

25 October 2022

Updates to 3Y notices for Phil Hodgson and Mark Sceats

Sydney, Australia | 25 October 2022 – Australian environmental technology company, Calix Limited (ASX: CXL) (“Calix” or the “Company”) confirms today the completion of an off-market selldown of a minor proportion of two Director’s shareholdings. The selldown was previously announced on 19 October 2022, as part of an institutional Placement (“Placement”) to raise \$60m to accelerate commercialisation of Calix’s industrial decarbonisation technology.

To fund respective tax and debt obligations created by options vesting, Managing Director and Chief Executive Officer, Dr. Phil Hodgson and Executive Director and Chief Scientist, Dr. Mark Sceats have sold approximately 4% of each of their shareholdings (A\$2.4m in aggregate at the placement price of A\$4.55 per share) in conjunction with the Placement.

Both Dr. Hodgson and Dr. Sceats remain fully committed to Calix. Updated 3Y change in director interest forms for Dr. Hodgson and Dr. Sceats follow this notice.

This announcement has been authorised for release to the ASX by:

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About Calix

Calix is a team of dedicated people who are urgently developing great businesses, leveraging our patented technology, that deliver positive global impact.

The core technology is being used to develop more environmentally-friendly solutions for water treatment, CO₂ mitigation, biotechnology, advanced batteries, and more sustainable mineral and chemical processing.

Calix develops its technology via a global network of research and development collaborations, including governments, research institutes and universities, some of world's largest companies, and a growing customer base and distributor network for its commercialised products and processes.

Because there's only one Earth – Mars is for Quitters.

Website: <https://www.calix.global/>

Twitter: @CalixLimited

YouTube: [CalixLimited](#)

For more information:

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Appendix 3Y

Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

Name of entity – Calix Limited
ABN – 36 117 372 540

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Dr. Philip Hargraves Hodgson
Date of last notice	13 October 2021

Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	-
Date of change	24/10/2022
No. of securities held prior to change Pigeons Super Pty Limited <The Hodgson Family A/C> (Director & beneficiary) Pigeon Investments Pty Ltd <Pigeons Discretionary A/C> (Trustee & beneficiary) Phil Hodgson Dr Philip Hargraves Hodgson	2,188,280 Ordinary shares 800,024 Ordinary shares 1,106,925 Ordinary shares 1,239,383 Performance rights
Class	Fully Priced Ordinary Shares
Number acquired	-

+ See chapter 19 for defined terms.

Appendix 3Y

Change of Director's Interest Notice

Number disposed	193,407
Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	\$4.55 per ordinary share pursuant to an off-market trade managed as part of the Placement announced on 19 October 2022.
No. of securities held after change Pigeons Super Pty Limited <The Hodgson Family A/C> (Director & beneficiary) Pigeon Investments Pty Ltd <Pigeons Discretionary A/C> (Trustee & beneficiary) Phil Hodgson Dr Philip Hargraves Hodgson	 2,193,059 Ordinary shares 606,617 Ordinary shares 1,311,148 Ordinary shares 1,035,160 Performance rights
Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	193,407 sold pursuant to an off-market trade as announcement on 19 October 2022 to fund tax and loan obligations.

Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	-
Nature of interest	-
Name of registered holder (if issued securities)	-
Date of change	-
No. and class of securities to which interest related prior to change Note: Details are only required for a contract in relation to which the interest has changed	-
Interest acquired	-

+ See chapter 19 for defined terms.

Appendix 3Y
Change of Director's Interest Notice

Interest disposed	-
Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation	-
Interest after change	-

Part 3 – ⁺Closed period

Were the interests in the securities or contracts detailed above traded during a ⁺closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	-
If prior written clearance was provided, on what date was this provided?	-

⁺ See chapter 19 for defined terms.

Appendix 3Y

Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

Name of entity – Calix Limited
ABN – 36 117 372 540

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Dr. Mark Geoffrey Sceats
Date of last notice	10 November 2021

Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	-
Date of change	24/10/2022
No. of securities held prior to change Direct Mark Geoffrey Sceats Indirect Mark Geoffrey Sceats <Sceats Superannuation Fund> (Trustee & beneficiary) Elizabeth Elenius (Spouse)	4,402,417 Ordinary shares 641,186 Unvested Performance rights 3,172,236 Ordinary shares 354,966 Ordinary shares
Class	Fully Paid Ordinary Shares
Number acquired	-

+ See chapter 19 for defined terms.

Appendix 3Y

Change of Director's Interest Notice

Number disposed	329,671
Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	\$4.55 per ordinary share pursuant to an off-market trade managed as part of the Placement announced on 19 October 2022.
No. of securities held after change Mark Geoffrey Sceats Mark Geoffrey Sceats <Sceats Superannuation Fund> (Trustee & beneficiary) Elizabeth Elenius (Spouse)	 4,402,417 Ordinary shares 641,186 Unvested Performance rights 2,847,344 Ordinary shares 404,466 Ordinary shares
Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	329,671 sold pursuant to an off-market trade as announcement on 19 October 2022 to fund tax and loan obligations.

Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	-
Nature of interest	-
Name of registered holder (if issued securities)	-
Date of change	-
No. and class of securities to which interest related prior to change Note: Details are only required for a contract in relation to which the interest has changed	-
Interest acquired	-

+ See chapter 19 for defined terms.

Appendix 3Y
Change of Director's Interest Notice

Interest disposed	-
Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation	-
Interest after change	-

Part 3 – ⁺Closed period

Were the interests in the securities or contracts detailed above traded during a ⁺closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	-
If prior written clearance was provided, on what date was this provided?	-

⁺ See chapter 19 for defined terms.