgetown University.

Mr Dolan brings to the Board demonstrated strategic, financial, accounting, regulatory, business management, corporate finance and industry expertise gained through his many years of experience in senior executive roles, including as the former Chief Executive Officer of three investment management firms. He also has extensive experience in transformational corporate transactions, including mergers and acquisitions in Europe and the US.

**Eugene Flood Jr. | Age 65**

Independent Non-Executive Director since May 2017. Mr Flood was an Independent Director of Janus Capital Group from 2014 to May 2017 and is currently the Chair of the Risk

Committee and a member of the Nominating and Corporate Governance Committee and the Audit Committee.

**Experience and qualifications**

Mr Flood was Executive Vice President of TIAA CREF from 2011 until his retirement in 2012, serving on the CREF Board of Trustees and the TIAA CREF Mutual Fund Board of Trustees for seven years, and chairing the Investment Committee. Prior to joining TIAA CREF as an executive in 2011, Mr Flood spent 12 years with Smith Breeden Associates, a North Carolina-based fixed income asset manager, as President and Chief Executive Officer. Earlier in his career, Mr Flood held a range of trading and investment positions with Morgan Stanley from 1987 to 1999 and was an Assistant Professor of Finance at Stanford Business School from 1982 to 1987. He has served as Chairman of the advisory board for the Institute for Global Health and Infectious Diseases at the University of North Carolina Chapel Hill since 2014, as a Trustee of the Financial Accounting Foundation since January 2016, and as a Director of the Research Corporation for Science Advancement since March 2015. Previously, he served as a Director of The Foundation for the Carolinas from 2012 to December 2015. Mr Flood received his Bachelor of Arts degree in economics from Harvard University and his PhD in economics from the Massachusetts Institute of Technology.

Mr Flood brings to the Board extensive investment management, mutual fund, investment adviser and financial expertise gained through his more than 31 years of experience in the asset management industry. In deciding to nominate Mr Flood, the Board also considered his academic background in economics, which enables him to provide valuable insights on economic trends, business strategy, global markets and financial matters.

**Richard Gillingwater | Age 64**

Non-Executive Director and Chairman since May 2017. Mr Gillingwater was a Non-Executive Director and Chairman of the Henderson Group Board from 2013 to May 2017 and is currently the Chair of the Nominating and Corporate Governance Committee and a member of the Compensation Committee.

**Experience and qualifications**

Mr Gillingwater retired as Chairman of European Investment Banking at Credit Suisse First Boston (CSFB) in 2003. Previously, he held a variety of executive roles, including Head of

Corporate Finance at Barclays de Zoete Wedd (BZW), the investment banking arm of Barclays Bank Plc which was acquired by CSFB in 1998. He started his career in investment banking in 1980 at Kleinwort Benson, where he spent 10 years. In 2003, Mr Gillingwater was asked by the UK Government to found and become the Chief Executive, and later Chairman, of the Shareholder Executive, an arm of the UK government responsible for managing the government's financial interest in a range of state-owned businesses for commercial rather than political interests. He also served as Dean of Cass Business School from 2007 to 2012. Mr Gillingwater currently serves as Chairman of SSE plc, a publicly listed energy company based in Scotland, and as a Senior Independent Director of Whitbread plc, a UK-based multinational hotel and restaurant company. He is also a Governor of the Wellcome Trust, an international medical charity. Mr Gillingwater has served as a Director on a number of other corporate boards, including as Chairman of CDC Group plc and as a Non-Executive Director of P&O, Debenhams, Tomkins, Qinetiq Group, Kidde, Hiscox Ltd, Helical plc and Wm Morrison Supermarkets plc. Mr Gillingwater received his MA in Law from St Edmund Hall, Oxford University and his MBA from the International Institute for Management Development (IMD) in Lausanne, Switzerland, and he is a qualified solicitor.

Mr Gillingwater brings to the Board demonstrated investment management, financial, regulatory, strategic, and business management experience gained through his many years in senior executive roles in the investment banking industry. In addition, he has substantial corporate governance expertise due to his extensive experience serving on the boards of a number of other high-profile publicly listed companies.

**Lawrence Kochard | Age 64**

Independent Non-Executive Director since May 2017. Mr Kochard was an Independent Director of Janus Capital Group from 2008 to May 2017 and is currently the Chair of the Compensation Committee and a member of the Nominating and Corporate Governance Committee.

