

**Form 604****Corporations Act 2001  
Section 671B****Notice of change of interests of substantial holder****To Company Name/Scheme** **Galan Lithium Limited****ACN/ARSN** **149 349 646****1. Details of substantial holder (1)****Name** **Juan Pablo Vargas de la Vega****ACN/ARSN** **N/A**

There was a change in the interests of the substantial holder on 18/10/2021

The previous notice was given to the company on 03/02/2021

The previous notice was dated 03/02/2021

**2. The previous and present voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and now when required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting Power (5)
Fully Paid Ordinary Shares	17,289,932	7.28%	17,289,932	5.98%

**3. Changes in relevant interests**

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose Relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
DILUTION DUE TO SHARE ISSUES					

**4. Present relevant interests**

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Persons' s votes
Juan Pablo Vargas de la Vega	Juan Pablo Vargas de la Vega	Juan Pablo Vargas de la Vega	Direct	16,977,902 fully paid ordinary shares	5.87%
Patiperro P/L (Patiperro S/Fund)	Patiperro P/L (Patiperro S/Fund)	Patiperro P/L (Patiperro S/Fund)	Indirect	312,030 fully paid ordinary shares	0.11%

**5. Changes in association**

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (If applicable)	Nature of association

## 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Juan Pablo Vargas de la Vega	Unit 2301 237 Adelaide Tce Perth WA 6000
Patiperro P/L (Patiperro S/Fund)	Unit 71 2 Sherwood Court Perth WA 6000

### Signature

Print Name

**Juan Pablo Vargas de la Vega**

Capacity

Sign here



Date

21/10/21

## DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. A corporation and its related corporations or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they must be referred to as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
  - (2) See the definition of 'associate' in section 9 of the Corporations Act 2001
  - (3) See the definition of 'relevant interest' in sections 608 and 671B(7) of the Corporations Act 2001
  - (4) The voting shares of a company constitute one class unless divided into separate classes
  - (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100
  - (6) Include details of:
    - a. any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
    - b. any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies)
- See the definition of 'relevant agreement' in section 9 of the Corporations Act 2001
- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its association in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
  - (8) If the substantial holder is unable to determine the identity of the person (eg. If the relevant interest arises because of an option) write "unknown"
  - (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice

**Form 604****Corporations Act 2001  
Section 671B****Notice of change of interests of substantial holder****To Company Name/Scheme** **Galan Lithium Limited****ACN/ARSN** **149 349 646****1. Details of substantial holder (1)****Name** **Jinyu (Raymond) Liu/Hongze Group Ltd/Havelock Mining Investments Ltd****ACN/ARSN** **N/A**

There was a change in the interests of the substantial holder on 18/10/2021

The previous notice was given to the company on 03/02/2021

The previous notice was dated 03/02/2021

**2. The previous and present voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and now when required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting Power (5)
Ordinary Shares	18,054,432	7.60%	18,054,432	6.24%

**3. Changes in relevant interests**

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose Relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
		DILUTION DUE TO SHARE ISSUES			

**4. Present relevant interests**

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Persons' s votes
Hongze Group Ltd	Hongze Group Ltd	Hongze Group Ltd	Indirect	1,071,428 ordinary shares	0.37%
Havelock Mining Investment Ltd	Havelock Mining Investment Ltd	Havelock Mining Investment Ltd	Indirect	16,983,004 ordinary shares	5.87%

## 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (If applicable)	Nature of association
GFL International Co., Limited	Major shareholder of Havelock Mining Investment Limited

## 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Hongze Group Ltd	Unit 503 5 <sup>th</sup> floor Silvercord Tower
	30 Canton Rd Tsim Sha Tsui Kowloon Hong Kong
Havelock Mining Investment Ltd	Unit 1303B, Level 13
	738 Shangcheng Rd Pudong District Shanghai China
GFL International Co., Limited	Room 2103 Tung Chiu Commercial Centre
	193 Lockhart Rd, Wan Chai Hong Kong

### Signature

Print Name

Raymond Liu

Capacity

Director

Sign here



Date

21/10/2021

## DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. A corporation and its related corporations or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they must be referred to as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
  - (2) See the definition of 'associate' in section 9 of the Corporations Act 2001
  - (3) See the definition of 'relevant interest' in sections 608 and 671B(7) of the Corporations Act 2001
  - (4) The voting shares of a company constitute one class unless divided into separate classes
  - (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100
  - (6) Include details of:
    - a. any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
    - b. any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies)
- See the definition of 'relevant agreement' in section 9 of the Corporations Act 2001
- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its association in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
  - (8) If the substantial holder is unable to determine the identity of the person (eg. If the relevant interest arises because of an option) write "unknown"
  - (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice