

**Form 603**

Corporations Act 2001

Section 671B

**Notice of initial substantial holder**To Company Name/Scheme **CLEANAWAY WASTE MANAGEMENT LIMITED**ACN/ARSN **101 155 220****1. Details of substantial holder (1)**Name **State Street Corporation and subsidiaries named in Annexures to this form**

ACN/ARSN (if applicable)

The holder became a substantial holder on **01/12/2023****2. Details of voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
<b>Ordinary</b>	<b>111,505,373</b>	<b>111,505,373</b>	<b>5.00%</b>

**3. Details of relevant interests**

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
<b>Annexure A</b>		

**4. Details of present registered holders**

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
<b>Annexure A</b>			

**5. Consideration**

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
<b>Annexure B, D &amp; E</b>				

**6. Associates**

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
<b>Annexure A</b>	

**7. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
<b>Annexure C</b>	

**Signature**


print name **Alok Maheshwary** capacity **Authorised Signatory**

sign here  date **05/12/2023**

**DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
- (6) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (7) Include details of:
  - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (8) If the substantial holder is unable to determine the identity of the person ( eg. if the relevant interest arises because of an option) write "unknown".
- (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.




05 December 2023

Alok Maheshwary  
Authorised Signatory

3. Details of relevant interests  
4. Details of present registered holders  
5. Associates

Holder of relevant interest (Section 3, 4 and 6)	Nature of Relevant Interest (Section 3)	Registered Holder of securities (Section 4)	Person Entitled to be registered as holder (Section 4)	Class and number of Securities (Section 3 and 4)	Nature of Association (Section 6)
SSGA FUNDS MANAGEMENT, INC.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	n/a	Ordinary	2,072,975 Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS (JAPAN) CO., LTD.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	n/a	Ordinary	530,879 Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	n/a	Ordinary	842,125 Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	n/a	Ordinary	3,860,148 Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS TRUST COMPANY	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	n/a	Ordinary	12,377,629 Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	n/a	Ordinary	63,069,946 Subsidiary of State Street Corporation
SSGA FUNDS MANAGEMENT, INC.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Brown Brothers Harriman and Co	n/a	Ordinary	26,198 Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS ASIA LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Bank of New York Mellon	n/a	Ordinary	387,232 Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Bank of New York Mellon	n/a	Ordinary	250,068 Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS TRUST COMPANY	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Bank of New York Mellon	n/a	Ordinary	650,571 Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Citibank NA	n/a	Ordinary	189,735 Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	n/a	Ordinary	136,972 Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	n/a	Ordinary	61,222 Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS TRUST COMPANY	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	n/a	Ordinary	379,004 Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	n/a	Ordinary	6,982,495 Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Northern Trust Company	n/a	Ordinary	4,690 Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Northern Trust Company	n/a	Ordinary	17,185 Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS TRUST COMPANY	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Northern Trust Company	n/a	Ordinary	830,975 Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Northern Trust Company	n/a	Ordinary	13,912,311 Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	National Australian Bank LTD	n/a	Ordinary	227,858 Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	BNP Paribas	n/a	Ordinary	901,457 Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For borrowed and on-lent securities: Relevant interest under section 608(1) being the right, as lender, to recall shares under a securities loan	UNKNOWN	STATE STREET BANK AND TRUST COMPANY	Ordinary	52,633 Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For borrowed and on-lent securities: Relevant interest under section 608(1) being the right, as lender, to recall shares under a securities loan	UNKNOWN	STATE STREET BANK AND TRUST COMPANY	Ordinary	39,750 Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For borrowed and on-lent securities: Relevant interest under section 608(1) being the right, as lender, to recall shares under a securities loan	UNKNOWN	STATE STREET BANK AND TRUST COMPANY	Ordinary	320,612 Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(8A) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	AWARE SUPER PTY LTD AS TRUSTEE OF THE AWARE SUPER	AWARE SUPER PTY LTD AS TRUSTEE OF THE AWARE SUPER	Ordinary	1,021,129 Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(8A) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	CALSTRS	CALSTRS	Ordinary	435,499 Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(8A) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	CONFIDENTIAL CLIENT KK	CONFIDENTIAL CLIENT KK	Ordinary	1,056,500 Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(8A) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	DIMENSIONAL FUND ADVISORS	DIMENSIONAL FUND ADVISORS	Ordinary	495,731 Subsidiary of State Street Corporation

STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(8A) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	NATIONAL EMPLOYMENT SAVINGS TRUST CORPORATION	NATIONAL EMPLOYMENT SAVINGS TRUST CORPORATION	Ordinary	356,078	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(8A) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	SA FUNDS- INVESTMENT TRUST	SA FUNDS- INVESTMENT TRUST	Ordinary	15,766	Subsidiary of State Street Corporation

  
05 December 2023

Alok Maheshwary  
Authorised Signatory

## 5. Consideration

Holder of relevant interest	Date of Acquisition	Nature of transaction	Consideration	Class and number of Securities affected
STATE STREET GLOBAL ADVISORS LIMITED	11-Oct-2023	Purchase	2.44	Ordinary 139,535
STATE STREET GLOBAL ADVISORS LIMITED	20-Oct-2023	Purchase	2.35	Ordinary 272,791
STATE STREET GLOBAL ADVISORS LIMITED	27-Oct-2023	In specie contribution	n/a	Ordinary 189,735
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	01-Aug-2023	In specie contribution	n/a	Ordinary 263,451
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	04-Aug-2023	In specie contribution	n/a	Ordinary 10,188
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	10-Aug-2023	Purchase	2.79	Ordinary 167,269
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	16-Aug-2023	In specie contribution	n/a	Ordinary 8,495
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	17-Aug-2023	In specie contribution	n/a	Ordinary 13,624
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	23-Aug-2023	In specie contribution	n/a	Ordinary 562,253
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	25-Aug-2023	Purchase	2.56	Ordinary 69,875
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	29-Aug-2023	Purchase	2.60	Ordinary 847,327
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	07-Sep-2023	Purchase	2.63	Ordinary 472,595
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	11-Sep-2023	Purchase	2.55	Ordinary 969,940
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	12-Sep-2023	Purchase	2.54	Ordinary 169,738
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	13-Sep-2023	Purchase	2.49	Ordinary 169,220
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	14-Sep-2023	Purchase	2.46	Ordinary 166,105
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	15-Sep-2023	Purchase	2.45	Ordinary 517,338
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	18-Sep-2023	Purchase	2.42	Ordinary 160,475
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	19-Sep-2023	Purchase	2.43	Ordinary 166,960
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	20-Sep-2023	Purchase	2.51	Ordinary 588,949
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	21-Sep-2023	Purchase	2.47	Ordinary 168,231
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	22-Sep-2023	Purchase	2.42	Ordinary 157,148
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	25-Sep-2023	Purchase	2.44	Ordinary 178,004
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	26-Sep-2023	Purchase	2.43	Ordinary 165,191
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	27-Sep-2023	Purchase	2.42	Ordinary 171,835
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	27-Sep-2023	In specie contribution	n/a	Ordinary 1,518
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	03-Oct-2023	In specie contribution	n/a	Ordinary 20,268
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	18-Oct-2023	In specie contribution	n/a	Ordinary 6,760
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	19-Oct-2023	Purchase	2.38	Ordinary 474,853
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	31-Oct-2023	Purchase	2.24	Ordinary 764,414
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	03-Nov-2023	In specie contribution	n/a	Ordinary 459,165
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	08-Nov-2023	Purchase	2.51	Ordinary 798,625
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	14-Nov-2023	In specie contribution	n/a	Ordinary 1,496
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	21-Nov-2023	In specie contribution	n/a	Ordinary 227,858
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	27-Nov-2023	In specie contribution	n/a	Ordinary 1,410,502
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	28-Nov-2023	Purchase	2.46	Ordinary 355,329
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	29-Nov-2023	Purchase	2.44	Ordinary 355,329
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	30-Nov-2023	Purchase	2.46	Ordinary 2,355,240
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	30-Nov-2023	Purchase	2.46	Ordinary 60,842
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	30-Nov-2023	In specie contribution	n/a	Ordinary 722,297
STATE STREET GLOBAL ADVISORS, INC.	11-Aug-2023	In specie contribution	n/a	Ordinary 7,248
STATE STREET GLOBAL ADVISORS, INC.	18-Aug-2023	In specie contribution	n/a	Ordinary 6,040
STATE STREET GLOBAL ADVISORS, INC.	29-Aug-2023	In specie contribution	n/a	Ordinary 12,684
STATE STREET GLOBAL ADVISORS, INC.	30-Aug-2023	In specie contribution	n/a	Ordinary 6,946
STATE STREET GLOBAL ADVISORS, INC.	06-Sep-2023	Purchase	2.62	Ordinary 96,497
STATE STREET GLOBAL ADVISORS, INC.	15-Sep-2023	Purchase	2.45	Ordinary 153,290
STATE STREET GLOBAL ADVISORS, INC.	20-Sep-2023	In specie contribution	n/a	Ordinary 6,040
STATE STREET GLOBAL ADVISORS, INC.	27-Sep-2023	Purchase	2.42	Ordinary 79,586
