

# Appendix 3Y

## Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity:</b>	<b>NOVA MINERALS LIMITED</b>
<b>ABN:</b>	84 006 690 348

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Mr Rodrigo Pasqua
<b>Date of last notice</b>	19 May 2023

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct - Rodrigo Capel Pasqua and Indirect - PASQUA HOLDINGS PTY LTD <PASQUA HOLDINGS A/C>
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	<b>PASQUA HOLDINGS PTY LTD &lt;PASQUA HOLDINGS A/C&gt;</b> (Director Related)
<b>Date of change</b>	<ul style="list-style-type: none"><li>30 April 2024 – Cancel Options</li></ul>
<b>No. of securities held prior to change</b>	<b>Indirect - PASQUA HOLDINGS PTY LTD &lt;PASQUA HOLDINGS A/C&gt;</b>  (a) 28,500 NVA – Ordinary Shares (b) 250,000 NVAAP - Unlisted Options - ex at \$1.20 - Expire 30 Nov 2025 (c) 14,250 NVAAN - Unlisted Options - ex at \$1.10 - Expire 30 Nov 2024 (d) NVAAS - 950 Bonus Options – Ex at 70c – Exp 30 April 2024
<b>Class</b>	<ul style="list-style-type: none"><li>NVAAS – Bonus Options</li></ul>

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<b>Number acquired</b>	N/A
<b>Number disposed</b>	NVAAS - 950 Unquoted Options (1:30 Bonus) - CANCELLED
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	<ul style="list-style-type: none"> <li>N/A – Options cancelled</li> </ul>

<b>Indirect</b>	<b>Indirect - PASQUA HOLDINGS PTY LTD &lt;PASQUA HOLDINGS A/C&gt;</b>  (a) 28,500 NVA – Ordinary Shares (b) 250,000 NVAAP - Unlisted Options - ex at \$1.20 - Expire 30 Nov 2025 (c) 14,250 NVAAN - Unlisted Options - ex at \$1.10 - Expire 30 Nov 2024 (d) NVAAS - NIL Bonus Options – Ex at 70c – Exp 30 April 2024
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	<ul style="list-style-type: none"> <li>Unquoted Options Cancelled. Not Exercised by Expiry Date</li> </ul>

## Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A

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<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A
<b>Interest after change</b>	N/A

**Part 3 – <sup>+</sup>Closed period**

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

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<sup>+</sup> See chapter 19 for defined terms.

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Introduced 30/09/01 Amended 01/01/11

<b>Name of entity:</b>	<b>NOVA MINERALS LIMITED</b>
<b>ABN:</b>	84 006 690 348

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Mr Louie Simens
<b>Date of last notice</b>	22 May 2023

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Indirect
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	SL Investors Pty Ltd <SL Superfund> Danche Simens Kikceto Pty Ltd <Benjamin Discretionary trust>
<b>Date of change</b>	30 April 2024

+ See chapter 19 for defined terms.

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<p><b>No. of securities held prior to change</b></p>	<p><b>SL Investors Pty Ltd &lt;SL Superfund&gt;</b></p> <p>5,817,060 (ASX:NVA) fully paid ordinary shares 193,902 - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024 214,286 - NVAAN - Unlisted Options - ex at \$1.10 - Expire 30 Nov 2024</p> <p><b>Danche Simens</b></p> <p>562,882 (ASX:NVA) fully paid ordinary shares 18,763 - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</p> <p><b>Kikceto Pty Ltd &lt;Benjamin Discretionary trust&gt;</b></p> <p>1,819,924 (ASX:NVA) fully paid ordinary shares</p> <p>800,000 Performance Rights – 200,000 Class A, 200,000 Class B and 400,000 Class C – Expire 25/11/2026 – Subject to Milestones</p> <p>2,000,000 NEW Class - Unlisted Options - ex at \$1.20 - Expire 30 Nov 2025</p> <p>60,665 - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</p>
<p><b>Class</b></p>	<p>NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</p>
<p><b>Number acquired</b></p>	<p>N/A</p>
<p><b>Number disposed</b></p>	<p><b>UNQUOTED OPTIONS – CANCELLED</b></p> <p><b>SL Investors Pty Ltd &lt;SL Superfund&gt;</b></p> <p>193,902 - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</p> <p><b>Danche Simens</b></p> <p>18,763 - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</p> <p><b>Kikceto Pty Ltd &lt;Benjamin Discretionary trust&gt;</b></p> <p>60,665 - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</p>

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<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	N/A
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<b>No. of securities held after change</b>	<p><b>SL Investors Pty Ltd &lt;SL Superfund&gt;</b></p> <p>5,817,060 (ASX:NVA) fully paid ordinary shares  Nil - NVAAS - Unlisted Options - ex at \$0.70  - Expire 30 April 2024  214,286 - NVAAN - Unlisted Options - ex at \$1.10 - Expire 30 Nov 2024</p> <p><b>Danche Simens</b></p> <p>562,882 (ASX:NVA) fully paid ordinary shares  Nil - NVAAS - Unlisted Options - ex at \$0.70  - Expire 30 April 2024</p> <p><b>Kikceto Pty Ltd &lt;Benjamin Discretionary trust&gt;</b></p> <p>1,819,924 (ASX:NVA) fully paid ordinary shares</p> <p>800,000 Performance Rights – 200,000 Class A, 200,000 Class B and 400,000 Class C – Expire 25/11/2026 – Subject to Milestones</p> <p>2,000,000 NEW Class - Unlisted Options - ex at \$1.20 - Expire 30 Nov 2025</p> <p>Nil - NVAAS - Unlisted Options - ex at \$0.70  - Expire 30 April 2024</p>
<p><b>Nature of change</b>  Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</p>	Unquoted Options Cancelled

**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A

+ See chapter 19 for defined terms.

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<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A

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<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A
<b>Interest after change</b>	N/A

**Part 3 – <sup>+</sup>Closed period**

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

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<sup>+</sup> See chapter 19 for defined terms.

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Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity:</b>	<b>NOVA MINERALS LIMITED</b>
<b>ABN:</b>	84 006 690 348

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Mr Christopher (Chris) Gerteisen
<b>Date of last notice</b>	15 Jan 2024

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct - Mr Christopher (Chris) Gerteisen and Indirect - AJ Holdings International Limited
<b>Nature of indirect interest (including registered holder)</b> <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	<b>AJ Holdings International Limited</b>
<b>Date of change</b>	30 April 2024

+ See chapter 19 for defined terms.

<b>No. of securities held prior to change</b>	<p><b>Mr Christopher (Chris) Gerteisen</b></p> <p>775,281 fully paid ordinary shares (ASX: NVA)</p> <p>2,000,000 NVAAP - Unlisted Options - ex at \$1.20 - Expire 30 Nov 2025</p> <p>50,000 - NVAAN - Unlisted Options - ex at \$1.10 - Expire 30 Nov 2024</p> <p>22,511 - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</p> <p><b>AJ Holdings International Limited</b></p> <p>200,000 fully paid ordinary shares (ASX: NVA)</p> <p>NIL - Unquoted Director Options – (ASX: NVAAH) exercisable at 75 cents and expiring on 29 December 2023</p> <p>800,000 Performance Rights – 200,000 Class A, 200,000 Class B and 400,000 Class C – Expire 25/11/2026 – Subject to Milestones</p> <p>6,667 - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</p>
<b>Class</b>	NVAAS – Unquoted Options
<b>Number acquired</b>	
<b>Number disposed</b>	<p><b>Mr Christopher (Chris) Gerteisen</b></p> <p>CANCELLED – 22,511 - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</p> <p><b>AJ Holdings International Limited</b></p> <p>CANCELLED – 6,667 - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</p>
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	<b>N/A</b>

<b>No. of securities held after change</b>	<b>Mr Christopher (Chris) Gerteisen</b>  775,281 fully paid ordinary shares (ASX: NVA)  2,000,000 NVAAP - Unlisted Options - ex at \$1.20 - Expire 30 Nov 2025  50,000 - NVAAN - Unlisted Options - ex at \$1.10 - Expire 30 Nov 2024  Nil - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024  <b>AJ Holdings International Limited</b>  200,000 fully paid ordinary shares (ASX: NVA)  NIL - Unquoted Director Options – (ASX: NVAAH) exercisable at 75 cents and expiring on 29 December 2023  800,000 Performance Rights – 200,000 Class A, 200,000 Class B and 400,000 Class C – Expire 25/11/2026 – Subject to Milestones  Nil - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Unquoted Options - Cancelled

## Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A

<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A

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<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A
<b>Interest after change</b>	N/A

### Part 3 – <sup>+</sup>Closed period

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

# Appendix 3Y

## Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity:</b>	<b>NOVA MINERALS LIMITED</b>
<b>ABN:</b>	84 006 690 348

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Mr Craig Bentley
<b>Date of last notice</b>	15 Jan 2024

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct - MR CRAIG EDWIN BENTLEY; and Indirect – various ( <i>see below</i> )
<b>Nature of indirect interest (including registered holder)</b> <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	Speedy Investments Pty Ltd  Kerse Pty Ltd <The Bentley Family A/C>
<b>Date of change</b>	30 April 2024

