

## Form 603

Corporations Act 2001  
Section 671B

### Notice of initial substantial holder

To Company Name/Scheme Cochlear Limited

ACN/ARSN 002 618 073

#### 1. Details of substantial holder (1)

Name State Street Corporation and subsidiaries named in Annexures to this form

ACN/ARSN (if applicable) \_\_\_\_\_

The holder became a substantial holder on 22/06/2021

#### 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
Ordinary	3,289,926	3,289,926	5.00

#### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Annexure A		

#### 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Annexure A			

#### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-Cash	
Annexure B & D				

**6. Associates**

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Annexure A	

**7. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
Annexure C	

**Signature**

print name **Elizabeth Schaefer**

Capacity: **Authorised signatory**

sign here

date 24/06/2021

**DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant interests (eg a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
- (6) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (7) Include details of:
  - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).
- See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (8) If the substantial holder is unable to determine the identity of the person (eg if the relevant interest arises because of an option) write "unknown".
- (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

24 June 2021

Elizabeth Schaefer  
Authorised Signatory

## 3. Details of relevant interests

## 4. Details of present registered holders

## 6. Associates

Holder of relevant interest (Section 3, 4 and 6)	Nature of Relevant Interest (Section 3)	Registered Holder of securities (Section 4)	Person Entitled to be registered as holder (Section 4)	Class and number of Securities (Section 3 and 4)		Nature of Association (Section 6)
State Street Global Advisors (Japan) Co., Ltd	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	NA	Ordinary	29,707	Subsidiary of State Street Corporation
State Street Global Advisors (Japan) Co., Ltd	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	NA	Ordinary	187,950	Subsidiary of State Street Corporation
State Street Global Advisors (Japan) Co., Ltd	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Brown Brothers Harriman and Co	NA	Ordinary	21,359	Subsidiary of State Street Corporation
State Street Global Advisors (Japan) Co., Ltd	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Sumitomo Trust and Banking Co USA	NA	Ordinary	6,022	Subsidiary of State Street Corporation
State Street Global Advisors Trust Company	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Bank of New York Mellon	NA	Ordinary	6,287	Subsidiary of State Street Corporation
State Street Global Advisors Trust Company	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Northern Trust Company	NA	Ordinary	23,762	Subsidiary of State Street Corporation
State Street Global Advisors Trust Company	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	NA	Ordinary	504,403	Subsidiary of State Street Corporation
State Street Global Advisors Trust Company	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	NA	Ordinary	28,896	Subsidiary of State Street Corporation
State Street Global Advisors Trust Company	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	The Bank of New York Mellon	NA	Ordinary	57,219	Subsidiary of State Street Corporation
State Street Global Advisors Trust Company	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	BNP Paribas	NA	Ordinary	1,753	Subsidiary of State Street Corporation
State Street Global Advisors Trust Company	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Brown Brothers Harriman and Co	NA	Ordinary	862	Subsidiary of State Street Corporation
State Street Global Advisors Trust Company	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Wilmington Trust Co	NA	Ordinary	840	Subsidiary of State Street Corporation
State Street Global Advisors Asia Ltd.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Bank of New York Mellon	NA	Ordinary	1,169	Subsidiary of State Street Corporation
State Street Global Advisors Asia Ltd.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Northern Trust Company	NA	Ordinary	8,251	Subsidiary of State Street Corporation
State Street Global Advisors Asia Ltd.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	NA	Ordinary	2,239	Subsidiary of State Street Corporation
State Street Global Advisors Asia Ltd.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	NA	Ordinary	764	Subsidiary of State Street Corporation
State Street Global Advisors Asia Ltd.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	The Bank of New York Mellon	NA	Ordinary	798	Subsidiary of State Street Corporation
State Street Global Advisors Asia Ltd.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Citibank NA	NA	Ordinary	2,081	Subsidiary of State Street Corporation
State Street Global Advisors Limited (UK)	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Northern Trust Company	NA	Ordinary	3,717	Subsidiary of State Street Corporation
State Street Global Advisors Limited (UK)	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	NA	Ordinary	126,155	Subsidiary of State Street Corporation
State Street Global Advisors Limited (UK)	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	NA	Ordinary	12,391	Subsidiary of State Street Corporation
State Street Global Advisors Limited (UK)	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	The Bank of New York Mellon	NA	Ordinary	27,946	Subsidiary of State Street Corporation
State Street Global Advisors Limited (UK)	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	BNP Paribas	NA	Ordinary	315	Subsidiary of State Street Corporation
State Street Global Advisors Limited (UK)	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Citibank NA	NA	Ordinary	2,157	Subsidiary of State Street Corporation
State Street Global Advisors Limited (UK)	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Lombard Odier	NA	Ordinary	2,678	Subsidiary of State Street Corporation
State Street Global Advisors Limited (UK)	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	UBS AG	NA	Ordinary	10,665	Subsidiary of State Street Corporation