**Experience and qualifications**

Mr Kochard is Chief Investment Officer at Makena Capital Management. From 2011 to December 2017, he was the Chief Executive Officer and Chief Investment Officer of the University of Virginia Investment Management Company. Mr Kochard has served as a Director of the Virginia Commonwealth



University Investment Management Company since 2015, as a Director and the Chair of the Investment Committee for the Virginia Environmental Endowment since 2013, and as a Member of the Investment Advisory Committee of the Virginia Retirement System since 2011, serving as Chair since 2017. He previously served as the Chairman of the College of William & Mary Investment Committee from 2005 to 2011. From 2004 to 2010, he was the Chief Investment Officer of Georgetown University, and from 2001 to 2004 he was Managing Director of Equity and Hedge Fund Investments of the Virginia Retirement System. Mr Kochard worked as an Assistant Professor of Finance at the McIntire School of Commerce at the University of Virginia from 1999 to 2001. He started his career in financial analysis and planning, corporate finance and capital markets for E.I. DuPont de Nemours and Company, Fannie Mae and The Goldman Sachs Group, Inc. Mr Kochard holds the Chartered Financial Analyst designation and a PhD in economics from the University of Virginia.

Mr Kochard brings to the Board a wealth of experience in investment management, investment adviser oversight, and general executive management gained through his many years serving in senior executive roles in the asset management industry. In deciding to nominate Mr Kochard, the Board also considered his academic background in economics, which enables him to provide valuable insights on economic trends, strategy, global markets and financial matters.

### **Glenn Schafer | Age 71**

Deputy Chairman and Independent Non-Executive Director since May 2017. Mr Schafer was an Independent Director of Janus Capital Group from 2007 to May 2017 and served as Chairman from 2012 to May 2017. He is a member of the Compensation Committee and the Nominating and Corporate Governance Committee.

### **Experience and qualifications**

Mr Schafer retired as President of Pacific Life Insurance Company (Pacific Life) in 2005, having served in that role since 1995. Previously, he served as Executive Vice President and Chief Financial Officer of Pacific Life from 1991 to 1995, and he was a member of the Pacific Life Board of Directors from 1995 to 2005. He currently serves as a Director of GeoOptics LLC, a weather satellite manufacturer. Over the course of his career, Mr Schafer has served as a Director on a

number of other corporate boards, including Scottish Re Group, a reinsurer of life insurance, annuities and other annuity-type products, Genesis Healthcare, Inc., a provider of short-term post-acute, rehabilitation, skilled nursing and long-term care services, and Mercury General Corporation, an insurance holding company. Mr Schafer received his BS from Michigan State University and his MBA from the University of Detroit.

Mr Schafer brings to the Board extensive experience in accounting and financial matters, investment and capital management, corporate governance and oversight, business management, strategy and operations, as well as a deep understanding of the insurance industry and financial products gained through his many years in senior executive roles with Pacific Life.

### **Angela Seymour-Jackson | Age 54**

Independent Non-Executive Director since May 2017. Ms Seymour-Jackson was a Non-Executive Director of Henderson Group from 2014 to May 2017 and is currently a member of the Compensation Committee and the Nominating and Corporate Governance Committee. She also chairs Henderson Global Holdings Asset Management Limited (a holding company of the legacy Henderson Group) and Henderson Global Investors Limited (a regulated entity).

### **Experience and qualifications**

Ms Seymour-Jackson has over 26 years of experience in retail financial services. Over the course of her career, she has held various senior marketing and distribution roles with Norwich Union Insurance, General Accident Insurance, CGU plc and Aviva plc. She was Chief Executive Officer of RAC Motoring Services Limited from 2010 until 2012. She joined Aegon UK in May 2012 and was appointed Managing Director of the Workplace Solutions Division in December 2012. Ms Seymour-Jackson was a Senior Adviser to Lloyds Banking Group (insurance) until October 2017. She is currently a Non-Executive Director of Rentokil Initial plc, Page Group plc, Trustpilot, Pikl, a start-up insurance business, and Future plc, a British media company. Ms Seymour-Jackson has a BA Honours in French and European Studies from the University of East Anglia, a diploma from the Chartered Institute of Marketing and an MSc in Marketing.

Ms Seymour-Jackson brings to the Board substantial expertise in retail financial services,

risk management, regulatory matters, mergers and acquisitions, and business management gained through her many years in various senior marketing and distribution roles at large multinational insurance companies.

### **Richard Weil | Age 57**

Chief Executive Officer since 1 August 2018 (co-Chief Executive Officer from May 2017 to 31 July 2018) and Executive Director since May 2017. Mr Weil served as Chief Executive Officer and a Director of Janus Capital Group from 2010 to May 2017.

### **Experience and qualifications**

Since August 2018, Mr Weil has served as our Chief Executive Officer and as a member of the Board. In this role, he leads the Executive Committee and is responsible for the strategic direction and overall day-to-day management of the Company. Previously, he was Co-CEO of the Company following the merger of Janus Capital Group (Janus) and Henderson Global Investors in May 2017. Prior to the merger, Mr Weil was the Chief Executive Officer of Janus, a position he had held since 2010. Before joining Janus, he spent 15 years in a variety of senior executive roles with PIMCO, including Global Head of PIMCO Advisory, a member of PIMCO's executive committee, and a member of the board of trustees of the PIMCO Funds. Mr Weil also served as Chief Operating Officer of PIMCO for 10 years, where he successfully led the development of PIMCO's global business and founded its German operations, and as General Counsel to PIMCO Advisors L.P. Before joining PIMCO in 1996, Mr Weil was with Bankers Trust Global Asset Management and Simpson Thacher & Bartlett LLP in New York. Mr Weil received his Bachelor of Arts in economics from Duke University and his Juris Doctorate from the University of Chicago Law School. He has over 25 years of financial industry experience.

