

## News Release

For Release: 26 November 2021

### **ASIC proceeding relating to home loan application documents provided by unlicensed third parties**

ANZ today acknowledged the Australian Securities and Investments Commission (ASIC) has commenced a civil penalty proceeding relating to three unlicensed third parties providing home loan application documents to ANZ lenders, including in connection with ANZ's Home Loan Introducer Program.

ASIC is alleging contraventions of section 31 of the National Consumer Credit Protection Act (Credit Act) in relation to 74 home loan applications made between 2016 and 2018, and contraventions of general conduct obligations owed by credit licensees under the Credit Act.

ANZ has co-operated with ASIC during its investigation and has established a customer remediation program as well as continuously improving its home loan processes and controls.

ANZ is considering the matters raised in the Concise Statement and will not be providing further comment given the matter is now before the courts.

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*Approved for distribution by ANZ's Continuous Disclosure Committee*