SSgA Funds Management, Inc.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Brown Brothers Harriman and Co	NA	Ordinary	1,346	Subsidiary of State Street Corporation
State Street Global Advisors Singapore Ltd.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	NA	Ordinary	6,804	Subsidiary of State Street Corporation
State Street Bank and Trust Company	Relevant interest under section 608(1) being the right, as lender, to recall shares under a securities loan	Unknown	State Street Bank and Trust Company	Ordinary	281	Subsidiary of State Street Corporation
State Street Bank and Trust Company	Relevant interest under section 608(1) being the right, as lender, to recall shares under a securities loan	Unknown	State Street Bank and Trust Company	Ordinary	1,276	Subsidiary of State Street Corporation
State Street Bank and Trust Company	Relevant interest under section 608(1) being the right, as lender, to recall shares under a securities loan	Unknown	State Street Bank and Trust Company	Ordinary	8,779	Subsidiary of State Street Corporation
State Street Bank and Trust Company	Relevant interest under section 608(1) being the right, as lender, to recall shares under a securities loan	Unknown	State Street Bank and Trust Company	Ordinary	25,314	Subsidiary of State Street Corporation
State Street Bank and Trust Company	Relevant interest under section 608(1) being the right, as lender, to recall shares under a securities loan	Unknown	State Street Bank and Trust Company	Ordinary	1,582	Subsidiary of State Street Corporation
State Street Bank and Trust Company	Relevant interest under section 608(1) being the right, as lender, to recall shares under a securities loan	Unknown	State Street Bank and Trust Company	Ordinary	26,995	Subsidiary of State Street Corporation

24 June 2021

Elizabeth Schaefer  
 Authorised Signatory  
 5. Consideration

Holder of relevant interest	Date of Acquisition	Nature of transaction	Consideration	Class and number of Securities affected
State Street Global Advisors (Japan) Co., Ltd	23-Feb-2021	Purchase	217.36	Ordinary 53
State Street Global Advisors (Japan) Co., Ltd	19-Mar-2021	In Specie Contribution	n/a	Ordinary 3,661
State Street Global Advisors (Japan) Co., Ltd	27-Apr-2021	In Specie Contribution	n/a	Ordinary 651
State Street Global Advisors (Japan) Co., Ltd	27-May-2021	Purchase	225.12	Ordinary 208
State Street Global Advisors Inc	20-Apr-2021	In Specie Contribution	n/a	Ordinary 357
State Street Global Advisors Inc	22-Feb-2021	Purchase	219.14	Ordinary 20
State Street Global Advisors Inc	25-Feb-2021	Purchase	214.69	Ordinary 183
State Street Global Advisors Inc	25-Feb-2021	Purchase	214.69	Ordinary 11
State Street Global Advisors Inc	25-Feb-2021	In Specie Contribution	n/a	Ordinary 1,798
State Street Global Advisors Inc	26-Feb-2021	Purchase	211.41	Ordinary 1,755
State Street Global Advisors Inc	26-Feb-2021	In Specie Contribution	n/a	Ordinary 14
State Street Global Advisors Inc	02-Mar-2021	Purchase	219.22	Ordinary 14
State Street Global Advisors Inc	02-Mar-2021	Purchase	221.08	Ordinary 24
State Street Global Advisors Inc	02-Mar-2021	In Specie Contribution	n/a	Ordinary 8
State Street Global Advisors Inc	04-Mar-2021	Purchase	207.14	Ordinary 13
State Street Global Advisors Inc	04-Mar-2021	In Specie Contribution	n/a	Ordinary 8
State Street Global Advisors Inc	05-Mar-2021	Purchase	204.18	Ordinary 298
State Street Global Advisors Inc	05-Mar-2021	In Specie Contribution	n/a	Ordinary 103
State Street Global Advisors Inc	09-Mar-2021	Purchase	203.43	Ordinary 12
State Street Global Advisors Inc	09-Mar-2021	Purchase	203.13	Ordinary 19
State Street Global Advisors Inc	09-Mar-2021	In Specie Contribution	n/a	Ordinary 107
State Street Global Advisors Inc	12-Mar-2021	Purchase	202.00	Ordinary 298
State Street Global Advisors Inc	12-Mar-2021	In Specie Contribution	n/a	Ordinary 51
State Street Global Advisors Inc	15-Mar-2021	Purchase	205.61	Ordinary 30
State Street Global Advisors Inc	17-Mar-2021	Purchase	210.00	Ordinary 18
State Street Global Advisors Inc	17-Mar-2021	In Specie Contribution	n/a	Ordinary 18
State Street Global Advisors Inc	18-Mar-2021	Purchase	205.44	Ordinary 39
State Street Global Advisors Inc	19-Mar-2021	Purchase	202.94	Ordinary 1,355
State Street Global Advisors Inc	19-Mar-2021	Purchase	204.00	Ordinary 289
State Street Global Advisors Inc	23-Mar-2021	Purchase	205.88	Ordinary 4
State Street Global Advisors Inc	23-Mar-2021	In Specie Contribution	n/a	Ordinary 170
State Street Global Advisors Inc	25-Mar-2021	Purchase	213.34	Ordinary 15
State Street Global Advisors Inc	25-Mar-2021	Purchase	213.58	Ordinary 198
State Street Global Advisors Inc	25-Mar-2021	In Specie Contribution	n/a	Ordinary 85
State Street Global Advisors Inc	31-Mar-2021	Purchase	210.97	Ordinary 3,222
State Street Global Advisors Inc	31-Mar-2021	Purchase	210.51	Ordinary 3
State Street Global Advisors Inc	06-Apr-2021	Purchase	213.74	Ordinary 164
State Street Global Advisors Inc	06-Apr-2021	Purchase	212.18	Ordinary 70
State Street Global Advisors Inc	06-Apr-2021	In Specie Contribution	n/a	Ordinary 40
State Street Global Advisors Inc	08-Apr-2021	Purchase	217.47	Ordinary 128
State Street Global Advisors Inc	08-Apr-2021	In Specie Contribution	n/a	Ordinary 16
State Street Global Advisors Inc	09-Apr-2021	Purchase	216.52	Ordinary 24
State Street Global Advisors Inc	12-Apr-2021	Purchase	217.22	Ordinary 862
State Street Global Advisors Inc	19-Apr-2021	Purchase	222.54	Ordinary 22
State Street Global Advisors Inc	19-Apr-2021	In Specie Contribution	n/a	Ordinary 8
State Street Global Advisors Inc	21-Apr-2021	Purchase	221.69	Ordinary 44
State Street Global Advisors Inc	21-Apr-2021	In Specie Contribution	n/a	Ordinary 119
State Street Global Advisors Inc	22-Apr-2021	Purchase	224.15	Ordinary 35
State Street Global Advisors Inc	23-Apr-2021	Purchase	226.47	Ordinary 544
State Street Global Advisors Inc	23-Apr-2021	In Specie Contribution	n/a	Ordinary 16
State Street Global Advisors Inc	27-Apr-2021	In Specie Contribution	n/a	Ordinary 102
State Street Global Advisors Inc	30-Apr-2021	Purchase	222.50	Ordinary 887
State Street Global Advisors Inc	30-Apr-2021	Purchase	223.00	Ordinary 792
State Street Global Advisors Inc	30-Apr-2021	In Specie Contribution	n/a	Ordinary 85
State Street Global Advisors Inc	04-May-2021	Purchase	220.92	Ordinary 1,292
State Street Global Advisors Inc	04-May-2021	Purchase	220.78	Ordinary 3
State Street Global Advisors Inc	05-May-2021	Purchase	217.72	Ordinary 51
State Street Global Advisors Inc	05-May-2021	In Specie Contribution	n/a	Ordinary 80
State Street Global Advisors Inc	06-May-2021	Purchase	219.75	Ordinary 24
State Street Global Advisors Inc	06-May-2021	In Specie Contribution	n/a	Ordinary 120
State Street Global Advisors Inc	13-May-2021	Purchase	213.36	Ordinary 499
State Street Global Advisors Inc	18-May-2021	Purchase	215.74	Ordinary 390
State Street Global Advisors Inc	18-May-2021	Purchase	216.21	Ordinary 1,552
State Street Global Advisors Inc	18-May-2021	In Specie Contribution	n/a	Ordinary 30
State Street Global Advisors Inc	27-May-2021	Purchase	225.12	Ordinary 257
State Street Global Advisors Inc	27-May-2021	Purchase	225.64	Ordinary 14,492
State Street Global Advisors Inc	28-May-2021	Purchase	225.23	Ordinary 56
State Street Global Advisors Inc	31-May-2021	Purchase	226.74	Ordinary 663
State Street Global Advisors Inc	02-Jun-2021	Purchase	224.05	Ordinary 486
State Street Global Advisors Inc	03-Jun-2021	In Specie Contribution	n/a	Ordinary 2
State Street Global Advisors Inc	07-Jun-2021	Purchase	228.80	Ordinary 60
State Street Global Advisors Inc	11-Jun-2021	Purchase	235.32	Ordinary 303
State Street Global Advisors Inc	16-Jun-2021	Purchase	241.97	Ordinary 182
State Street Global Advisors Inc	16-Mar-2021	In Specie Contribution	n/a	Ordinary 178
State Street Global Advisors Inc	30-Mar-2021	In Specie Contribution	n/a	Ordinary 16
State Street Global Advisors Inc	25-May-2021	In Specie Contribution	n/a	Ordinary 172
State Street Global Advisors Inc	08-Jun-2021	In Specie Contribution	n/a	Ordinary 22
State Street Global Advisors Inc	21-Jun-2021	In Specie Contribution	n/a	Ordinary 32
State Street Global Advisors Inc	03-Mar-2021	In Specie Contribution	n/a	Ordinary 85
State Street Global Advisors Inc	08-Mar-2021	In Specie Contribution	n/a	Ordinary 24
State Street Global Advisors Inc	11-Mar-2021	In Specie Contribution	n/a	Ordinary 510
State Street Global Advisors Inc	24-Mar-2021	In Specie Contribution	n/a	Ordinary 102
State Street Global Advisors Inc	16-Apr-2021	In Specie Contribution	n/a	Ordinary 6
State Street Global Advisors Inc	29-Apr-2021	In Specie Contribution	n/a	Ordinary 112
State Street Global Advisors Inc	01-Jun-2021	In Specie Contribution	n/a	Ordinary 6

State Street Global Advisors Inc	15-Jun-2021	In Specie Contribution	n/a	Ordinary	33
State Street Global Advisors Inc	17-Jun-2021	In Specie Contribution	n/a	Ordinary	6
State Street Global Advisors Asia Ltd.	07-Apr-2021	Purchase	215.53	Ordinary	569
State Street Global Advisors Asia Ltd.	27-May-2021	Purchase	225.12	Ordinary	457
State Street Global Advisors Asia Ltd.	02-Jun-2021	Purchase	223.79	Ordinary	103
State Street Global Advisors Ireland Limited	27-May-2021	Purchase	225.12	Ordinary	163
SSgA Australia Limited	05-Mar-2021	Purchase	200.29	Ordinary	10,636
SSgA Australia Limited	12-Mar-2021	In Specie Contribution	n/a	Ordinary	64,040
SSgA Australia Limited	12-Mar-2021	In Specie Contribution	n/a	Ordinary	22,721
SSgA Australia Limited	15-Mar-2021	In Specie Contribution	n/a	Ordinary	275
SSgA Australia Limited	17-Mar-2021	Purchase	210.00	Ordinary	2,071
SSgA Australia Limited	18-Mar-2021	Purchase	204.48	Ordinary	860
SSgA Australia Limited	22-Mar-2021	Purchase	205.53	Ordinary	527
SSgA Australia Limited	31-Mar-2021	In Specie Contribution	n/a	Ordinary	-
SSgA Australia Limited	31-Mar-2021	In Specie Contribution	n/a	Ordinary	117,676
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	83,578
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	1,780
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	2,790
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	1,220
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	970
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	1,768
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	840
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	935
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	802
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	475
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	1,239
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	958
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	710
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	852
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	1,115
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	24
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	624
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	1,006
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	911
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	494
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	4,525
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	5
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	753
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	6,659
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	2,142
SSgA Australia Limited	09-Apr-2021	In Specie Contribution	n/a	Ordinary	501
SSgA Australia Limited	27-Apr-2021	In Specie Contribution	n/a	Ordinary	440
SSgA Australia Limited	30-Apr-2021	Purchase	222.50	Ordinary	1,037
SSgA Australia Limited	19-May-2021	Purchase	213.04	Ordinary	8,954
SSgA Australia Limited	24-May-2021	In Specie Contribution	n/a	Ordinary	440
SSgA Australia Limited	18-Jun-2021	Purchase	246.72	Ordinary	4,195
SSgA Australia Limited	22-Jun-2021	In Specie Contribution	n/a	Ordinary	220,666
State Street Global Advisors Limited (UK)	26-Feb-2021	Purchase	211.41	Ordinary	1,396
State Street Global Advisors Limited (UK)	01-Mar-2021	Purchase	216.76	Ordinary	28
State Street Global Advisors Limited (UK)	04-Mar-2021	Purchase	206.77	Ordinary	90
State Street Global Advisors Limited (UK)	05-Mar-2021	Purchase	200.29	Ordinary	14
State Street Global Advisors Limited (UK)	10-Mar-2021	Purchase	201.31	Ordinary	25
State Street Global Advisors Limited (UK)	22-Mar-2021	Purchase	205.53	Ordinary	14
State Street Global Advisors Limited (UK)	23-Mar-2021	Purchase	205.43	Ordinary	50
State Street Global Advisors Limited (UK)	26-Mar-2021	Purchase	212.43	Ordinary	317
State Street Global Advisors Limited (UK)	31-Mar-2021	Purchase	210.97	Ordinary	364
State Street Global Advisors Limited (UK)	07-Apr-2021	Purchase	215.53	Ordinary	28
State Street Global Advisors Limited (UK)	12-Apr-2021	Purchase	221.76	Ordinary	98
State Street Global Advisors Limited (UK)	13-Apr-2021	Purchase	221.41	Ordinary	328
State Street Global Advisors Limited (UK)	14-Apr-2021	Purchase	224.19	Ordinary	25
State Street Global Advisors Limited (UK)	19-Apr-2021	Purchase	221.26	Ordinary	249
State Street Global Advisors Limited (UK)	22-Apr-2021	Purchase	224.15	Ordinary	1,303
State Street Global Advisors Limited (UK)	23-Apr-2021	Purchase	225.52	Ordinary	1,216
State Street Global Advisors Limited (UK)	27-Apr-2021	Purchase	222.37	Ordinary	4,068
State Street Global Advisors Limited (UK)	30-Apr-2021	Purchase	222.50	Ordinary	612
State Street Global Advisors Limited (UK)	03-May-2021	Purchase	222.39	Ordinary	207
State Street Global Advisors Limited (UK)	06-May-2021	Purchase	219.75	Ordinary	50
State Street Global Advisors Limited (UK)	07-May-2021	Purchase	218.00	Ordinary	17,968
State Street Global Advisors Limited (UK)	17-May-2021	Purchase	215.37	Ordinary	14
State Street Global Advisors Limited (UK)	20-May-2021	Purchase	216.58	Ordinary	1,064
State Street Global Advisors Limited (UK)	24-May-2021	Purchase	217.80	Ordinary	288
State Street Global Advisors Limited (UK)	26-May-2021	Purchase	224.14	Ordinary	28
State Street Global Advisors Limited (UK)	27-May-2021	Purchase	225.12	Ordinary	780
State Street Global Advisors Limited (UK)	27-May-2021	Purchase	225.08	Ordinary	56
State Street Global Advisors Limited (UK)	27-May-2021	Purchase	225.08	Ordinary	1
State Street Global Advisors Limited (UK)	02-Jun-2021	Purchase	224.05	Ordinary	41
State Street Global Advisors Limited (UK)	02-Jun-2021	In Specie Contribution	n/a	Ordinary	8,009
State Street Global Advisors Limited (UK)	03-Jun-2021	Purchase	224.49	Ordinary	25
State Street Global Advisors Limited (UK)	04-Jun-2021	Purchase	228.85	Ordinary	230
State Street Global Advisors Limited (UK)	07-Jun-2021	Purchase	230.20	Ordinary	16
State Street Global Advisors Limited (UK)	10-Jun-2021	Purchase	234.65	Ordinary	1,402
State Street Global Advisors Limited (UK)	11-Jun-2021	Purchase	235.32	Ordinary	680
State Street Global Advisors Limited (UK)	22-Jun-2021	Purchase	246.85	Ordinary	75
State Street Global Advisors Limited (UK)	16-Mar-2021	In Specie Contribution	n/a	Ordinary	80
State Street Global Advisors Limited (UK)	08-Jun-2021	In Specie Contribution	n/a	Ordinary	2,274
State Street Bank and Trust Company	04-May-2021	Return of securities under a securities loan from The Northern Trust Company – Refer Part A of Annexure D	n/a	Ordinary	(1,419)