Mr Weil brings to the Board exceptional leadership skills and unique perspective and insight that comes from managing the Company's business on a day-to-day basis. His deep understanding of our business, markets, operations and strategy enable him to keep the Board apprised of the most significant developments impacting the Company and to guide the Board's discussion and review of our strategy. In addition, he brings extensive business, management and legal experience gained through his many years in senior executive roles in the investment management industry.

#### **Resolution 12: Reappointment and remuneration of the Auditors**

The Audit Committee of the Company's Board of Directors has appointed PricewaterhouseCoopers LLP as the Company's Auditors. Pursuant to the Companies (Jersey) Law 1991, shareholders are required to approve the reappointment of the Company's Auditors each year, and the appointment runs until the conclusion of the next AGM (unless they are removed by resolution of the Company in a general meeting). Shareholders are also requested to ratify the appointment of PricewaterhouseCoopers LLP as the Company's independent registered public accounting firm for the purposes of United States securities laws for the fiscal year ending 31 December 2020.

This Resolution also gives authority to the Audit Committee to determine the Auditors' remuneration. A summary of the Auditors' remuneration during 2020 is included in Item 14 of Part III on page 137 of the Company's Annual Report on SEC Form 10-K dated 24 February 2021.

#### **Resolution 13: Authority to purchase own shares**

The Directors consider that it is advantageous for the Company to renew the authority to buy back its own shares in certain circumstances. The Directors have proposed to seek this authority in a form consistent with Companies (Jersey) Law 1991, US securities laws, and best practice for companies listed on the NYSE and the ASX.

Resolution 13, which will be proposed as a special resolution, seeks shareholders' approval of the purchase by the Company of a maximum number of shares which, taken together with the number of underlying shares represented by CDIs that are purchased pursuant to Resolution 14, is 17,234,998 (that figure being the whole number which is equal to 10% of the issued share capital of the Company as at 26 February 2021).

The authority sought by this Resolution will expire at the close of the AGM of the Company held in 2022 or 1 November 2022, whichever is earlier.

The Directors will continuously review a possible buy-back of shares and CDIs, taking into account the Company's financial position, share/CDI price and other investment opportunities. The Directors would use this authority only if they believe at the time that

such purchase would be in the best interests of shareholders generally.

Any purchases of ordinary shares would be by means of market purchases.

The Resolution sets the maximum and minimum prices for any such purchases. Ordinary shares purchased under this authority may be held as treasury shares.

The Companies (Jersey) Law 1991 allows the Company to purchase and hold treasury shares in its issued capital rather than cancelling those shares. Treasury shares do not carry voting rights and have no entitlement to dividends. Treasury shares may be cancelled, sold or used to meet the Company's obligations under its employee share schemes.

Any ordinary shares purchased, but not held as treasury shares, would be cancelled.

As at 26 February 2021, pursuant to the authority granted at the 2020 AGM, the Company bought back and cancelled 14,909,486 ordinary shares on market since the 2020 AGM.

#### **Resolution 14: Authority to purchase own CDIs**

Resolution 14, which will be proposed as a special resolution, seeks shareholders' approval of the purchase by the Company of a maximum number of CDIs that the underlying ordinary shares are represented by which, taken together with any ordinary shares purchased by the Company pursuant to Resolution 13, is 17,234,998 (that figure being the whole number which is equal to 10% of the issued share capital of the Company as at 26 February 2021).

The authority sought by this Resolution will expire at the close of the AGM of the Company held in 2022 or 1 November 2022, whichever is earlier.

The Directors will continuously review a possible buy-back of shares and CDIs, taking into account the Company's financial position, share/CDI price and other investment opportunities. The Directors would use this authority only if they believe at the time that such purchase would be in the best interests of shareholders generally.

Any purchases of CDIs would be by means of market purchases.

Any CDIs purchased in Australia will then be converted into ordinary shares (Converted Shares).

The Resolution sets the maximum and minimum prices for any such purchases. Shares represented by CDIs purchased under this authority may be held as treasury shares. The Companies (Jersey) Law 1991 allows the Company to purchase and hold treasury shares in its issued capital rather than cancelling those shares. Treasury shares do not carry voting rights and have no entitlement to dividends. Treasury shares may be cancelled, sold or used to meet the Company's obligations under its employee share schemes.

Any Converted Shares purchased, but not held as treasury shares, would be cancelled.