STATE STREET GLOBAL ADVISORS, INC.	29-Sep-2023	Purchase	2.43	Ordinary 37,208
STATE STREET GLOBAL ADVISORS, INC.	29-Sep-2023	In specie contribution	n/a	Ordinary 6,060
STATE STREET GLOBAL ADVISORS, INC.	03-Oct-2023	Purchase	2.39	Ordinary 86,026
STATE STREET GLOBAL ADVISORS, INC.	03-Oct-2023	Purchase	2.39	Ordinary 6,710
STATE STREET GLOBAL ADVISORS, INC.	04-Oct-2023	Purchase	2.34	Ordinary 26,198
STATE STREET GLOBAL ADVISORS, INC.	09-Oct-2023	In specie contribution	n/a	Ordinary 13,938
STATE STREET GLOBAL ADVISORS, INC.	26-Oct-2023	Purchase	2.29	Ordinary 6,109
STATE STREET GLOBAL ADVISORS, INC.	31-Oct-2023	In specie contribution	n/a	Ordinary 41,095
STATE STREET GLOBAL ADVISORS, INC.	15-Nov-2023	Purchase	2.58	Ordinary 194,802
STATE STREET GLOBAL ADVISORS, INC.	16-Nov-2023	In specie contribution	n/a	Ordinary 4,545
STATE STREET GLOBAL ADVISORS, INC.	27-Nov-2023	In specie contribution	n/a	Ordinary 1,212
STATE STREET GLOBAL ADVISORS, INC.	30-Nov-2023	In specie contribution	n/a	Ordinary 3,030
STATE STREET BANK AND TRUST COMPANY	07-Aug-2023	Return of securities under a securities loan from BlackRock Institutional Trust Company NA - Refer Part A of Annexure E	n/a	Ordinary (3,482)
STATE STREET BANK AND TRUST COMPANY	29-Aug-2023	Borrowing securities under a securities loan from STATE STREET AGENCY UST - Refer Part A of Annexure E	n/a	Ordinary 142,780
STATE STREET BANK AND TRUST COMPANY	02-Oct-2023	Borrowing securities under a securities loan from STATE STREET AGENCY UST - Refer Part A of Annexure E	n/a	Ordinary 152,816
STATE STREET BANK AND TRUST COMPANY	05-Oct-2023	Borrowing securities under a securities loan from STATE STREET AGENCY UST - Refer Part A of Annexure E	n/a	Ordinary 8,339
STATE STREET BANK AND TRUST COMPANY	06-Oct-2023	Borrowing securities under a securities loan from STATE STREET AGENCY UST - Refer Part A of Annexure E	n/a	Ordinary 476
STATE STREET BANK AND TRUST COMPANY	30-Oct-2023	Borrowing securities under a securities loan from STATE STREET AGENCY UST - Refer Part A of Annexure E	n/a	Ordinary 25,000
STATE STREET BANK AND TRUST COMPANY	07-Nov-2023	Borrowing securities under a securities loan from STATE STREET AGENCY UST - Refer Part A of Annexure E	n/a	Ordinary 10,569
STATE STREET BANK AND TRUST COMPANY	21-Nov-2023	Borrowing securities under a securities loan from STATE STREET AGENCY UST - Refer Part A of Annexure E	n/a	Ordinary 6,895
STATE STREET BANK AND TRUST COMPANY	07-Aug-2023	Borrowing securities under a securities loan from State Street Bank and Trust Company - Refer Part A of Annexure E	n/a	Ordinary 18,489
STATE STREET BANK AND TRUST COMPANY	29-Aug-2023	Return of securities under a securities loan from State Street Bank and Trust Company - Refer Part A of Annexure E	n/a	Ordinary (1)
STATE STREET BANK AND TRUST COMPANY	30-Oct-2023	Return of securities under a securities loan from State Street Bank and Trust Company - Refer Part A of Annexure E	n/a	Ordinary (21,058)
STATE STREET BANK AND TRUST COMPANY	07-Nov-2023	Return of securities under a securities loan from State Street Bank and Trust Company - Refer Part A of Annexure E	n/a	Ordinary (9,650)
STATE STREET BANK AND TRUST COMPANY	07-Aug-2023	Lending securities under a securities loan to AQR FUNDS AQR ALTERNATIVE RISK PREMIA FUND - Refer Part B of Annexure E	n/a	Ordinary 828
STATE STREET BANK AND TRUST COMPANY	29-Aug-2023	Return of securities under a securities loan by AQR FUNDS AQR ALTERNATIVE RISK PREMIA FUND - Refer Part B of Annexure E	n/a	Ordinary (1)
STATE STREET BANK AND TRUST COMPANY	06-Oct-2023	Lending securities under a securities loan to AQR FUNDS AQR ALTERNATIVE RISK PREMIA FUND - Refer Part B of Annexure E	n/a	Ordinary 476
STATE STREET BANK AND TRUST COMPANY	07-Nov-2023	Lending securities under a securities loan to AQR FUNDS AQR ALTERNATIVE RISK PREMIA FUND - Refer Part B of Annexure E	n/a	Ordinary 919
STATE STREET BANK AND TRUST COMPANY	05-Oct-2023	Lending securities under a securities loan to AQR FUNDS AQR RISK PARITY FUND - Refer Part B of Annexure E	n/a	Ordinary 8,339
STATE STREET BANK AND TRUST COMPANY	29-Aug-2023	Lending securities under a securities loan to TEACHER RETIREMENT SYSTEM OF TEXAS - Refer Part B of Annexure E	n/a	Ordinary 156,959
STATE STREET BANK AND TRUST COMPANY	02-Oct-2023	Lending securities under a securities loan to TEACHER RETIREMENT SYSTEM OF TEXAS - Refer Part B of Annexure E	n/a	Ordinary 152,816
STATE STREET BANK AND TRUST COMPANY	30-Oct-2023	Lending securities under a securities loan to TEACHER RETIREMENT SYSTEM OF TEXAS - Refer Part B of Annexure E	n/a	Ordinary 3,942
STATE STREET BANK AND TRUST COMPANY	21-Nov-2023	Lending securities under a securities loan to TEACHER RETIREMENT SYSTEM OF TEXAS - Refer Part B of Annexure E	n/a	Ordinary 6,895
STATE STREET BANK AND TRUST COMPANY	26-Oct-2023	Transfer of title of securities to secure a securities loan from THE ING GROUP - Refer Part B of Annexure D	n/a	Ordinary 6,959
STATE STREET BANK AND TRUST COMPANY	27-Oct-2023	Return of securities provided to secure a securities loan to THE ING GROUP - Refer Part B of Annexure D	n/a	Ordinary (23)
STATE STREET BANK AND TRUST COMPANY	30-Oct-2023	Return of securities provided to secure a securities loan to THE ING GROUP - Refer Part B of Annexure D	n/a	Ordinary (10)











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STATE STREET BANK AND TRUST COMPANY	30-Oct-2023	Return of securities under a securities loan by THE MORGAN STANLEY GROUP - Refer Part A of Annexure D	n/a	Ordinary	(11,025)
STATE STREET BANK AND TRUST COMPANY	07-Nov-2023	Lending securities under a securities loan to THE MORGAN STANLEY GROUP - Refer Part A of Annexure D	n/a	Ordinary	11,025
STATE STREET BANK AND TRUST COMPANY	14-Nov-2023	Return of securities under a securities loan by THE MORGAN STANLEY GROUP - Refer Part A of Annexure D	n/a	Ordinary	(15,873)
STATE STREET BANK AND TRUST COMPANY	16-Nov-2023	Lending securities under a securities loan to THE BNP PARIBAS GROUP - Refer Part A of Annexure D	n/a	Ordinary	14,595
STATE STREET BANK AND TRUST COMPANY	22-Nov-2023	Return of securities under a securities loan by THE BNP PARIBAS GROUP - Refer Part A of Annexure D	n/a	Ordinary	(14,595)
STATE STREET BANK AND TRUST COMPANY	01-Sep-2023	Lending securities under a securities loan to THE MACQUARIE GROUP - Refer Part A of Annexure D	n/a	Ordinary	54,246
STATE STREET BANK AND TRUST COMPANY	25-Sep-2023	Return of securities under a securities loan by THE MACQUARIE GROUP - Refer Part A of Annexure D	n/a	Ordinary	(54,246)
STATE STREET BANK AND TRUST COMPANY	07-Aug-2023	Return of securities under a securities loan by THE STATE STREET GROUP - Refer Part A of Annexure D	n/a	Ordinary	(14,179)



  
05 December 2023  
Alok Maheshwary  
Authorised Signatory

7. Addresses

Name	Address
SSGA FUNDS MANAGEMENT, INC.	Channel Center, 1 Iron Street, Boston MA 02210, United States
STATE STREET GLOBAL ADVISORS (JAPAN) CO., LTD.	Toranomon Hills Mori Tower 25F, 1-23-1 Toranomom, Minato-ku, Tokyo, 105-6325, Japan
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	78 Sir John Rogerson's Quay, Dublin 2, Ireland
STATE STREET GLOBAL ADVISORS LIMITED	20 Churchill Place, London, England, E14 5HJ, United Kingdom
STATE STREET GLOBAL ADVISORS TRUST COMPANY	1 Iron Street, Boston MA 02210, United States
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	Level 15, 420 George Street, Sydney NSW 2000, Australia
STATE STREET GLOBAL ADVISORS ASIA LIMITED	68th Floor, Two International Finance Centre, 8 Finance Street, Central, Hong Kong, China
STATE STREET GLOBAL ADVISORS, INC.	1 Iron Street, Boston MA 02210, United States
STATE STREET BANK AND TRUST COMPANY	One Congress Street, Suite 1, Boston MA 02114, United States



05 December 2023

Alok Maheshwary  
Authorised Signatory

## 5. Consideration

State Street Bank and Trust Company will, if requested by the company or responsible entity to whom this form must be given under the Corporations Act 2001 (Cth) or if requested by the Australian Securities and Investment Commission (ASIC), provide a copy of the master securities lending agreement/s and security agreement/s referred to below to the company, responsible entity or ASIC.