State Street Bank and Trust Company	08-Mar-2021	Return of securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	(77)
State Street Bank and Trust Company	09-Mar-2021	Return of securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	(5,339)
State Street Bank and Trust Company	24-Mar-2021	Return of securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	(872)
State Street Bank and Trust Company	26-Mar-2021	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	1,295
State Street Bank and Trust Company	29-Mar-2021	Return of securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	(2,665)
State Street Bank and Trust Company	06-Apr-2021	Return of securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	(443)
State Street Bank and Trust Company	14-Apr-2021	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	1,582
State Street Bank and Trust Company	16-Apr-2021	Return of securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	(11,371)
State Street Bank and Trust Company	30-Apr-2021	Return of securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	(1,880)
State Street Bank and Trust Company	16-Jun-2021	Borrowing securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	3,926
State Street Bank and Trust Company	18-Jun-2021	Return of securities under a securities loan from STATE STREET AGENCY UST – Refer Part A of Annexure D	n/a	Ordinary	(2,587)
State Street Bank and Trust Company	17-May-2021	Borrowing securities under a securities loan from The Northern Trust Company – Refer Part A of Annexure D	n/a	Ordinary	3,369
State Street Bank and Trust Company	21-May-2021	Return of securities under a securities loan from The Northern Trust Company – Refer Part A of Annexure D	n/a	Ordinary	(3,369)
State Street Bank and Trust Company	24-Mar-2021	Borrowing securities under a securities loan from TEACHER RETIREMENT SYSTEM OF TEXAS – Refer Part A of Annexure D	n/a	Ordinary	872



