## Form 603

Corporations Act
Section 671B
Notice of initial substantial holder

To: Company Name/Scheme
BIGTINCAN HOLDINGS LTD
ACN/ARSN
154944797

1. Details of substantial holder (1)

Name:
Bank of America Corporation and its related bodies corporate (See Appendix C)

ACN/ARSN (if applicable)
N/A
The holder became a substantial holder on: 17 November 2023

## 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

| Class of securities (4) | Number of securities | Persons' votes (5) | Voting power (6) |
| :---: | :---: | :---: | :---: |
| Ordinary | $31,702,645$ | $31,702,645$ | $5.22 \%$ |

## 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

| Holder of relevant interest | Nature of relevant interest (7) | Class and number of <br> securities |
| :--- | :--- | :--- |
| Merrill Lynch (Australia) Futures <br> Limited | Power to controlvoting and/or disposal of securities <br> in its capacity as ultimate beneficial owner having a <br> principal position. | Ordinary 4,868,070 |
| Merrill Lynch Markets (Australia) <br> Pty. Limited | Borrowing of securities pursuant to a Prime <br> Brokerage Agreement (see Appendix B), with power <br> to control the voting and/or disposal of borrowed <br> securities. | Ordinary 26,834,575 |

## 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

| Holder of relevant interest | Registered holder of <br> securities | Person entitled to be <br> registered as holder (8) | Class and number of <br> securities |
| :--- | :--- | :--- | :--- |
| Merrill Lynch (Australia) Futures <br> Limited | Merrill Lynch (Australia) <br> Nominees Pty Ltd | Merrill Lynch (Australia) <br> Futures Limited | Ordinary 4,868,070 |
| Merrill Lynch Markets (Australia) <br> Pty. Limited | Merrill Lynch (Australia) <br> Nominees Pty Ltd | Merrill Lynch Markets <br> (Australia) Pty. Limited | Ordinary 26,834,575 |

## 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

| Holder of relevant interest | Date of acquisition | Consideration (9) | Class and number <br> of securities |  |
| :--- | :--- | :--- | :--- | :--- |
|  |  | Cash | Non-cash |  |
| Please see Annexure A. |  |  |  |  |

## 6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

| Name and ACN/ ARSN (if applicable) | Nature of association |
| :--- | :--- |
| Merrill Lynch (Australia) Futures Limited | Related body corporate |
| Merrill Lynch (Australia) Nominees Pty Ltd | Related body corporate |
| Merrill Lynch Markets (Australia) Pty. Limited | Related body corporate |

## 7. Addresses

The addresses of persons named in this form are as follows:

| Name | Addresses |
| :--- | :--- |
| Bank of America Corporation | 100 North Tryon Street, Charlotte, North Carolina, 28255, USA |
| Merrill Lynch (Australia) Futures Limited | Level 34, Governor Phillip Tower, 1 Farrer Place, Sydney NSW <br> 2000, Australia |
| Merrill Lynch (Australia) Nominees Pty Ltd | Level 19, 120 Collins St, Melbourne Vic 3000, Australia |
| Merrill Lynch Markets (Australia) Pty. Limited | Level 34, Governor Phillip Tower, 1 Farrer Place, Sydney NSW <br> 2000, Australia |
| Merrill Lynch International | 2 King Edward Street, London, England, EC1A 1HQ, United <br> Kingdom |
| BofA Securities Europe SA | 51 rue La Boétie, 75008, Paris, France |

SIGNATURE

|  | Crint Name: Kelvin Kwok | Capacity: Authorised signatory |
| :--- | :--- | :--- |
| Sign Here: | Date: 21 November 2023 |  |

## Appendix A

| Date of change | Person whose relevant interest changed | Nature of change <br> (4) | Consideration (5) | Class (6) of securities | Securities affected | Person's votes affected | Remark |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 07/17/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities returned | N/A | Ordinary | $(3,662)$ | $(3,662)$ | Refer to App. B |
| 07/19/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities returned | N/A | Ordinary | $(10,917)$ | $(10,917)$ | Refer to App. B |
| 07/25/2023 | Merrill Lynch Markets (Australia) Pty. | Securities borrowed | N/A | Ordinary | 62,060 | 62,060 | Refer to App. B |
| 07/25/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities borrowed | N/A | Ordinary | 1,920 | 1,920 | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \\ & \hline \end{aligned}$ |
| $07 / 25 / 2023$ | Merrill Lynch International | Securities borrowed | N/A | Ordinary | 42,524 | 42,524 | Refer to App. B |
| 07/25/2023 | Merrill Lynch International | Securities borrowed | N/A | Ordinary | 1,316 | 1,316 | Refer to App. B |
| 07/26/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities returned | N/A | Ordinary | $(1,557)$ | $(1,557)$ | Refer to App. B |
| 07/26/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities returned | N/A | Ordinary | (363) | (363) | Refer to App. B |
| 07/26/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities returned | N/A | Ordinary | $(1,194)$ | $(1,194)$ | Refer to App. B |
| 07/27/2023 | Merrill Lynch (Australia) Futures Limited | SELL | $(556,250.00)$ | Ordinary | $(1,250,000)$ | $(1,250,000)$ | N/A |
| 07/27/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(1,316)$ | $(1,316)$ | Refer to App. B |
| 07/27/2023 | Merrill Lynch International | Securities borrowed | N/A | Ordinary | 3,761,263 | 3,761,263 | $\begin{gathered} \text { Refer to } \\ \text { App. B } \\ \hline \end{gathered}$ |
| 07/27/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(42,524)$ | $(42,524)$ | Refer to App. B |
| 07/27/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(3,717,423)$ | $(3,717,423)$ | Refer to App. B |
| 07/28/2023 | Merrill Lynch (Australia) Futures <br> Limited | SELL | $(38,280.00)$ | Ordinary | $(88,000)$ | $(88,000)$ | N/A |
| 08/04/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities returned | N/A | Ordinary | $(4,043)$ | $(4,043)$ | Refer to App. B |
| 08/07/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities returned | N/A | Ordinary | $(4,043)$ | $(4,043)$ | Refer to App. B |
| 08/07/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities borrowed | N/A | Ordinary | 4,043 | 4,043 | Refer to App. B |
| 08/08/2023 | Merrill Lynch Markets (Australia) Pty. | Securities returned | N/A | Ordinary | $(8,819)$ | $(8,819)$ | Refer to App. B |


| Date of change | Person whose relevant interest changed | Nature of change <br> (4) | Consideration (5) | Class (6) of securities | Securities affected | Person's votes affected | Remark |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 09/01/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(29,812)$ | $(29,812)$ | Refer to App. B |
| 09/01/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(29,812)$ | $(29,812)$ | $\begin{gathered} \text { Refer to } \\ \text { App. B } \\ \hline \end{gathered}$ |
| 09/04/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(165,142)$ | $(165,142)$ | Refer to App. B |
| 09/04/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(165,142)$ | $(165,142)$ | Refer to App. B |
| 09/05/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities returned | N/A | Ordinary | $(48,004)$ | $(48,004)$ | Refer to App. B |
| 09/05/2023 | $\begin{aligned} & \hline \text { Merrill Lynch Markets (Australia) Pty. } \\ & \text { Limited } \\ & \hline \end{aligned}$ | Securities returned | N/A | Ordinary | $(87,860)$ | $(87,860)$ | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \\ & \hline \end{aligned}$ |
| 09/07/2023 | $\begin{aligned} & \hline \text { Merrill Lynch Markets (Australia) Pty. } \\ & \text { Limited } \\ & \hline \end{aligned}$ | Securities returned | N/A | Ordinary | $(19,157)$ | $(19,157)$ | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \\ & \hline \end{aligned}$ |
| 09/08/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(16,499)$ | $(16,499)$ | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \\ & \hline \end{aligned}$ |
| 09/08/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(16,499)$ | $(16,499)$ | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \end{aligned}$ |
| 09/11/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(190,809)$ | $(190,809)$ | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \\ & \hline \end{aligned}$ |
| $09 / 11 / 2023$ | Merrill Lynch International | Securities returned | N/A | Ordinary | $(190,809)$ | $(190,809)$ | Refer to App. B |
| 09/12/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(59,526)$ | $(59,526)$ | Refer to App. B |
| 09/12/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(59,526)$ | $(59,526)$ | Refer to App. B |
| 09/13/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(48,243)$ | $(48,243)$ | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \\ & \hline \end{aligned}$ |
| 09/13/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(48,243)$ | $(48,243)$ | $\begin{gathered} \text { Refer to } \\ \text { App. B } \\ \hline \end{gathered}$ |
| 09/14/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(104,151)$ | $(104,151)$ | Refer to App. B |
| 09/14/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(104,151)$ | $(104,151)$ | Refer to App. B |
| 09/15/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(257,755)$ | $(257,755)$ | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \end{aligned}$ |
| 09/15/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(257,755)$ | $(257,755)$ | Refer to App. B |
| 09/18/2023 | Merrill Lynch (Australia) Futures Limited | BUY | 2,311.62 | Ordinary | 7,836 | 7,836 | N/A |