As at 26 February 2021, pursuant to the authority granted at the 2020 AGM, the Company bought back and cancelled 14,909,486 ordinary shares on market, of which 905,152 were CDIs that were converted to ordinary shares before being cancelled, since the 2020 AGM.



# MEETING LOCATION DETAILS

## United States

Thursday, 29 April 2021  
Starts 3.00pm (Denver time)  
151 Detroit Street, Denver, CO 80206, USA

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**For shareholder queries please contact the Janus Henderson Group Share Registry**

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## United States

Janus Henderson Group Transfer Agent  
P.O. Box 505000  
Louisville, KY, 40233-5000

T: (Toll-free): 866 638 5573  
T: (Non toll-free): +1 781 575 2374

[web.queries@computershare.com](mailto:web.queries@computershare.com)

## Australia

Janus Henderson Group Share Registry  
GPO Box 4578  
Melbourne, Victoria 3001

T: 1300 137 981  
T: +61 (0) 3 9415 4081  
F: +61 (0) 3 9473 2555

[web.queries@computershare.com.au](mailto:web.queries@computershare.com.au)

## United Kingdom

Janus Henderson Group Depositary  
Computershare Investor Services  
The Pavilions  
Bridgwater Road  
Bristol BS13 8AE

T: +44 (0)370 703 0109

[web.queries@computershare.co.uk](mailto:web.queries@computershare.co.uk)

## New Zealand

Janus Henderson Group Share Registry  
Private Bag 92119  
Auckland 1142

T: 0800 888 017  
F: +64 (0) 9 488 8787

[web.queries@computershare.com.nz](mailto:web.queries@computershare.com.nz)

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Registered office: 13 Castle Street,  
St Helier, Jersey JE1 1ES

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[www.janushenderson.com](http://www.janushenderson.com)





## Your vote matters – here's how to vote!

You may vote online or by phone instead of mailing this card.



**Votes submitted electronically must be received by 11:59 p.m. MST on April 28, 2021.**

### Online

Go to [www.investorvote.com/JHG](http://www.investorvote.com/JHG) or scan the QR code – login details are located in the shaded bar below.



### Phone

Call toll free 1-800-652-VOTE (8683) within the USA, US territories and Canada



**Save paper, time and money!**  
**Sign up for electronic delivery at [www.investorvote.com/JHG](http://www.investorvote.com/JHG)**

Using a **black ink** pen, mark your votes with an **X** as shown in this example.  
Please do not write outside the designated areas.



## Annual General Meeting Proxy Card

▼ IF VOTING BY MAIL, SIGN, DETACH AND RETURN THE BOTTOM PORTION IN THE ENCLOSED ENVELOPE. ▼

### A Resolutions – The Board of Directors recommend a vote **FOR** Resolutions 1 – 14.

	For	Against	Abstain		For	Against	Abstain
1. To receive the 2020 Annual Report and Accounts.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	8. To reappoint Mr. L Kochard as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. To reappoint Ms. A Davis as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	9. To reappoint Mr. G Schafer as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. To reappoint Ms. K Desai as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10. To reappoint Ms. A Seymour-Jackson as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. To reappoint Mr. J Diermeier as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	11. To reappoint Mr. R Weil as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. To reappoint Mr. K Dolan as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	12. To reappoint PricewaterhouseCoopers LLP as Auditors and to authorize the Audit Committee to agree to their remuneration.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6. To reappoint Mr. E Flood Jr as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	13. To authorize the Company to purchase its own shares to a limited extent.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7. To reappoint Mr. R Gillingwater as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	14. To authorize the Company to purchase its own CDIs to a limited extent.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

The 'Abstain' option above is provided to enable you to abstain on any particular Resolution. However, it should be noted that an 'Abstain' vote is not a vote in law and will not be counted in the calculation of the proportion of the votes 'For' and 'Against' a resolution.

### B Authorized Signatures – This section must be completed for your vote to count. Please date and sign below.

Please sign exactly as name(s) appears hereon. Joint owners should each sign. When signing as attorney, executor, administrator, corporate officer, trustee, guardian or custodian, please give full title.

Date (mm/dd/yyyy) – Please print date below.

Signature 1 – Please keep signature within the box.

Signature 2 – Please keep signature within the box.

2021 Annual General Meeting of Janus Henderson Group plc Shareholders

April 29, 2021  
151 Detroit Street, Denver, CO 80206

Important notice regarding the Internet availability of proxy materials for the Annual General Meeting of Shareholders.  
The material is available at: [www.janushenderson.com/AGM2021](http://www.janushenderson.com/AGM2021)



**Small steps make an impact.**

Help the environment by consenting to receive electronic  
delivery, sign up at [www.investorvote.com/JHG](http://www.investorvote.com/JHG)



▼ IF VOTING BY MAIL, SIGN, DETACH AND RETURN THE BOTTOM PORTION IN THE ENCLOSED ENVELOPE. ▼

**Proxy – Janus Henderson Group plc**



**Notice of 2021 Annual General Meeting of Shareholders**

**Proxy Solicited by Board of Directors for 2021 Annual General Meeting – April 29, 2021**

The Chairman, with the power of substitution, is hereby authorized to represent and vote the shares of the undersigned, with all the powers which the undersigned would possess if personally present, at the 2021 Annual General Meeting of Shareholders of Janus Henderson Group plc to be held on April 29, 2021, or at any postponement or adjournment thereof.

