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Announcement Summary

Entity name

FLUENCE CORPORATION LIMITED

Announcement Type

New announcement

Date of this announcement

Thursday October 14, 2021

Details of +securities that have ceased

ASX +security code	Security description	Number of +securities that have ceased	The +securities have ceased due to	Date of cessation
FLCAI	OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	15,750	Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied	29/08/2021
FLCAI	OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	3,750	Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied	26/07/2021
FLCAI	OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	12,500	Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied	29/07/2021
FLCAI	OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	18,750	Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied	30/07/2021
FLCAI	OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	20,000	Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied	18/06/2021
FLCAI	OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	9,375	Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied	31/07/2021
FLCAI		2,625		29/09/2021



	OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES		Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied	
FLCAI	OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	858,000	Expiry of option or other convertible security without exercise or conversion	30/09/2021
FLCAI	OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	2,341,000	Expiry of option or other convertible security without exercise or conversion	10/09/2021
FLCAI	OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	100,000	Expiry of option or other convertible security without exercise or conversion	06/07/2021

Refer to next page for full details of the announcement



Part 1 - Announcement Details

1.1 Name of +Entity

FLUENCE CORPORATION LIMITED

We (the entity named above) provide the following information about our issued capital.

1.2 Registered Number Type

ABN

Registration Number

52127734196

1.3 ASX issuer code

FLC

1.4 The announcement is

☒ New announcement

1.5 Date of this announcement

14/10/2021



Part 2 - Details of +equity securities or +debt securities that have ceased

ASX +Security Code and Description

FLCAI : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES

Unquoted +equity securities that have ceased

Number of securities that have ceased

4,500

Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Date of cessation

29/8/2021

Is the entity paying any consideration for the cessation?

☒ No

Any other information the entity wishes to notify to ASX about the cessation?

ASX +Security Code and Description

FLCAI : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES

Unquoted +equity securities that have ceased

Number of securities that have ceased

3,750

Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Date of cessation

26/7/2021

Is the entity paying any consideration for the cessation?

☒ No

Any other information the entity wishes to notify to ASX about the cessation?

ASX +Security Code and Description

FLCAI : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES

Unquoted +equity securities that have ceased



Number of securities that have ceased

12,500

Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Date of cessation

29/7/2021

Is the entity paying any consideration for the cessation?

☒ No

Any other information the entity wishes to notify to ASX about the cessation?

ASX +Security Code and Description

FLCAI : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES

Unquoted +equity securities that have ceased

Number of securities that have ceased

18,750

Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Date of cessation

30/7/2021

Is the entity paying any consideration for the cessation?

☒ No

Any other information the entity wishes to notify to ASX about the cessation?

ASX +Security Code and Description

FLCAI : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES

Unquoted +equity securities that have ceased

Number of securities that have ceased

11,250

Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Date of cessation

29/8/2021

Is the entity paying any consideration for the cessation?

☒ No



Any other information the entity wishes to notify to ASX about the cessation?

ASX +Security Code and Description

FLCAI : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES

Unquoted +equity securities that have ceased

Number of securities that have ceased

20,000

Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Date of cessation

18/6/2021

Is the entity paying any consideration for the cessation?

☒ No

Any other information the entity wishes to notify to ASX about the cessation?

ASX +Security Code and Description

FLCAI : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES

Unquoted +equity securities that have ceased

Number of securities that have ceased

9,375

Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Date of cessation

31/7/2021

Is the entity paying any consideration for the cessation?

☒ No

Any other information the entity wishes to notify to ASX about the cessation?

ASX +Security Code and Description

FLCAI : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES

**Unquoted +equity securities that have ceased****Number of securities that have ceased**

2,625

Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Date of cessation

29/9/2021

Is the entity paying any consideration for the cessation?☒ No**Any other information the entity wishes to notify to ASX about the cessation?****ASX +Security Code and Description**

FLCAI : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES

Unquoted +equity securities that have ceased**Number of securities that have ceased**

858,000

Reason for cessation

Expiry of option or other convertible security without exercise or conversion

Date of cessation

30/9/2021

Is the entity paying any consideration for the cessation?☒ No**Any other information the entity wishes to notify to ASX about the cessation?****ASX +Security Code and Description**

FLCAI : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES

Unquoted +equity securities that have ceased**Number of securities that have ceased**

2,341,000

Reason for cessation

Expiry of option or other convertible security without exercise or conversion

Date of cessation

10/9/2021

Is the entity paying any consideration for the cessation?☒ No



Any other information the entity wishes to notify to ASX about the cessation?

ASX +Security Code and Description

FLCAI : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES

Unquoted +equity securities that have ceased

Number of securities that have ceased

100,000

Reason for cessation

Expiry of option or other convertible security without exercise or conversion

Date of cessation

6/7/2021

Is the entity paying any consideration for the cessation?

☒ No

Any other information the entity wishes to notify to ASX about the cessation?



Part 3 - Issued capital following changes

Following the cessation of the +securities the subject of this notification, the issued capital of the entity will comprise:

3.1 Quoted +equity securities and +debt securities (total number of each +class of +securities quoted on ASX)

ASX +security code and description	Total number of +securities on issue
FLC : ORDINARY FULLY PAID	624,854,034

3.2 Unquoted +equity securities (total number of each +class of +equity securities issued but not quoted on ASX)

ASX +security code and description	Total number of +securities on issue
FLCAJ : OPTION EXPIRING 1-MAR-2024 EX 44C	1,490,000
FLCAA : OPTION EXPIRING 29-JAN-2025 EX \$0.26	172,000
FLCAO : OPTION EXPIRING 25-JUN-2025 EX \$0.23	1,000,000
FLCAM : OPTION EXPIRING 25-AUG-2025 EX \$0.23	4,000,000
FLCAN : OPTION EXPIRING 30-JUN-2022 EX \$0.29	1,500,000
FLCAI : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	15,915,157
FLCAK : OPTION EXPIRING 30-AUG-2024 EX \$0.23	250,000
FLCAL : OPTION EXPIRING 31-MAR-2025 EX \$0.23	2,780,000
FLCAP : OPTION EXPIRING 18-AUG-2025 EX \$0.21	1,000,000

Note: the figures stated in the tables above are used to calculate the total market capitalisation of the entity published by ASX from time to time. The table will not include those classes of +securities that have ceased or lapsed in their entirety in ASX records before the announcement date described in Q1.5, even if the entity has advised ASX of a change to that class of +security in Part 2 of this form.