

Fax Cover Page

To :

From :

Fax No. : 0882234240

Pages : 5 (excluded this sheet)

Date & Time : 04-04-22; 17:28

## FACSIMILE

**Date:** 4 April 2022

**To:** Market Announcements Office, ASX Limited

**Fax no:** 1300 135 638

**Subject:** Selfwealth Limited – ASIC Forms 603 – Notices of initial substantial holder

**Pages:** 5 including this page

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Please find enclosed the following in relation to Selfwealth Limited (ASX:SWF):

1. Form 604 Notice of change of interest of substantial holder submitted by Andrew Bruce Ward.

The form has been provided to Selfwealth Limited (ASX:SWF).

For personal use only

## Form 604

Corporations Act 2001

Section 671B

### Notice of change of interests of substantial holder

<b>To</b>	Company Name/Scheme	<u>Selfwealth Ltd</u>
	ACN/ARSN	<u>154 324 428</u>
<b>1.</b>	<b>Details of substantial holder (1)</b>	
	Name	<u>Andrew Bruce Ward and ESC Pty Ltd (ACN 163 861 229) as trustee for Ward Superannuation Fund</u>
	ACN/ARSN (if applicable)	
	There was a change in the interests of the substantial holder on	<u>31 / 03 / 2022</u>
	The previous notice was given to the company on	<u>21 / 02 / 2022</u>
	The previous notice was dated	<u>21 / 02 / 2022</u>

#### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Fully Paid Ordinary Shares	21,759,977	9.20%	39,609,598	16.75%

#### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
N/A					

#### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities affected	Person's votes
Andrew Bruce Ward			Relevant interest as the holder of the securities pursuant to s608(1)(a) of the Act	9,549,844 fully paid ordinary shares	9,549,844
ESC Pty Ltd ACN 163 861 229 as trustee for Ward Superannuation Fund			Relevant interest as the holder of the securities pursuant to s608(1)(a) of the Act	1,370,000 fully paid ordinary shares	1,370,000

#### 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Anthony Philip Woolley	<p>On 31 March 2022 the Associates became associates of the Ward Parties pursuant to the Corporations Act for the purposes of:</p> <p>(a) executing the Notices; and</p> <p>(b) serving the Notices on Selfwealth.</p> <p>The Associates have a combined voting power of 17,849,621 Shares in Selfwealth (7.55%), comprising:</p> <ul style="list-style-type: none"> <li>Anthony Philip Woolley: 900,000 Shares</li> <li>Janet Dawn Kencian: 600,000 Shares</li> <li>T.W. Investments Pty Limited ACN 064 914 174: 6,327,000 Shares</li> <li>WK Super Pty Ltd ACN 600 478 475: 1,247,000 Shares</li> <li>FTM Super Services Pty Ltd ACN 148 941 477: 5,000,000 Shares</li> <li>F.T.M. Nominees Proprietary Limited ACN 005 031 470: 425,621 Shares</li> <li>Yang Liu: 3,350,000</li> </ul> <p>Defined terms used in this section have the meaning given to them in Annexure A.</p>
Janet Dawn Kencian	
T.W. Investments Pty Limited ACN 064 914 174	
WK Super Pty Ltd ACN 600 478 475	
FTM Super Services Pty Ltd ACN 148 941 477	
F.T.M. Nominees Proprietary Limited ACN 005 031 470	
Yang Liu	

#### 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Andrew Bruce Ward	4 Somers Avenue, Malvern VIC 3144
ESC Pty Ltd ACN 163 861 229 as trustee for Ward Superannuation Fund	c/- Accru Melbourne Pty Ltd, 50 Camberwell Road, Hawthorn East VIC 3123
Anthony Philip Woolley	c/- Mary Van Mourik, G 183 North Quay, Brisbane QLD 4000

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Janet Dawn Kencian	c/- Mary Van Mourik, G 183 North Quay, Brisbane QLD 4000
T.W. Investments Pty Limited ACN 064 914 174	c/- Mary Van Mourik, G 183 North Quay, Brisbane QLD 4000
WK Super Pty Ltd ACN 600 478 475	c/- Mary Van Mourik, G 183 North Quay, Brisbane QLD 4000
FTM Super Services Pty Ltd ACN 148 941 477	4 Staniland Avenue, Malvern VIC 3144
F.T.M. Nominees Proprietary Limited ACN 005 031 470	4 Staniland Avenue, Malvern VIC 3144
Yang Liu	14 Hawkins Place, Thornlands, Queensland 4164

## Signature

print name

Andrew Bruce  
Ward

capacity

Individual and Authorised  
signatory of the substantial  
holders

sign here



date

04 / 04 / 2022

## DIRECTIONS


- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form. If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
  - a. any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - b. any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice

## Annexure A

**This Annexure A of 1 page referred to in the Form 604 Notice of change of interests of substantial holder dated 4 April 2022**

### Signature

	print name	Andrew Bruce Ward	capacity	Individual and Authorised signatory of the substantial holders
	sign here			4 April 2022

### Definitions

In this Form 604 Notice of change of interests of substantial holder:

1. 'Act' means the *Corporations Act 2001* (Cth).
2. 'Associates' means:
  - 2.1 Anthony Philip Woolley;
  - 2.2 Janet Dawn Kencian;
  - 2.3 T.W. Investments Pty Limited ACN 064 914 174;
  - 2.4 WK Super Pty Ltd ACN 600 478 475;
  - 2.5 FTM Super Services Pty Ltd ACN 148 941 477;
  - 2.6 F.T.M. Nominees Proprietary Limited ACN 005 031 470; and
  - 2.7 Yang Liu.
3. 'Notices' means:
  - 3.1 a notice issued pursuant to section 249D of the Act dated 31 March 2022 requisitioning a general meeting of Selfwealth to consider resolutions specified in the 249D notice; and
  - 3.2 a notice issued pursuant to section 249P of the Act for distribution of a statement of members by Selfwealth to all of the members of Selfwealth.
4. 'Ward Parties' means:
  - 4.1 Andrew Bruce Ward; and
  - 4.2 ESC Pty Ltd ACN 163 861 229 as trustee for Ward Superannuation Fund.
5. 'Selfwealth' means Selfwealth Limited ACN 154 324 428.
6. 'Shares' means fully paid ordinary shares in Selfwealth.