Shares represented by this proxy will be voted by the shareholder. If no such directions are indicated, the Chairman will have authority to vote in favor of all proposals.

In his discretion, the Chairman is authorized to vote upon such other business as may properly come before the meeting.

(Items to be voted appear on reverse side)

**C Non-Voting Items**

**Change of Address** – Please print new address below.



## Lodge your vote:



Online:

[www.janushenderson.com/AGM2021](http://www.janushenderson.com/AGM2021)



By mail in the reply-paid envelope provided or by posting it to:

**Australia:** GPO Box 4578  
Melbourne VIC 3001

**New Zealand:** Private Bag 92119  
Auckland 1142

Alternatively you can fax your form to:

Australia: +61 (0) 3 9473 2555

New Zealand: +64 (0) 9 488 8787

For Intermediary Online subscribers only  
(custodians) [www.intermediaryonline.com](http://www.intermediaryonline.com)

**For all enquiries call:**

(within Australia) 1300 137 981

(within New Zealand) 0800 888 017

JHG

MR SAM SAMPLE  
FLAT 123  
123 SAMPLE STREET  
THE SAMPLE HILL  
SAMPLE ESTATE  
SAMPLEVILLE VIC 3030

## Voting Instruction Form



### Submit your Voting Instruction online

- Go to [www.janushenderson.com/AGM2021](http://www.janushenderson.com/AGM2021) or scan the QR Code with your mobile device.
- Follow the instructions on the secure website to vote.



### Your access information that you will need to vote:

**Control Number: 9999999**

**SRN/HIN: I9999999999**

**PIN: 99999**

PLEASE NOTE: For security reasons it is important that you keep your SRN/HIN confidential.

**View the 2021 Notice of Annual General Meeting (AGM) online: [www.janushenderson.com/AGM2021](http://www.janushenderson.com/AGM2021)**

**To be effective, your voting instruction must be received no later than 5.00pm (Sydney time) on Monday, 26 April 2021.**

### How to Vote on Items of Business

1. Holders of CHESS Depositary Interests (CDIs) who do not wish to attend the meeting may instruct CDN (the registered owner of the shares represented by their CDIs) to vote on their behalf on the resolutions set out in the 2021 Notice of AGM. To instruct CDN to vote on your behalf and to direct CDN how to vote on each resolution:

- Mark the box in section A with an 'X';
- Indicate your voting directions by completing section C;
- Sign the Form in section D (refer to note 5); and
- Do not complete section B.

2. CDI holders do not have an automatic right to attend, speak and vote at the meeting. If you wish to attend, speak and vote at the meeting you must instruct CDN (the registered owner of the shares represented by your CDIs) to nominate you as its proxy. To instruct CDN to appoint you as its proxy (you are entitled to instruct CDN to appoint one person as proxy to attend, speak and vote on a poll at the meeting):

- Do not complete section A;
- Mark the box in section B with an 'X' and enter your own name in the space provided;
- Indicate your voting directions by completing section C; and
- Sign the Form in section D (refer to note 5).

At the meeting, as a proxy, you may vote as you choose on any resolution for which a voting direction has not been given and on any further or amended resolution.

3. If you do not wish to attend, speak and vote at the meeting you may instruct CDN (the registered owner of the shares represented by your CDIs) to nominate the Chairman of the meeting or someone else as its proxy.

To instruct CDN to appoint a proxy other than yourself and to direct the proxy how to vote on each resolution:

- Do not complete section A;
- Mark the first box in section B with an 'X' and enter the name of the person you would like to represent you at the meeting in the space provided. If you would like the Chairman of the meeting to represent you at the meeting, leave the space blank;
- Indicate your voting directions by completing section C; and
- Sign the Form in section D (refer to note 5).

At the meeting, the proxy may vote as he or she chooses on any resolution for which a voting direction has not been given and on any further or amended resolution (if you have chosen the Chairman of the meeting to represent you at the meeting, his current intention is to vote in favour of each of the proposed resolutions). The Chairman's intention necessarily expresses his intention at the date the 2021 Notice of AGM was printed prior to circulation to shareholders, and therefore, in exceptional circumstances, the Chairman's intention may change subsequently.

4. The 'vote withheld' option overleaf is provided to enable you to give a direction to abstain on any particular resolution. However, it is not a vote in law and will not be counted in the calculation of the proportion of votes 'For' and 'Against' a resolution.

5. Each CDI holder should sign this Voting Instruction Form. If your CDIs are held in joint names all CDI holders should sign in the boxes in section D. If the Voting Instruction Form is signed under a power of attorney or other authority on behalf of a CDI holder, then the attorney must make sure that either the original power of attorney or other authority, or a certified copy, is sent to Computershare Investor Services Pty Limited so as to arrive by the date specified on the form unless it has previously been lodged with Computershare Investor Services Pty Limited or Janus Henderson Group plc.

Only duly authorised officers can sign on behalf of a company (refer to the instructions in the 2021 Notice of AGM). Please sign in the boxes corresponding to the office held by the signatory, i.e. Sole Director and Sole Company Secretary, Director and Director, or Director and Company Secretary.

6. Where CDIs are held jointly, and more than one of the joint CDI holders gives an instruction, only the instruction of the senior CDI holder who tenders an instruction will be valid. Seniority is determined by the order in which the names appear on the register of CDI holders.

**GO ONLINE TO VOTE,  
or turn over to complete the form**





MR SAM SAMPLE  
FLAT 123  
123 SAMPLE STREET  
THE SAMPLE HILL  
SAMPLE ESTATE  
SAMPLEVILLE VIC 3030



**Change of address.** If incorrect, mark this box and make the correction in the space to the left. Security holders sponsored by a broker (reference number commences with 'X') should advise their broker of any changes.



I 9999999999

I ND

## Voting Instruction Form

Please mark ☒ to indicate your directions

I/We, being a CDI holder of the Company, hereby instruct CHES Depositary Nominees Pty Limited (CDN) as follows (please mark the boxes below in accordance with the instructions on the front of this form):

XX

### Option A

☐ I/We appoint CHES Depositary Nominees Pty Limited (CDN) to vote on my/our behalf with respect to the Resolutions below in the manner instructed in section C below.

### Option B

☐ CHES Depositary Nominees Pty Limited (CDN) appoints the Chairman of the meeting as its proxy.

Write the name of the person if this is someone other than the Chairman of the meeting. If you wish to attend, speak and vote at the meeting, write your own name.

or the following person:

to attend, speak and vote on my/our behalf at the 2021 Annual General Meeting of Janus Henderson Group plc to be held on Thursday, 29 April 2021 at 151 Detroit Street, Denver, CO 80206, USA, at 3:00pm (Denver time). CDN instructs its proxy to vote on the resolutions proposed at the meeting in accordance with the following directions. Where no direction is given, the proxy may vote as they see fit or abstain in relation to the proposed resolutions. Where no direction is given and the Chairman votes as proxy, you acknowledge that his current intention is that he will vote in favour of the proposed resolutions. The Chairman's intention necessarily expresses his intention at the date the 2021 AGM notice was printed prior to circulation to shareholders and therefore, in exceptional circumstances, the Chairman's intention may change subsequently. In addition, the proxy can vote as they see fit, or abstain, on any other business of the meeting, including amendments to resolutions, and at any adjournment of the meeting.

If you complete neither of the options above, and the Voting Instruction Form has been validly signed, then you will be deemed to have marked Option B and instructed CDN to appoint the Chairman of the meeting as the Nominated Proxy.

### C Voting directions - please mark 'X' to indicate your directions

		FOR	AGAINST	VOTE WITHHELD			FOR	AGAINST	VOTE WITHHELD
1.	To receive the 2020 Annual Report and Accounts.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10.	To reappoint Ms A Seymour-Jackson as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.	To reappoint Ms A Davis as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	11.	To reappoint Mr R Weil as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.	To reappoint Ms K Desai as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	12.	To reappoint PricewaterhouseCoopers LLP as Auditors and to authorise the Audit Committee to agree to their remuneration.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.	To reappoint Mr J Diermeier as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	13.	To authorise the Company to purchase its own shares to a limited extent.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5.	To reappoint Mr K Dolan as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	14.	To authorise the Company to purchase its own CDIs to a limited extent.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.	To reappoint Mr E Flood Jr as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>					
7.	To reappoint Mr R Gillingwater as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>					
8.	To reappoint Mr L Kochard as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>					
9.	To reappoint Mr G Schafer as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>					

### D Signature - please sign in the boxes below

This section must be completed.

Individual or first CDI holder

CDI holder 2

CDI holder 3

/ /

Sole Director & Sole Company Secretary

Director

Director/Company Secretary

Date

Update your communication details (Optional)

By providing your email address, you consent to receive future Notice of Meeting & Proxy communications electronically

Mobile Number

Email Address

CHES Depositary Nominees Pty Limited

Michelle Rosenberg, Company Secretary,  
Janus Henderson Group plc (Agent for  
CHES Depositary Nominees Limited)



JHG

273488A

## Form of Instruction - Annual General Meeting to be held on 29 April 2021



**Cast your Instruction online...It's fast, easy and secure!**  
**[www.investorcentre.co.uk/eproxy](http://www.investorcentre.co.uk/eproxy)**

You will be asked to enter the Control Number, Shareholder Reference Number (SRN) and PIN shown opposite and agree to certain terms and conditions.