| Date of change | Person whose relevant interest changed | Nature of change <br> (4) | Consideration (5) | Class (6) of securities | Securities affected | Person's votes affected | Remark |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 09/18/2023 | Merrill Lynch Markets (Australia) Pty. | Securities returned | N/A | Ordinary | $(105,791)$ | $(105,791)$ | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \end{aligned}$ |
| 09/19/2023 | Merrill Lynch (Australia) Futures Limited | BUY | 2,232.26 | Ordinary | 7,706 | 7,706 | N/A |
| 09/19/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(118,469)$ | $(118,469)$ | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \end{aligned}$ |
| 09/19/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(118,469)$ | $(118,469)$ | Refer to App. B |
| 09/20/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(249,370)$ | $(249,370)$ | Refer to App. B |
| 09/20/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(249,370)$ | $(249,370)$ | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \\ & \hline \end{aligned}$ |
| 09/21/2023 | Merrill Lynch (Australia) Futures Limited | BUY | 2,127.44 | Ordinary | 7,598 | 7,598 | N/A |
| 09/22/2023 | Merrill Lynch (Australia) Futures Limited | BUY | 2,406.40 | Ordinary | 7,659 | 7,659 | N/A |
| 09/25/2023 | Merrill Lynch (Australia) Futures Limited | BUY | 2,456.64 | Ordinary | 7,677 | 7,677 | N/A |
| 09/25/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | (208) | (208) | Refer to App. B |
| 09/25/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | (208) | (208) | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \\ & \hline \end{aligned}$ |
| 09/26/2023 | Merrill Lynch (Australia) Futures Limited | BUY | 754.56 | Ordinary | 2,358 | 2,358 | N/A |
| 09/26/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(32,763)$ | $(32,763)$ | Refer to App. B |
| 09/26/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(32,763)$ | $(32,763)$ | Refer to App. B |
| 09/27/2023 | Merrill Lynch (Australia) Futures Limited | BUY | 2,649.60 | Ordinary | 7,680 | 7,680 | N/A |
| 09/28/2023 | Merrill Lynch (Australia) Futures Limited | BUY | 2,850.11 | Ordinary | 7,703 | 7,703 | N/A |
| 09/28/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(91,662)$ | $(91,662)$ | Refer to App. B |
| 09/28/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(91,662)$ | $(91,662)$ | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \end{aligned}$ |
| 09/29/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(164,160)$ | $(164,160)$ | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \\ & \hline \end{aligned}$ |
| 09/29/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(164,160)$ | $(164,160)$ | Refer to App. B |


| Date of change | Person whose relevant interest changed | Nature of change <br> (4) | Consideration (5) | Class (6) of securities | Securities affected | Person's votes affected | Remark |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 10/02/2023 | Merrill Lynch Markets (Australia) Pty. | Securities returned | N/A | Ordinary | $(60,840)$ | $(60,840)$ | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \\ & \hline \end{aligned}$ |
| 10/02/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities returned | N/A | Ordinary | $(66,620)$ | $(66,620)$ | $\begin{gathered} \text { Refer to } \\ \text { App. B } \\ \hline \end{gathered}$ |
| 10/03/2023 | Merrill Lynch (Australia) Futures Limited | BUY | 1,499.42 | Ordinary | 4,108 | 4,108 | N/A |
| 10/03/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(113,328)$ | $(113,328)$ | Refer to App. B |
| 10/03/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(113,328)$ | $(113,328)$ | Refer to App. B |
| 10/04/2023 | Merrill Lynch (Australia) Futures Limited | SELL | (360,000.00) | Ordinary | $(1,000,000)$ | $(1,000,000)$ | N/A |
| 10/04/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(14,576)$ | $(14,576)$ | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \\ & \hline \end{aligned}$ |
| 10/04/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(14,576)$ | $(14,576)$ | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \\ & \hline \end{aligned}$ |
| 10/05/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(31,057)$ | $(31,057)$ | Refer to <br> App. B |
| 10/05/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(31,057)$ | $(31,057)$ | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \\ & \hline \end{aligned}$ |
| $10 / 06 / 2023$ | Merrill Lynch International | Securities returned | N/A | Ordinary | $(2,038)$ | $(2,038)$ | Refer to App. B |
| 10/06/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(2,038)$ | $(2,038)$ | $\begin{gathered} \text { Refer to } \\ \text { App. B } \\ \hline \end{gathered}$ |
| 10/09/2023 | Merrill Lynch (Australia) Futures Limited | BUY | 1,321.24 | Ordinary | 3,944 | 3,944 | N/A |
| 10/09/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities returned | N/A | Ordinary | $(315,717)$ | $(315,717)$ | $\begin{gathered} \hline \text { Refer to } \\ \text { App. B } \\ \hline \end{gathered}$ |
| 10/09/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities returned | N/A | Ordinary | $(1,007,912)$ | $(1,007,912)$ | $\begin{gathered} \hline \text { Refer to } \\ \text { App. B } \\ \hline \end{gathered}$ |
| 10/09/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities returned | N/A | Ordinary | $(73,699)$ | $(73,699)$ | Refer to App. B |
| 10/09/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities returned | N/A | Ordinary | $(1,050,229)$ | $(1,050,229)$ | Refer to App. B |
| 10/10/2023 | Merrill Lynch (Australia) Futures Limited | SELL | (614,908.80) | Ordinary | $(1,863,360)$ | $(1,863,360)$ | N/A |
| 10/10/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities borrowed | N/A | Ordinary | 1,367,770 | 1,367,770 | Refer to App. B |
| 10/10/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(94,634)$ | $(94,634)$ | Refer to App. B |


| Date of change | Person whose relevant interest changed | Nature of change <br> (4) | Consideration (5) | Class (6) of securities | Securities affected | Person's votes affected | Remark |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 10/10/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(94,634)$ | $(94,634)$ | Refer to App. B |
| 10/11/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities returned | N/A | Ordinary | $(59,274)$ | $(59,274)$ | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \end{aligned}$ |
| 10/12/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities returned | N/A | Ordinary | $(34,381)$ | $(34,381)$ | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \\ & \hline \end{aligned}$ |
| 10/13/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(149,062)$ | $(149,062)$ | Refer to App. B |
| 10/13/2023 | Merrill Lynch International | Securities returned | N/A | Ordinary | $(43,797)$ | $(43,797)$ | Refer to App. B |
| 10/16/2023 | Merrill Lynch (Australia) Futures Limited | BUY | 1,154.20 | Ordinary | 3,980 | 3,980 | N/A |
| 10/16/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities returned | N/A | Ordinary | $(19,143)$ | $(19,143)$ | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \\ & \hline \end{aligned}$ |
| 10/17/2023 | Merrill Lynch (Australia) Futures Limited | BUY | 1,125.75 | Ordinary | 3,950 | 3,950 | N/A |
| 10/18/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities returned | N/A | Ordinary | $(14,337)$ | $(14,337)$ | Refer to App. B |
| 10/20/2023 | Merrill Lynch (Australia) Futures Limited | BUY | 1,164.64 | Ordinary | 4,016 | 4,016 | N/A |
| $10 / 31 / 2023$ | Merrill Lynch Markets (Australia) Pty. Limited | Securities returned | N/A | Ordinary | $(254,459)$ | $(254,459)$ | Refer to App. B |
| 10/31/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities returned | N/A | Ordinary | $(831,809)$ | $(831,809)$ | Refer to App. B |
| 11/02/2023 | Merrill Lynch (Australia) Futures Limited | BUY | 1,516.08 | Ordinary | 5,513 | 5,513 | N/A |
| 11/06/2023 | Merrill Lynch (Australia) Futures Limited | SELL | $(111,557.70)$ | Ordinary | $(371,859)$ | $(371,859)$ | N/A |
| 11/07/2023 | Merrill Lynch (Australia) Futures Limited | BUY | 2,549.40 | Ordinary | 8,498 | 8,498 | N/A |
| 11/09/2023 | Merrill Lynch (Australia) Futures Limited | BUY | 6,702.69 | Ordinary | 23,825 | 23,825 | N/A |
| 11/09/2023 | Merrill Lynch (Australia) Futures Limited | SELL | (6,790.13) | Ordinary | $(23,825)$ | $(23,825)$ | N/A |
| 11/10/2023 | Merrill Lynch (Australia) Futures Limited | BUY | 18.46 | Ordinary | 69 | 69 | N/A |
| 11/10/2023 | Merrill Lynch (Australia) Futures Limited | SELL | (18.29) | Ordinary | (69) | (69) | N/A |
| 11/13/2023 | Merrill Lynch (Australia) Futures Limited | BUY | 543.23 | Ordinary | 2,191 | 2,191 | N/A |


| Date of change | Person whose relevant interest changed | Nature of change <br> (4) | Consideration (5) | Class (6) of securities | Securities affected | Person's votes affected | Remark |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 11/13/2023 | Merrill Lynch (Australia) Futures Limited | SELL | $(2,511.92)$ | Ordinary | $(10,689)$ | $(10,689)$ | N/A |
| 11/14/2023 | Merrill Lynch (Australia) Futures Limited | BUY | 132.78 | Ordinary | 565 | 565 | N/A |
| 11/14/2023 | Merrill Lynch (Australia) Futures Limited | SELL | (127.13) | Ordinary | (565) | (565) | N/A |
| 11/14/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities returned | N/A | Ordinary | $(535,961)$ | $(535,961)$ | Refer to App. B |
| 11/14/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities borrowed | N/A | Ordinary | 4,979,933 | 4,979,933 | Refer to App. B |
| 11/14/2023 | $\begin{aligned} & \hline \text { Merrill Lynch Markets (Australia) Pty. } \\ & \text { Limited } \\ & \hline \end{aligned}$ | Securities returned | N/A | Ordinary | (2,610,434) | $(2,610,434)$ | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \\ & \hline \end{aligned}$ |
| 11/14/2023 | $\begin{aligned} & \hline \text { Merrill Lynch Markets (Australia) Pty. } \\ & \text { Limited } \\ & \hline \end{aligned}$ | Securities returned | N/A | Ordinary | $(1,699,587)$ | $(1,699,587)$ | $\begin{aligned} & \text { Refer to } \\ & \text { App. B } \end{aligned}$ |
| 11/15/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities borrowed | N/A | Ordinary | 4,850,000 | 4,850,000 | Refer to App. B |
| 11/15/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities returned | N/A | Ordinary | $(1,033,710)$ | $(1,033,710)$ | Refer to App. B |
| 11/15/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities returned | N/A | Ordinary | $(3,816,290)$ | $(3,816,290)$ | Refer to <br> App. B |
| 11/16/2023 | Merrill Lynch (Australia) Futures Limited | BUY | 1,279.90 | Ordinary | 5,953 | 5,953 | N/A |
| 11/16/2023 | Merrill Lynch Markets (Australia) Pty. Limited | Securities borrowed | N/A | Ordinary | 4,850,000 | 4,850,000 | Refer to App. B |

## Appendix B-1: Prescribed information

| Type of agreement | International Prime Brokerage Agreement |
| :--- | :--- |
| Parties to <br> agreement | BofA Securities Europe SA and Ssw-Trading Gmbh |
| Holder of voting <br> rights | Securities borrower. Securities lender may instruct the registered holder to vote <br> on securities. |
| Are there any <br> restrictions on voting <br> rights? | No |
| If yes, detail | N/A |
| Scheduled return <br> date (if any) | On recall of the securities loan by the securities lender. |
| Does the borrower <br> have the right to <br> return early? | Yes |
| If yes, detail | Securities borrower will have right to return assets at any time. |
| Does the lender <br> have the right to <br> recall early? | Yes |
| If yes, detail | Securities lender will have right to recall assets at any time. |
| Will the securities be <br> returned on <br> settlement? | Yes |
| If yes, detail any <br> exceptions | N/A |