**Part A: For relevant interests arising out of lending securities - State Street Bank and Trust Company acquires relevant interest as lender of the securities under securities lending authorisation agreement, subject to obligation to return under the agreement. (State Street Bank and Trust Company has lent the securities and retains relevant interest as per Part B of this Annexure.)**

Type of agreement	Securities Lending Authorisation Agreement/ Global Master Securities Lending Agreement/ Securities Loan Agreement
Parties to agreement	(1) State Street Bank and Trust Company (2) The entity referred to in the relevant part of Annexure B to this notice
Can the parties exercise voting rights attaching to the securities?	(1) No (2) Yes. (Borrower has the right to vote, but may on-lend securities)
If yes, in what circumstances	(1) Only if instructed to by the borrower (2) As determined by the owner of the securities
Transfer date	The dates on which the securities were delivered by State Street Bank and Trust Company as lender are set out in Annexure B to this notice
Scheduled return date	No scheduled return date
Does the borrower have the right to return the securities early?	No scheduled return date. Borrower can return securities or equivalent securities at any time subject to giving notice
If yes, in what circumstances	Borrower can return securities or equivalent securities at any time subject to giving notice
Does the lender have the right to recall the securities early (ie before the scheduled return date)?	No scheduled return date. Lender can require return of securities or equivalent securities at any time subject to giving notice
If yes, in what circumstances	Lender can require return of securities or equivalent securities at any time subject to giving notice
Are there any circumstances in which the borrower is not required to return securities or equivalent securities on settlement?	No
If yes, detail any exceptions	n/a

**Part B: For relevant interests arising out of lending securities - State Street Bank and Trust Company acquires a relevant interest in securities through taking a security interest ("title transfer") over the securities as collateral to secure a securities loan. (See Part A of this Annexure for securities loan details.)**

Type of agreement	Global Master Securities Lending Agreement Securities Lending Authorisation Agreement
Parties to agreement	(1) State Street Bank and Trust Company (2) The entity referred to in the relevant part of Annexure B to this notice
Can the parties exercise voting rights attaching to the securities?	(1) Yes, but only if the borrower defaults and ownership is enforced (2) Yes
If yes, in what circumstances	(1) Only if the borrower defaults and ownership is enforced (2) In accordance with ordinary rights as registered holder, either directly or through nominee holder
Transfer date	The dates on which the securities were transferred, as indicated in Annexure B to this notice
Scheduled return date	No scheduled return date. Securities collateral is returned on termination of related securities loan
Does the borrower have the right to have the securities returned early?	No scheduled return date. Securities collateral is returned on termination of related securities loan or the provision of alternative collateral
If yes, in what circumstances	At any time subject to returning the borrowed securities or equivalent securities or providing alternative collateral
Are there any circumstances in which the lender is not required to return securities collateral on settlement?	No, assuming the borrower returns the borrowed securities or equivalent securities
If yes, detail any exceptions	n/a (lender must return securities collateral if the borrower returns the borrowed securities or equivalent securities)



05 December 2023

Alok Maheshwary  
Authorised Signatory

## 5. Consideration

State Street Bank and Trust Company will, if requested by the company or responsible entity to whom this form must be given under the Corporations Act 2001 (Cth) or if requested by the Australian Securities and Investment Commission (ASIC), provide a copy of the master securities lending agreement/s and security agreement/s referred to below to the company, responsible entity or ASIC.

**Part A: For relevant interests arising out of lending securities - State Street Bank and Trust Company acquires relevant interest as borrower of the securities under securities lending agreement, subject to obligation to return under the agreement. (State Street Bank and Trust Company has on-lent the securities and retains relevant interest as per Part B of this Annexure.)**