State Street Bank and Trust Company	16-Apr-2021	Borrowing securities under a securities loan from TEACHER RETIREMENT SYSTEM OF TEXAS – Refer Part A of Annexure D	n/a	Ordinary	11,371
State Street Bank and Trust Company	03-May-2021	Return of securities under a securities loan from TEACHER RETIREMENT SYSTEM OF TEXAS – Refer Part A of Annexure D	n/a	Ordinary	(322)
State Street Bank and Trust Company	07-May-2021	Return of securities under a securities loan from TEACHER RETIREMENT SYSTEM OF TEXAS – Refer Part A of Annexure D	n/a	Ordinary	(11,601)
State Street Bank and Trust Company	18-Jun-2021	Borrowing securities under a securities loan from TEACHER RETIREMENT SYSTEM OF TEXAS – Refer Part A of Annexure D	n/a	Ordinary	2,673
State Street Bank and Trust Company	08-Mar-2021	Lending securities under a securities loan to GMO ALTERNATIVE ALLOCATION FUND – Refer annexure Part B of Annexure D	n/a	Ordinary	247
State Street Bank and Trust Company	26-Mar-2021	Lending securities under a securities loan to GMO ALTERNATIVE ALLOCATION FUND – Refer annexure Part B of Annexure D	n/a	Ordinary	1,295
State Street Bank and Trust Company	04-May-2021	Return of securities under a securities loan by GMO ALTERNATIVE ALLOCATION FUND – Refer Part B of Annexure D	n/a	Ordinary	(1,158)
State Street Bank and Trust Company	08-Mar-2021	Return of securities under a securities loan by GMO BENCHMARK FREE FUND – Refer Part B of Annexure D	n/a	Ordinary	(423)
State Street Bank and Trust Company	14-Apr-2021	Lending securities under a securities loan to GMO BENCHMARK FREE FUND – Refer annexure Part B of Annexure D	n/a	Ordinary	1,542
State Street Bank and Trust Company	12-May-2021	Lending securities under a securities loan to GMO BENCHMARK FREE FUND – Refer annexure Part B of Annexure D	n/a	Ordinary	25
State Street Bank and Trust Company	08-Mar-2021	Lending securities under a securities loan to GMO IMPLEMENTATION FUND – Refer annexure Part B of Annexure D	n/a	Ordinary	43
State Street Bank and Trust Company	14-Apr-2021	Lending securities under a securities loan to GMO IMPLEMENTATION FUND – Refer annexure Part B of Annexure D	n/a	Ordinary	40