<b>No. of securities held prior to change</b>	<p><b>Direct</b></p> <ul style="list-style-type: none"><li>(a) MR CRAIG EDWIN BENTLEY NVA – 1,843,002 Fully Paid Ordinary Shares</li><li>(b) MR CRAIG EDWIN BENTLEY – Nil NVAAQ - Unlisted Options Expiring on 7 October 2023 @ \$2.20</li><li>(c) MR CRAIG EDWIN BENTLEY – 71,429 NVAAN - Unlisted Options - ex at \$1.10 - Expire 30 Nov 2024</li><li>(d) MR CRAIG EDWIN BENTLEY – 750,000 NVAAP - Unlisted Options - ex at \$1.20 - Expire 30 Nov 2025</li><li>(e) MR CRAIG EDWIN BENTLEY – 41,029 - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</li></ul> <p><b>Indirect</b></p> <ul style="list-style-type: none"><li>(a) Speedy Investments Pty Ltd NVA - 625,000 Fully Paid Ordinary Shares 17,500 - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</li><li>(b) Kerse Pty Ltd &lt;The Bentley Family A/C&gt; NVA - 732,000 Fully Paid Ordinary Shares 14,400 - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</li></ul>
<b>Class</b>	ASX CODE – NVAAS
<b>Number acquired</b>	N/A
<b>Number disposed</b>	<p><b>Direct - Cancelled</b></p> <ul style="list-style-type: none"><li>(a) MR CRAIG EDWIN BENTLEY – 41,029 - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</li></ul> <p><b>Indirect - Cancelled</b></p> <ul style="list-style-type: none"><li>(c) Speedy Investments Pty Ltd 17,500 - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</li><li>(d) Kerse Pty Ltd &lt;The Bentley Family A/C&gt; 14,400 - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</li></ul>

<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	N/A
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<b>No. of securities held after change</b>	<b>Direct</b> (b) MR CRAIG EDWIN BENTLEY NVA – 1,843,002 Fully Paid Ordinary Shares (c) MR CRAIG EDWIN BENTLEY – 71,429 NVAAN - Unlisted Options - ex at \$1.10 - Expire 30 Nov 2024 (d) MR CRAIG EDWIN BENTLEY – 750,000 NVAAP - Unlisted Options - ex at \$1.20 - Expire 30 Nov 2025 (e) MR CRAIG EDWIN BENTLEY – Nil - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024  <b>Indirect</b> (e) Speedy Investments Pty Ltd NVA - 625,000 Fully Paid Ordinary Shares Nil - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024  (f) Kerse Pty Ltd <The Bentley Family A/C> NVA - 732,000 Fully Paid Ordinary Shares Nil - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Unquoted Options Cancelled

## Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A



<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A

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<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A
<b>Interest after change</b>	N/A

### Part 3 – <sup>+</sup>Closed period

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

# Appendix 3Y

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*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity:</b>	<b>NOVA MINERALS LIMITED</b>
<b>ABN:</b>	84 006 690 348

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Mr Avrohom (Avi) Geller
<b>Date of last notice</b>	22 MAY 2023

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct and Indirect
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	Leonite Capital LLC and Leonite LLC (Director Related)
<b>Date of change</b>	30 April 2024

+ See chapter 19 for defined terms.

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<b>No. of securities held prior to change</b>	<p><b>Leonite Capital LLC (Director Related)</b></p> <p>1,739,615 fully paid ordinary shares (ASX: NVA)  50,000 - NVAAN - Unlisted Options - ex at \$1.10 - Expire 30 Nov 2024  57,987 - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</p> <p><b>Leonite LLC (Director Related)</b></p> <p>550,562 fully paid ordinary shares (ASX: NVA)  18,353 - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</p> <p><b>Mr Avrohom (Avi) Geller</b></p> <p>500,000 NEW Class - Unlisted Options - ex at \$1.20 - Expire 30 Nov 2025</p>
<b>Class</b>	<p>NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</p>
<b>Number acquired</b>	<p>N/A</p>
<b>Number disposed</b>	<p><b>CANCELLED UNQUOTED OPTIONS</b></p> <p><b>Leonite Capital LLC (Director Related)</b>  57,987 - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</p> <p><b>Leonite LLC (Director Related)</b>  18,353 - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</p>
<p><b>Value/Consideration</b>  Note: If consideration is non-cash, provide details and estimated valuation</p>	<p>N/A</p>

+ See chapter 19 for defined terms.

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<b>No. of securities held after change</b>	<p><b>Leonite Capital LLC (Director Related)</b></p> <p>1,739,615 fully paid ordinary shares (ASX: NVA)  50,000 - NVAAN - Unlisted Options - ex at \$1.10 - Expire 30 Nov 2024  Nil - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</p> <p><b>Leonite LLC (Director Related)</b></p> <p>550,562 fully paid ordinary shares (ASX: NVA)  Nil - NVAAS - Unlisted Options - ex at \$0.70 - Expire 30 April 2024</p> <p><b>Mr Avrohom (Avi) Geller</b></p> <p>500,000 NEW Class - Unlisted Options - ex at \$1.20 - Expire 30 Nov 2025</p>
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Unquoted Options Cancelled

**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A

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Interest after change	N/A
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**Part 3 – <sup>+</sup>Closed period**

Were the interests in the securities or contracts detailed above traded during a <sup>+</sup> closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/A
If prior written clearance was provided, on what date was this provided?	N/A

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<sup>+</sup> See chapter 19 for defined terms.