## Appendix B-2: Prescribed information

| Type of agreement | International Prime Brokerage Agreement |
| :--- | :--- |
| Parties to <br> agreement | Merrill Lynch International and Citadel Securities LLC |
| Holder of voting <br> rights | Securities borrower. Securities lender may instruct the registered holder to vote <br> on securities. |
| Are there any <br> restrictions on voting <br> rights? | No |
| If yes, detail | N/A |
| Scheduled return <br> date (if any) | On recall of the securities loan by the securities lender. |
| Does the borrower <br> have the right to <br> return early? | Yes |
| If yes, detail | Securities borrower will have right to return assets at any time. |
| Does the lender <br> have the right to <br> recall early? | Yes |
| If yes, detail | Securities lender will have right to recall assets at any time. |
| Will the securities be <br> returned on <br> settlement? | Yes |
| If yes, detail any <br> exceptions | N/A |

## Appendix B-3: Prescribed information

| Type of agreement | International Prime Brokerage Agreement |
| :--- | :--- |
| Parties to <br> agreement | Merrill Lynch International and Regal Funds Management Pty Limited As <br> Trustee Of Regal Emerging Companies Opportunities Fund |
| Holder of voting <br> rights | Securities borrower. Securities lender may instruct the registered holder to vote <br> on securities. |
| Are there any <br> restrictions on voting <br> rights? | No |
| If yes, detail | N/A |
| Scheduled return <br> date (if any) | On recall of the securities loan by the securities lender. |
| Does the borrower <br> have the right to <br> return early? | Yes |
| If yes, detail | Securities borrower will have right to return assets at any time. |
| Does the lender <br> have the right to <br> recall early? | Yes |
| If yes, detail | Securities lender will have right to recall assets at any time. |
| Will the securities be <br> returned on <br> settlement? | Yes |
| If yes, detail any <br> exceptions | N/A |

## Appendix B-4: Prescribed information

| Type of agreement | International Prime Brokerage Agreement |
| :--- | :--- |
| Parties to <br> agreement | Merrill Lynch International and Regal Funds Management Pty Limited As <br> Trustee For Regal Australian Small Companies Fund |
| Holder of voting <br> rights | Securities borrower. Securities lender may instruct the registered holder to vote <br> on securities. |
| Are there any <br> restrictions on voting <br> rights? | No |
| If yes, detail | N/A |
| Scheduled return <br> date (if any) | On recall of the securities loan by the securities lender. |
| Does the borrower <br> have the right to <br> return early? | Yes |
| If yes, detail | Securities borrower will have right to return assets at any time. |
| Does the lender <br> have the right to <br> recall early? | Yes |
| If yes, detail | Securities lender will have right to recall assets at any time. |
| Will the securities be <br> returned on <br> settlement? | Yes |
| If yes, detail any <br> exceptions | N/A |

## Appendix B-5: Prescribed information

| Type of agreement | International Prime Brokerage Agreement |
| :--- | :--- |
| Parties to <br> agreement | Merrill Lynch International and Regal Funds Management Pty Limited As <br> Trustee For Regal Australian Active Extension Trust |
| Holder of voting <br> rights | Securities borrower. Securities lender may instruct the registered holder to vote <br> on securities. |
| Are there any <br> restrictions on voting <br> rights? | No |
| If yes, detail | N/A |
| Scheduled return <br> date (if any) | On recall of the securities loan by the securities lender. |
| Does the borrower <br> have the right to <br> return early? | Yes |
| If yes, detail | Securities borrower will have right to return assets at any time. |
| Does the lender <br> have the right to <br> recall early? | Yes |
| If yes, detail | Securities lender will have right to recall assets at any time. |
| Will the securities be <br> returned on <br> settlement? | Yes |
| If yes, detail any <br> exceptions | N/A |

## Appendix B-6: Prescribed information

| Type of agreement | International Prime Brokerage Agreement |
| :--- | :--- |
| Parties to <br> agreement | Merrill Lynch International and Regal Funds Management Pty Limited As <br> Trustee Of Tasman Market Neutral Fund |
| Holder of voting <br> rights | Securities borrower. Securities lender may instruct the registered holder to vote <br> on securities. |
| Are there any <br> restrictions on voting <br> rights? | No |
| If yes, detail | N/A |
| Scheduled return <br> date (if any) | On recall of the securities loan by the securities lender. |
| Does the borrower <br> have the right to <br> return early? | Yes |
| If yes, detail | Securities borrower will have right to return assets at any time. |
| Does the lender <br> have the right to <br> recall early? | Yes |
| If yes, detail | Securities lender will have right to recall assets at any time. |
| Will the securities be <br> returned on <br> settlement? | Yes |
| If yes, detail any <br> exceptions | N/A |