**Control Number:** 917004

**SRN:** C00000000000

**PIN:** 1245



View the Annual Report and Notice of the Annual General Meeting online: **[www.janushenderson.com/AGM2021](http://www.janushenderson.com/AGM2021)**

Register at **[www.investorcentre.co.uk](http://www.investorcentre.co.uk)** - elect for electronic communications & manage your shareholding online!

**To be effective, all forms of instruction must be lodged with the Company's Registrars at:**  
**Computershare Investor Services PLC, The Pavilions, Bridgwater Road, Bristol BS99 6ZY by 9.00 am (London time) on 26 April 2021.**

### Explanatory Notes:

1. Please indicate, by placing 'X' in the appropriate space overleaf, how you wish your votes to be cast in respect of each of the Resolutions. If this form is duly signed and returned, but without specific direction as to how you wish your votes to be cast, the form will be rejected.
2. The 'Abstain' option overleaf is provided to enable you to abstain on any particular Resolution. However, it should be noted that an 'Abstain' is not a vote in law and will not be counted in the calculation of the proportion of the votes 'For' and 'Against' a Resolution.
3. To give an instruction via the CREST system, CREST messages must be received by the issuer's agent (ID number 3RA50) by 9.00 am (London time) on 26 April 2021. For this purpose, the time of receipt will be taken to be the time (as determined by the timestamp generated by the CREST system) from which the issuer's agent is able to retrieve the message. The Company may treat as invalid an appointment sent by CREST in the circumstances set out in Article 34 of the Companies (Uncertificated Securities) (Jersey) Order 1999.
4. Any alterations made in this form should be initialled.
5. The completion and return of this form will not preclude a holder from attending the meeting and voting in person. Should the holder, or a representative of that holder, wish to attend the meeting and/or vote at the meeting, they must notify the Depositary in writing or email [CSNDITEAM@computershare.co.uk](mailto:CSNDITEAM@computershare.co.uk).
6. Entitlement to attend and vote at the meeting and the number of votes which may be cast thereat will be determined by reference to the Depositary Interest Register at 5.00pm (London time) on the record date of 11 March 2021. Changes to entries on the Depositary Interest Register after that time shall be disregarded in determining the rights of any person to attend and vote at the meeting.
7. Shareholders may listen to the AGM via a listen-only conference call and webcast. Prior to the meeting, a webcast link will be accessible from our investor relations website, ([www.janushenderson.com/ir](http://www.janushenderson.com/ir)), and the Company's 2021 AGM website, ([www.janushenderson.com/AGM2021](http://www.janushenderson.com/AGM2021)). As the call and webcast will be listen-only, participation through these means will not constitute formal attendance at the AGM, and shareholders who dial in or view the webcast will not be able to vote or ask questions on the day of the AGM. Any shareholder wishing to listen to the meeting should call: United Kingdom (0800 358 6377 (toll free)).

**Kindly Note:** This form is issued only to the addressee(s) and is specific to the unique designated account printed hereon. This personalised form is not transferable between different: (i) account holders; or (ii) uniquely designated accounts. The Company and Computershare Investor Services PLC accept no liability for any instruction that does not comply with these conditions.

### All Named Holders



# Form of Instruction



Please use a **black** pen. Mark with an **X** inside the box as shown in this example.



C0000000000

I/We hereby instruct the Custodian "Computershare Trust Company NA" to vote on my/our behalf at the 2021 Annual General Meeting of the Company to be held at **151 Detroit Street, Denver, CO 80206, USA**, on **29 April 2021 at 3.00 pm (Denver time)** or at any adjournment thereof.

The Board of Directors recommends a vote **FOR** on Resolutions 1 - 14

## Ordinary Resolutions

	For	Against	Abstain
1. Annual Report and Accounts.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. To reappoint Ms A Davis as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. To reappoint Ms K Desai as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. To reappoint Mr J Diermeier as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. To reappoint Mr K Dolan as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6. To reappoint Mr E Flood Jr as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7. To reappoint Mr R Gillingwater as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. To reappoint Mr L Kochard as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9. To reappoint Mr G Schafer as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10. To reappoint Ms A Seymour-Jackson as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11. To reappoint Mr R Weil as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12. To reappoint PricewaterhouseCoopers LLP as Auditors to the Company and to authorise the Audit Committee to determine the remuneration of the Auditors.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

## Special Resolutions

13. Authority to purchase own shares.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
14. Authority to purchase own CDIs.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Signature

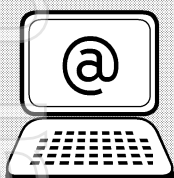
Date

**CANCELLED**

In the case of joint holders, only one holder need sign. In the case of a corporation, the Form of Instruction should be signed by a duly authorised official whose capacity should be stated, or by an attorney.