Type of agreement	Global Master Securities Lending Agreement/Master Securities Loan Agreement/Securities Loan Agreement
Parties to agreement	(1) STATE STREET BANK AND TRUST COMPANY (2) The entity referred to in the relevant part of Annexure B to this notice
Can the parties exercise voting rights attaching to the securities?	(1) Yes (if the borrower is the registered holder. However the securities are on-lent by the borrower as per Part B of this Annexure) (2) No, not during term of securities loan
If yes, in what circumstances	(1) Only if the borrower is the registered holder. However the securities are on-lent by the borrower as per Part B of this Annexure (2) n/a
Transfer date	The dates on which the securities were delivered to State Street Bank and Trust Company as borrower are set out in Annexure B to this notice
Scheduled return date	No scheduled return date
Does the borrower have the right to return the securities early?	No scheduled return date. Borrower can return securities or equivalent securities at any time subject to giving notice
If yes, in what circumstances	Borrower can return securities or equivalent securities at any time subject to giving notice
Does the lender have the right to recall the securities early (ie before the scheduled return date)?	No scheduled return date. Lender can require return of securities or equivalent securities at any time subject to giving notice
If yes, in what circumstances	Lender can require return of securities or equivalent securities at any time subject to giving notice
Are there any circumstances in which the borrower is not required to return securities or equivalent securities on settlement?	No
If yes, detail any exceptions	n/a

**Part B: For relevant interests arising out of lending securities - State Street Bank and Trust Company lends the securities under securities lending agreement, and retains relevant interest through the right to recall the securities or equivalent securities.**

Type of agreement	Global Master Securities Lending Agreement/Master Securities Loan Agreement
Parties to agreement	(1) STATE STREET BANK AND TRUST COMPANY (2) The entity referred to in the relevant part of Annexure B to this notice
Can the parties exercise voting rights attaching to the securities?	(1) Yes, but only if the lender recalls the loan and exercises its right to become the registered holder (2) Yes (while registered holder)
If yes, in what circumstances	(1) Only if the lender recalls the loan and exercises its right to become the registered holder (2) Borrower may exercise voting rights (while registered holder)
Transfer date	The dates on which the securities were delivered to the borrower are set out in Annexure B to this notice
Scheduled return date	No scheduled return date
Does the borrower have the right to return the securities early?	No scheduled return date. Borrower can return securities or equivalent securities at any time subject to giving notice
If yes, in what circumstances	Borrower can return securities or equivalent securities at any time subject to giving notice
Does the lender have the right to recall the securities early (ie before the scheduled return date)?	No scheduled return date. Lender can require return of securities or equivalent securities at any time subject to giving notice
If yes, in what circumstances	Lender can require return of securities or equivalent securities at any time subject to giving notice
Are there any circumstances in which the borrower is not required to return securities or equivalent securities on settlement?	No
If yes, detail any exceptions	n/a

**Part C: For relevant interests arising out of lending securities - State Street Bank and Trust Company acquires a relevant interest in securities through taking a security interest ("pledge") over the securities as collateral to secure a securities loan. (See Part B of this Annexure for securities loan details.)**

Type of agreement	Global Master Securities Lending Agreement Security Agreement: the pro forma security agreement governing the pledge is attached to this notice
Parties to agreement	(1) STATE STREET BANK AND TRUST COMPANY (2) The entity referred to in the relevant part of Annexure B to this notice
Can the parties exercise voting rights attaching to the securities?	(1) Yes, but only if the borrower defaults and pledge is enforced (2) Yes
If yes, in what circumstances	(1) Only if the borrower defaults and pledge is enforced (2) In accordance with ordinary rights as registered holder, either directly or through nominee holder
Pledge date	The dates on which the securities were pledged, as indicated in Annexure B to this notice
Scheduled release date	No scheduled release date. Securities are released from pledge on termination of related securities loan
Does the borrower have the right to have the securities released early?	No scheduled release date. Securities are released from pledge on termination of related securities loan or the provision of alternative collateral
If yes, in what circumstances	At any time subject to returning the borrowed securities or equivalent securities or providing alternative collateral
Are there any circumstances in which the lender is not required to release the pledged securities on settlement?	No, assuming the borrower returns the borrowed securities or equivalent securities
If yes, detail any exceptions	n/a (lender must release pledged securities if the borrower returns the borrowed securities or equivalent securities)