## Appendix B-7: Prescribed information

| Type of agreement | International Prime Brokerage Agreement |
| :--- | :--- |
| Parties to <br> agreement | Merrill Lynch International and Regal Funds Management Pty Limited As <br> Trustee Of Regal Australian Long Short Equity Fund |
| Holder of voting <br> rights | Securities borrower. Securities lender may instruct the registered holder to vote <br> on securities. |
| Are there any <br> restrictions on voting <br> rights? | No |
| If yes, detail | N/A |
| Scheduled return <br> date (if any) | On recall of the securities loan by the securities lender. |
| Does the borrower <br> have the right to <br> return early? | Yes |
| If yes, detail | Securities borrower will have right to return assets at any time. |
| Does the lender <br> have the right to <br> recall early? | Yes |
| If yes, detail | Securities lender will have right to recall assets at any time. |
| Will the securities be <br> returned on <br> settlement? | Yes |
| If yes, detail any <br> exceptions | N/A |

## Appendix B-8: Prescribed information

| Type of agreement | International Prime Brokerage Agreement |
| :--- | :--- |
| Parties to <br> agreement | Merrill Lynch International and Regal Funds Management Pty Limited As <br> Trustee Of Atlantic Absolute Return Fund |
| Holder of voting <br> rights | Securities borrower. Securities lender may instruct the registered holder to vote <br> on securities. |
| Are there any <br> restrictions on voting <br> rights? | No |
| If yes, detail | N/A |
| Scheduled return <br> date (if any) | On recall of the securities loan by the securities lender. |
| Does the borrower <br> have the right to <br> return early? | Yes |
| If yes, detail | Securities borrower will have right to return assets at any time. |
| Does the lender <br> have the right to <br> recall early? | Yes |
| If yes, detail | Securities lender will have right to recall assets at any time. |
| Will the securities be <br> returned on <br> settlement? | Yes |
| If yes, detail any <br> exceptions | N/A |

## Appendix B-9: Prescribed information

| Type of agreement | International Prime Brokerage Agreement |
| :--- | :--- |
| Parties to <br> agreement | Merrill Lynch International and Regal Funds Management Pty Limited As <br> Trustee For Regal Emerging Companies Fund III |
| Holder of voting <br> rights | Securities borrower. Securities lender may instruct the registered holder to vote <br> on securities. |
| Are there any <br> restrictions on voting <br> rights? | No |
| If yes, detail | N/A |
| Scheduled return <br> date (if any) | On recall of the securities loan by the securities lender. |
| Does the borrower <br> have the right to <br> return early? | Yes |
| If yes, detail | Securities borrower will have right to return assets at any time. |
| Does the lender <br> have the right to <br> recall early? | Yes |
| If yes, detail | Securities lender will have right to recall assets at any time. |
| Will the securities be <br> returned on <br> settlement? | Yes |
| If yes, detail any <br> exceptions | N/A |

## Appendix B-10: Prescribed information

| Type of agreement | International Prime Brokerage Agreement |
| :--- | :--- |
| Parties to <br> agreement | Merrill Lynch International and Regal Investment Fund |
| Holder of voting <br> rights | Securities borrower. Securities lender may instruct the registered holder to vote <br> on securities. |
| Are there any <br> restrictions on voting <br> rights? | No |
| If yes, detail | N/A |
| Scheduled return <br> date (if any) | On recall of the securities loan by the securities lender. |
| Does the borrower <br> have the right to <br> return early? | Yes |
| If yes, detail | Securities borrower will have right to return assets at any time. |
| Does the lender <br> have the right to <br> recall early? | Yes |
| If yes, detail | Securities lender will have right to recall assets at any time. |
| Will the securities be <br> returned on <br> settlement? | Yes |
| If yes, detail any <br> exceptions | N/A |

## Appendix C

| Related bodies corporate | Incorporated | ACN/Registration/Incorporation Number |
| :--- | :--- | ---: |
| Merrill Lynch (Australia) Futures Limited | Australia | 003639674 |
| Merrill Lynch Markets (Australia) Pty. Limited | Australia | 075587816 |
| Merrill Lynch (Australasia) Pty. Ltd | Australia | 075923894 |
| Bank of America Global Holdings, LLC | United States | 5169939 |
| BofAML Jersey Holdings Limited | Jersey | 115831 |
| NB Holdings Corporation | United States | 2361372 |
| Bank of America Corporation | United States | N/A628 |