## Form of Direction - Annual General Meeting to be held on 29 April 2021



Cast your Direction online...It's fast, easy and secure!  
**[www.investorcentre.co.uk/eproxy](http://www.investorcentre.co.uk/eproxy)**

You will be asked to enter the Control Number, Shareholder Reference Number (SRN) and PIN shown opposite and agree to certain terms and conditions.

Control Number: 917003  
SRN: C00000000000  
PIN: 1245



View the Annual Report and Notice of the Annual General Meeting online: **[www.janushenderson.com/AGM2021](http://www.janushenderson.com/AGM2021)**

Register at **[www.investorcentre.co.uk](http://www.investorcentre.co.uk)** - elect for electronic communications & manage your shareholding online!

To be effective, all forms of direction must be lodged with the Company's Registrars at:  
Computershare Investor Services PLC, The Pavilions, Bridgwater Rd, Bristol BS99 6ZY by 9.00 am (London time) on 23 April 2021.

### Explanatory Notes:

1. Please indicate, by placing 'X' in the appropriate space overleaf, how you wish your votes to be cast in respect of each of the Resolutions. If this form is duly signed and returned, but without specific direction as to how you wish your votes to be cast, the form will be rejected.
2. The 'Abstain' option overleaf is provided to enable you to abstain on any particular Resolution. However, it should be noted that an 'Abstain' vote is not a vote in law and will not be counted in the calculation of the proportion of the votes 'For' and 'Against' a Resolution.
3. Any alterations made in this form should be initialled.
4. The completion and return of this form will not preclude a holder from attending the meeting and voting in person. Should the holder, or a representative of that holder, wish to attend the meeting and/or vote at the meeting, they must notify Computershare in writing or email [CSNDITEAM@computershare.co.uk](mailto:CSNDITEAM@computershare.co.uk).
5. Entitlement to attend and vote at the meeting and the number of votes which may be cast thereat will be determined by reference to the Corporate Sponsored Nominee Register at 5.00pm (London time) on the record date of 11 March 2021. Changes to entries on the Corporate Sponsored Nominee Register after that time shall be disregarded in determining the rights of any person to attend and vote at the meeting.
6. Shareholders may listen to the AGM via a listen-only conference call and webcast. Prior to the meeting, a webcast link will be accessible from our investor relations website, ([www.janushenderson.com/ir](http://www.janushenderson.com/ir)), and the Company's 2021 AGM website, ([www.janushenderson.com/AGM2021](http://www.janushenderson.com/AGM2021)). As the call and webcast will be listen-only, participation through these means will not constitute formal attendance at the AGM, and shareholders who dial in or view the webcast will not be able to vote or ask questions on the day of the AGM. Any shareholder wishing to listen to the meeting should call: United Kingdom (0800 358 6377 (toll free)).

**Kindly Note:** This form is issued only to the addressee(s) and is specific to the unique designated account printed hereon. This personalised form is not transferable between different: (i) account holders; or (ii) uniquely designated accounts. The Company and Computershare Investor Services PLC accept no liability for any instruction that does not comply with these conditions.

#### All Named Holders

# Form of Direction



Please use a **black** pen. Mark with an **X** inside the box as shown in this example.



C0000000000

I/We hereby direct the Custodian "Computershare Company Nominees Limited <HGLJ>", to vote and act on my/our behalf in accordance with my/our instructions regarding the resolutions relating to the 2021 Annual General Meeting, or any adjournment thereof, to be held at **151 Detroit Street, Denver, CO 80206, USA**, on **29 April 2021 at 3.00 pm (Denver time)** or at any adjournment thereof.

The Board of Directors recommends a vote FOR on Resolutions 1 - 14

## Ordinary Resolutions

	For	Against	Abstain
1. Annual Report and Accounts.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. To reappoint Ms A Davis as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. To reappoint Ms K Desai as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. To reappoint Mr J Diermeier as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. To reappoint Mr K Dolan as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6. To reappoint Mr E Flood Jr as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7. To reappoint Mr R Gillingwater as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. To reappoint Mr L Kochard as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9. To reappoint Mr G Schafer as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10. To reappoint Ms A Seymour-Jackson as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11. To reappoint Mr R Weil as a Director.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12. To reappoint PricewaterhouseCoopers LLP as Auditors to the Company and to authorise the Audit Committee to determine the remuneration of the Auditors.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

## Special Resolutions

13. Authority to purchase own shares.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
14. Authority to purchase own CDIs.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Signature

Date

**CANCELLED**  
MM / YY

In the case of joint shareholders, only one holder need sign. In the case of a corporation, the Form of Direction should be signed by a duly authorised official whose capacity should be stated, or by an attorney.