State Street Bank and Trust Company	12-May-2021	Lending securities under a securities loan to GMO IMPLEMENTATION FUND – Refer annexure Part B of Annexure D	n/a	Ordinary	61
State Street Bank and Trust Company	16-Jun-2021	Lending securities under a securities loan to GMO IMPLEMENTATION FUND – Refer annexure Part B of Annexure D	n/a	Ordinary	3,624
State Street Bank and Trust Company	08-Mar-2021	Lending securities under a securities loan to GMO STRATEGIC OPPORTUNITIES ALLOCATION FUND – Refer annexure Part B of Annexure D	n/a	Ordinary	56
State Street Bank and Trust Company	06-Apr-2021	Return of securities under a securities loan by GMO STRATEGIC OPPORTUNITIES ALLOCATION FUND – Refer Part B of Annexure D	n/a	Ordinary	(443)
State Street Bank and Trust Company	04-May-2021	Return of securities under a securities loan by GMO STRATEGIC OPPORTUNITIES ALLOCATION FUND – Refer Part B of Annexure D	n/a	Ordinary	(261)
State Street Bank and Trust Company	16-Jun-2021	Lending securities under a securities loan to GMO STRATEGIC OPPORTUNITIES ALLOCATION FUND – Refer annexure Part B of Annexure D	n/a	Ordinary	302
State Street Bank and Trust Company	09-Mar-2021	Return of securities under a securities loan by TEACHER RETIREMENT SYSTEM OF TEXAS – Refer Part B of Annexure D	n/a	Ordinary	(5,339)
State Street Bank and Trust Company	29-Mar-2021	Return of securities under a securities loan by TEACHER RETIREMENT SYSTEM OF TEXAS – Refer Part B of Annexure D	n/a	Ordinary	(2,665)
State Street Bank and Trust Company	30-Apr-2021	Return of securities under a securities loan by TEACHER RETIREMENT SYSTEM OF TEXAS – Refer Part B of Annexure D	n/a	Ordinary	(1,880)
State Street Bank and Trust Company	03-May-2021	Return of securities under a securities loan by TEACHER RETIREMENT SYSTEM OF TEXAS – Refer Part B of Annexure D	n/a	Ordinary	(322)
State Street Bank and Trust Company	01-Jun-2021	Lending securities under a securities loan to TEACHER RETIREMENT SYSTEM OF TEXAS – Refer annexure Part B of Annexure D	n/a	Ordinary	5,347

24 June 2021

Elizabeth Schaefer  
Authorised Signatory  
7. Addresses

Name	Address
SSgA Australia Limited	Level 15, 420 George Street, Sydney NSW 2000, Australia
SSgA Funds Management, Inc.	Channel Center, 1 Iron Street, Boston MA 02210, United States
State Street Global Advisors (Japan) Co., Ltd	Toranomon Hills Mori Tower 25F, 1-23-1 Toranomom, Minato-ku, Tokyo, 105-6325, Japan
State Street Global Advisors Asia Ltd.	68th Floor, Two International Finance Centre, 8 Finance Street, Central, Hong Kong, China
State Street Global Advisors GmbH	Brienner Strasse 59, 80333, München, Germany
State Street Global Advisors Inc	1 Iron Street, Boston MA 02210, United States
State Street Global Advisors Ireland Limited	78 Sir John Rogerson's Quay, Dublin 2, Ireland
State Street Global Advisors Limited (UK)	20 Churchill Place, London, England, E14 5HJ, United Kingdom
State Street Global Advisors Ltd. (Canada)	1981 McGill College Avenue, Suite 500, Montreal Québec H3A 3A8, Canada
State Street Global Advisors Singapore Ltd.	168 Robinson Road #33-01 Capital Tower, Singapore, 068912, Singapore
State Street Global Advisors Trust Company	Channel Center, 1 Iron Street, Boston MA 02210, United States
State Street Bank and Trust Company	1 Lincoln Street, Boston MA 02111-2900, United States

24 June 2021

Elizabeth Schaefer  
Authorised Signatory

State Street Bank and Trust Company will, if requested by the company or responsible entity to whom this form must be given under the Corporations Act 2001 (Cth) or if requested by the Australian Securities and Investment Commission (ASIC), provide a copy of the master securities lending agreement/s and security agreement/s referred to below to the company, responsible entity or ASIC.

**Part A: For relevant interests arising out of lending securities - State Street Bank and Trust Company acquires relevant interest as borrower of the securities under securities lending agreement, subject to obligation to return under the agreement. (State Street Bank and Trust Company has on-lent the securities and retains relevant interest as per Part B of this Annexure.)**

Type of agreement	Global Master Securities Lending Agreement/Master Securities Loan Agreement/Securities Loan Agreement
Parties to agreement	(1) State Street Bank and Trust Company (2) The entity referred to in the relevant part of Annexure B to this notice
Can the parties exercise voting rights attaching to the securities?	(1) Yes (if the borrower is the registered holder. However the securities are on-lent by the borrower as per Part B of this Annexure) (2) No, not during term of securities loan
If yes, in what circumstances	(1) Only if the borrower is the registered holder. However the securities are on-lent by the borrower as per Part B of this Annexure (2) n/a
Transfer date	The dates on which the securities were delivered to State Street Bank and Trust Company as borrower are set out in Annexure B to this notice
Scheduled return date	No scheduled return date
Does the borrower have the right to return the securities early?	No scheduled return date. Borrower can return securities or equivalent securities at any time subject to giving notice
If yes, in what circumstances	Borrower can return securities or equivalent securities at any time subject to giving notice
Does the lender have the right to recall the securities early (ie before the scheduled return date)?	No scheduled return date. Lender can require return of securities or equivalent securities at any time subject to giving notice
If yes, in what circumstances	Lender can require return of securities or equivalent securities at any time subject to giving notice
Are there any circumstances in which the borrower is not required to return securities or equivalent securities on settlement?	No
If yes, detail any exceptions	n/a

**Part B: For relevant interests arising out of lending securities - State Street Bank and Trust Company lends the securities under securities lending agreement, and retains relevant interest through the right to recall the securities or equivalent securities.**

Type of agreement	Global Master Securities Lending Agreement/Master Securities Loan Agreement
Parties to agreement	(1) State Street Bank and Trust Company (2) The entity referred to in the relevant part of Annexure B to this notice
Can the parties exercise voting rights attaching to the securities?	(1) Yes, but only if the lender recalls the loan and exercises its right to become the registered holder (2) Yes (while registered holder)
If yes, in what circumstances	(1) Only if the lender recalls the loan and exercises its right to become the registered holder (2) Borrower may exercise voting rights (while registered holder)
Transfer date	The dates on which the securities were delivered to the borrower are set out in Annexure B to this notice
Scheduled return date	No scheduled return date
Does the borrower have the right to return the securities early?	No scheduled return date. Borrower can return securities or equivalent securities at any time subject to giving notice
If yes, in what circumstances	Borrower can return securities or equivalent securities at any time subject to giving notice
Does the lender have the right to recall the securities early (ie before the scheduled return date)?	No scheduled return date. Lender can require return of securities or equivalent securities at any time subject to giving notice
If yes, in what circumstances	Lender can require return of securities or equivalent securities at any time subject to giving notice
Are there any circumstances in which the borrower is not required to return securities or equivalent securities on settlement?	No
If yes, detail any exceptions	n/a

**Part C: For relevant interests arising out of lending securities - State Street Bank and Trust Company acquires a relevant interest in securities through taking a security interest ("pledge") over the securities as collateral to secure a securities loan. (See Part B of this Annexure for securities loan details.)**

Type of agreement	Global Master Securities Lending Agreement Security Agreement: the pro forma security agreement governing the pledge is attached to this notice
Parties to agreement	(1) State Street Bank and Trust Company (2) The entity referred to in the relevant part of Annexure B to this notice
Can the parties exercise voting rights attaching to the securities?	(1) Yes, but only if the borrower defaults and pledge is enforced (2) Yes

If yes, in what circumstances	(1) Only if the borrower defaults and pledge is enforced (2) In accordance with ordinary rights as registered holder, either directly or through nominee holder
Pledge date	The dates on which the securities were pledged, as indicated in Annexure B to this notice
Scheduled release date	No scheduled release date. Securities are released from pledge on termination of related securities loan
Does the borrower have the right to have the securities released early?	No scheduled release date. Securities are released from pledge on termination of related securities loan or the provision of alternative collateral
If yes, in what circumstances	At any time subject to returning the borrowed securities or equivalent securities or providing alternative collateral
Are there any circumstances in which the lender is not required to release the pledged securities on settlement?	No, assuming the borrower returns the borrowed securities or equivalent securities
If yes, detail any exceptions	n/a (lender must release pledged securities if the borrower returns the borrowed securities or equivalent securities)